

OTHER AGENCY OVERSIGHT

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SECTION

4

INTRODUCTION

In March 2004, SIGIR formed the Iraq Inspectors General Council (IIGC) to provide a forum for discussion of oversight in Iraq and to enhance collaboration and cooperation among the inspectors general (IGs) of the agencies that oversee Iraq reconstruction funds. Representatives of member organizations meet quarterly to exchange details about current and planned audits, to identify opportunities for collaboration, and to minimize redundancies.

The most recent meeting was held on February 17, 2010, at the SIGIR office in Arlington, Virginia. Mr. Glenn Furbish, SIGIR's Principle Deputy AIG-Audit, chaired the meeting. These organizations attended:

- Air Force Audit Agency (AFAA)
- Defense Contract Audit Agency (DCAA)
- Department of the Army Inspector General
- Department of Defense Office of Inspector General (DoD OIG)
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- SIGIR
- U.S. Agency for International Development Office of Inspector General (USAID OIG)
- U.S. Army Audit Agency (USAAA)

Each quarter, SIGIR requests updates from member organizations on their completed, ongoing, and planned oversight activities. This section summarizes the audits and investigations reported to SIGIR this quarter by DoD OIG, DoS OIG, GAO, USAAA, and USAID OIG. For DCAA updates, see Appendix G.

In previous quarters, SIGIR has provided updates on the U.S. Department of the Treasury and the U.S. Department of Commerce, but these agencies have no current Iraq reconstruction oversight activities ongoing or planned for FY 2010. SIGIR will no longer report on these agencies in this section.

Section 842 of the National Defense Authorization Act for Fiscal Year 2008 (Public Law 101-181) requires that SIGIR, in consultation with other inspectors general, develop “a comprehensive plan for a series of audits” of “federal agency contracts, sub-contracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq.” Following the enactment of Section 842, SIGIR has worked closely with the DoD OIG Joint Planning Group-Southwest Asia, which has facilitated the production of the Comprehensive Oversight Plan for Southwest Asia. That document, compiled by the relevant IGs (including SIGIR), summarizes ongoing and near-term planned audits for Iraq and the region. The Group held its twelfth meeting in February 2010 and issued the FY 2010 update to the Comprehensive Oversight Plan for Southwest Asia in February 2010. The comprehensive plan was expanded beyond the statutory mandates to include other functional areas relevant to supporting Operations Enduring Freedom and Iraqi Freedom, as well as overseas contingency operations. These include contract administration and management, reset of equipment, financial management, and reconstruction support effort. Participating oversight agencies will continue to coordinate audit plans through working groups and councils. ♦

OTHER AGENCY AUDITS

This section updates the audits that IIGC member agencies reported to SIGIR:

- For recently completed oversight report activity, see Table 4.1.
- For ongoing oversight report activity of other U.S. agencies during this reporting period, see Table 4.2.
- For more information on other agency audits, including audit summaries, see Appendix G.
- For a complete historical list of audits and reviews on Iraq reconstruction by all entities, see Appendix H. ♦

TABLE 4.1

RECENTLY COMPLETED OVERSIGHT REPORTS OF OTHER U.S. AGENCIES, AS OF 3/31/2010

AGENCY	REPORT NUMBER	REPORT DATE	REPORT TITLE
DoD	D-2010-047	3/26/2010	Repair and Maintenance Contracts for Aircraft Supporting Coalition Forces in Afghanistan, Iraq, and Kuwait
DoD	D-2010-046	3/3/2010	Contracting for Tactical Vehicle Field Maintenance at Joint Base Balad, Iraq
DoD	D-2010-044	3/2/2010	Information Assurance Controls Over the Outside the Continental of the United States Navy Enterprise Network as Related to the Operations in Southwest Asia
DoD	D-2010-043	3/2/2010	Deferred Maintenance and Carryover on the Army Abrams Tank
DoD	D-2010-6-0001	2/16/2010	Review of Army Decision Not to Withhold Funds on the Logistics Civil Augmentation Program (LOGCAP) III Contract
DoD	IP02010E001	2/1/2010	Evaluation of DoD Sexual Assault Response in Operations Enduring Freedom and Iraqi Freedom Areas of Operation
DoD	D-2010-039	1/29/2010	Recapitalization and Acquisition of Light Tactical Vehicles
DoD	D-2010-038	1/25/2010	Identification of Classified Information in an Unclassified DoD System and an Unsecured DoD Facility
DoD	D-2010-037	1/25/2010	Internal Controls Over United States Marine Corps Commercial and Miscellaneous Payments Processed Through the Deployable Disbursing System
DoD	D-2010-036	1/22/2010	Controls Over Navy Military Payroll Disbursed in Support of Operations at Southwest Asia at San Diego-Area Disbursing Centers
DoD	D-2010-033	1/21/2010	Information Operations in Iraq
DoD	D-2010-035	1/11/2010	Defense Logistics Agency Contracts for M2 Machine Gun Spare Parts in Support of Operations in Southwest Asia
DoD	D-2010-034	1/8/2010	Internal Controls Over the Army, General Fund Cash and Other Monetary Assets Held in Southwest Asia
DoS	MERO-A-10-05	3/22/2010	Baghdad Embassy Security Force (BESF)
GAO	GAO-10-472	3/30/2010	Warfighter Support: DoD Needs to Improve Its Planning for Using Contractors to Support Future Military Operations
GAO	GAO-10-331	3/26/2010	Unmanned Aircraft Systems: Comprehensive Planning and a Results-Oriented Training Strategy Are Needed to Support Growing Inventories
GAO	GAO-10-509T	3/23/2010	Iraq and Afghanistan: Agencies Face Challenges in Tracking Contracts, Grants, Cooperative Agreements, and Associated Personnel
GAO	GAO-10-551T	3/17/2010	Warfighter Support: Continued Actions Needed by DoD to Improve and Institutionalize Contractor Support in Contingency Operations
GAO	GAO-10-274	3/9/2010	Iraq: Iraqi Refugees and Special Immigrant Visa Holders Face Challenges Resettling in the United States and Obtaining U.S. Government Employment

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OTHER AGENCY OVERSIGHT

AGENCY	REPORT NUMBER	REPORT DATE	REPORT TITLE
GAO	GAO-10-266R	3/4/2010	Warfighter Support: A Cost Comparison of Using State Department Employees versus Contractors for Security Services in Iraq
USAAA	A-2010-0077-ALC	3/25/2010	Agreed-Upon Procedure Attestation of the Joint Contracting Command-Iraq/Afghanistan Contract Closeout Task Force
USAAA	A-2010-0075-ALL	3/23/2010	Defense Base Act Insurance, Audit of Contracting Operations, U.S. Army Contracting Command Southwest Asia - Kuwait
USAAA	A-2010-0062-ALL	3/16/2010	Controls Over Vendor Payments - Southwest Asia (Phase II)
USAAA	A-2010-0060-ALA	3/3/2010	Pricing and Funding Security Assistance to Iraq and Afghanistan, U.S. Army Security Assistance Command
USAAA	A-2010-0054-ALL	2/24/2010	Agreed-Upon Procedures Attestation to Determine the Total Amount of Requisitions Under DODAAC W91JKW Processed by Soldiers From the 18th Fires Brigade, Fort Bragg, North Carolina While Deployed to Iraq
USAAA	A-2010-0057-ALL	2/24/2010	Controls Over Vendor Payments - Southwest Asia (Phase II)
USAAA	A-2010-0048-FFF	2/8/2010	Improvised Explosive Defeat Unit Training
USAAA	A-2010-0044-ALL	1/26/2010	Forward Operating Base Closures in Iraq
USAAA	A-2010-0031-ALL	1/16/2010	Contracting Operations, Joint Contracting Command-Iraq/Afghanistan, Regional Contracting Center-Victory, Camp Victory, Iraq
USAAA	A-2010-0010-ALL	1/15/2010	Housing Management Area Support Group-Kuwait
USAAA	A-2010-0026-ALC	1/7/2010	Award Fee for Task Order 139 - LOGCAP III Contract, U.S. Army Contracting Command
USAAA	A-2010-0012-ALL	1/5/2010	Controls Over Vendor Payments - Southwest Asia
USAID	E-267-10-001-P	3/31/2010	Audit of USAID's Internally Displaced Persons Activities in Iraq

TABLE 4.2

ONGOING OVERSIGHT ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 3/31/2010

AGENCY	PROJECT NUMBER	DATE INITIATED	PROJECT TITLE
DoD	D2009-DOOOSPO-0287.000	Not reported	Assessment of U.S. Government Efforts to Transition the Security Assistance Mission Supporting the Government of Iraq from Department of Defense Authority to Department of State Authority
DoD	D2009-DIP0E3-0260.000	8/5/2010	Evaluation of the DoD Combating Trafficking in Persons (CTIP) Program
DoD	D2010-D000CE-0190.000	3/23/2010	Material Purchases Made Through Partnership Agreements at Anniston Army Depot
DoD	D2010-D000JB-0158.000	2/17/2010	Contracting for U.S. Facilities in Iraq
DoD	D2010-D000AE-0139.000	1/29/2010	DoD Countermine and Improvised Explosive Device Defeat Systems Contracts—Interrogation Arm
DoD	D2010-D000IG-0073-000	12/7/2009	Update to the Summary Report on Challenges, Recommendations, and Initiatives Impacting OEF/OIF As Reported by Federal and Defense Oversight Organizations for FY 2003–FY 2009
DoD	D2010-D000CH-0077.000	11/18/2009	Material Purchases Made Through the Partnership Agreement with Sikorsky Aircraft Corporation at Corpus Christi Army Depot
DoD	D2010-D000CH-0077.001	11/17/2009	Material Purchases Made Through the Partnership Agreement with the Boeing Company at Corpus Christi Army Depot
DoD	D2010-D000JA-0055.000	10/27/2009	Management of Operations in the Theater Retrograde, Camp Arifjan, Kuwait
DoD	D2010-D000JA-0054.000	10/27/2009	Controls Over the Disposition of Equipment at the Defense Reutilization and Marketing Office at Camp Arifjan, Kuwait
DoD	D2010-D000AS-0031.000	10/27/2009	Logistics Civil Augmentation Program IV Support Contract
DoD	D2009-D000FH-0292.000	9/23/2009	Commercial Vendor Services Compliance with Federal Tax Reporting Requirements for Contractors Supporting Operations in Southwest Asia
DoD	Not reported	Not reported	Ministerial Capacity Development of the Iraqi Ministries of Defense and Interior Inspectors General
DoD	D2009-D000JB-0307.000	9/16/2009	Controls Over the Accountability and Disposition of Government Furnished Property in Iraq

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AGENCY	PROJECT NUMBER	DATE INITIATED	PROJECT TITLE
DoD	D2009-DIPOE3-0306.000	9/11/2009	Review of Army Response to Sodium Dichromate Exposure at Qarmat Ali Iraq
DoD	D2009-D000LC-240.002	9/10/2009	Reannouncement of the Audit of Inter-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq
DoD	D2009-D005PO-0310.000	9/10/2009	Assessment of Intra-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq
DoD	D2009-DIPOAI-0305	9/1/2009	Contract Audit Follow-Up Review on Incurred Cost Audits Related to Iraq Reconstruction Activities
DoD	D2009-D005PO-0286.000	8/11/2009, amended 2/19/2010	Assessment of U.S. Government Efforts to Develop the Logistics Sustainment Capability of the Iraq Security Forces
DoD	D2009-D000JB-0280.000	8/10/2009	DoD's Plans for the Drawdown and Reset of Property in Iraq
DoD	D2009-D000AS-0266.000	7/31/2009	Contracts Supporting Base Operation in Kuwait
DoD	D2009-D000AS-0264.000	7/20/2009	Air Forces Central War Reserve Materiel Contract
DoD	D2009-D000AS-0247.000	7/10/2009	Contracts Supporting the Broad Area Maritime Surveillance Program
DoD	D2009-D000CH-0244.000	7/7/2009	International Oil Trading Company Contracts to Supply Fuel to U.S. Troops in Iraq
DoD	D2009-D000LC-0237.000	6/11/2009	Air Cargo Transportation Contracts in Support of Operation Iraqi Freedom and Operation Enduring Freedom
DoD	D2009-D005PO-0242.00	6/11/2009	Assessment of the Defense Hotline Allegations Concerning Traumatic Brain Injury Research Integrity in Iraq
DoD	D2009-DINT01-0203.000	6/10/2009	Review of the Joint Task Force Guantanamo Inclusion of Detainee Mental Health Information in Intelligence Information Reports
DoD	D2009-D000IG- D005PO.0226.000	5/15/2009	Assessment Research on Enlisted Administrative Separations
DoD	D2009-D000AE-0210.000	4/28/2009	Marine Corps Fulfillment of Urgent Universal Need Statements for Laser Dazzlers
DoD	D2009-D000FC-0199.000	4/17/2009	Allowances and Differentials Paid to DoD Civilian Employees Supporting the Global War on Terror
DoD	D2009-D000FG-0183.000	4/7/2009	FY 2008 Marine Corps Global War on Terror-Related Costs Processed Through the Standard Accounting, Budgeting, and Reporting System
DoD	D2009-D000JB-0181.000	4/3/2009	Multi-National Force-Iraq/Multi-National Corps-Iraq Fire Services Inspection and Training Program
DoD	D2009-D000FC-0176.000	3/19/2009	Controls Over Unliquidated Obligations for Department of the Army Contracts Supporting the Global War on Terror
DoD	D2009-D000AS-0163.000	3/2/2009	Army and Navy Small Boats Maintenance Contracts
DoD	D2009-D000JA-0136.000	1/30/2009	Controls Over the Common Access Card in Non-Department of Defense Agencies
DoD	D2009-D000LD-0110.000	1/29/2009	Air Force Depot Maintenance Public-Private Partnerships
DoD	D2009-D000FB-0112.000	1/5/2009	Deployment of the Standard Procurement System in the Joint Contracting Command-Iraq/Afghanistan
DoD	D2009-D000CK-0100.000	12/9/2008	Maintenance and Support of the Mine Resistant Ambush Protected Vehicle
DoD	D2009-D000CF-0095.000	12/1/2008	DoD's Use of Time and Materials Contracts
DoD	D2009-D000AS-0092.000	12/1/2008	Counter Radio-Controlled Improvised Explosive Device Electronic Warfare Program
DoD	D2009-D000CD-0071.000	11/12/2008	Implementation of Predator/Sky Warrior Acquisition Decision Memorandum Dated May 19, 2008
DoD	D2007-D000FD-0198.001	10/10/2008	Reannouncement of the Audit of Funds Appropriated for Afghanistan and Iraq Processed Through the Foreign Military Sales Trust Fund
DoD	D2009-D000AE-0007.000	9/29/2008	Army Acquisition Actions in Response to the Threat to Light Tactical Wheeled Vehicles
DoD	D2008-D000AB-0266.000	9/18/2008	Defense Contract Management Agency Acquisition Workforce for Southwest Asia
DoD	D2008-D000LF-0267.000	9/12/2008	Medical/Surgical Prime Vendor Contracts Supporting Coalition Forces in Iraq and Afghanistan
DoD	D2008-D000LD-0245.000	8/27/2008	Central Issues Facilities
DoD	D2008-D000CD-0256.000	8/7/2008	DoD Body Armor Contracts
DoD	D2008-D000FP-0252.000	8/1/2008	Department of the Air Force Military Pay in Support of the Global War on Terror

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AGENCY	PROJECT NUMBER	DATE INITIATED	PROJECT TITLE
DoD	D2008-D000AE-0251.000	7/21/2008	Army's Use of Award Fees on Contracts That Support the Global War on Terror
DoD	D2008-D000CE-0221.000	6/9/2008	DoD and DoD Contractor Efforts to Prevent Sexual Assault/Harassment Involving Contractor Employees Within Operations Enduring Freedom and Iraqi Freedom Areas of Operation
DoD	D2008-D000JC-0186.000	4/23/2008	Class III Fuel Procurement and Distribution in Southwest Asia
DoD	D2007-D000FL-0252.000	8/31/2007	Internal Controls and Data Reliability in the Deployable Disbursing System
DoS	10AUD3004	10/1/2009	Audit of Embassy Baghdad Overtime Procedures and Costs
DoS	10-ISP-3013	9/1/2009	De-mining Programs in Iraq
DoS	09MERO3017	6/1/2009	Property Inventory and Accountability at Embassy Baghdad
DoS	09MERO3016	6/1/2009	LOGCAP Task Order for Embassy Baghdad
GAO	320734	11/1/2009	Joint Campaign Plan for Iraq
GAO	120874	11/1/2009	Contracting in Iraq and Afghanistan
GAO	351388	9/1/2009	Review of Availability of Trained and Ready Forces for Iraq and Afghanistan
GAO	351387	9/1/2009	Review of Army and Marine Corps Training Capacity
GAO	351385	9/1/2009	Review of Combat Skills Training for Support Forces
GAO	351376	9/1/2009	Readiness of Air Force Combat and Expeditionary Combat Forces
GAO	361123	8/1/2009	U.S. Military Burns Pit Operations in Iraq and Afghanistan
GAO	351393	8/28/2009	DoD Health Care Requirements for Contingency Operations
GAO	320691	7/1/2009	U.S. Civilian Agency Planning for the Drawdown of U.S. Troops in Iraq
GAO	320654	3/1/2009	U.S., Iraqi, and International Efforts to Address Challenges in Reintegrating Displaced Iraqis
GAO	351429	2/1/2009	DoD's Planning for the Drawdown of U.S. Forces from Iraq
GAO	120812	2/1/2009	Iraq and Afghanistan Contractor Oversight
GAO	320638	10/1/2008	Iraq Cost Sharing Arrangements
GAO	351236	6/1/2008	DoD Process for Responding to Urgent Wartime Requirements
GAO	351230	6/1/2008	Joint IED Defeat Organization (JIEDDO) Strategic Management
USAAA	A-2010-ALL-0364.000	2Q/FY 2010	Excalibur Accountability Gap
USAAA	A-2010-ALL-0338.000	2Q/FY 2010	Redistribution Property Assistance Teams
USAAA	A-2010-ALL-0312.000	2Q/FY 2010	U.S. Equipment Transferred to Iraq
USAAA	A-2010-ALL-0311.001	2Q/FY 2010	Disposal of Army Equipment and Materials Into Dump Sites in Iraq
USAAA	A-2010-ALL-0311.000	2Q/FY 2010	Disposal of Army Equipment and Material in Iraq
USAAA	A-2010-ALA-0294.000	2Q/FY 2010	Follow-up Audit – Body Armor
USAAA	A-2010-ALL-0103.000	2Q/FY 2010	Controls Over Vendor Payments Phase II – Afghanistan
USAAA	A-2010-ALL-0083.000	2Q/FY 2010	Logistics Civil Augmentation Program (LOGCAP) IV Operations in Support of Operation Enduring Freedom-Afghanistan
USAAA	A-2010-ALL-0232.000	1Q/FY 2010	Forward Operating Base Closures – Property Transfers to Government of Iraq
USAAA	A-2010-ALC-0125.000	1Q/FY 2010	Army's Short-Term Human Capital Strategic Plans – Contracting
USAAA	A-2010-ALC-0122.000	1Q/FY 2010	Contracting Activities in Iraq During and After Force Drawdown
USAAA	A-2010-ALL-0106.000	1Q/FY 2010	Follow-up Audit on Sensitive Items Accountability and Control at Abu Ghraib-Iraq
USAAA	A-2010-ALL-0105.000	1Q/FY 2010	Follow-up Audit on Forward Operating Base Closures – Iraq
USAAA	A-2010-ALL-0087.000	1Q/FY 2010	Life Support Contracts for U.S. Forces at Basra-Iraq
USAAA	A-2010-ALM-0008.000	1Q/FY 2010	Contracting for Maintenance Support – Life Cycle Management Commands
USAAA	A-2009-ALL-0593.000	4Q/FY 2009	Controls over Shipping Container Accountability and Visibility - Iraq
USAAA	A-2009-ALL-0590.000	4Q/FY 2009	Camp Buehring Fuel Farm Operations – Kuwait

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AGENCY	PROJECT NUMBER	DATE INITIATED	PROJECT TITLE
USAAA	A-2009-ALL-0571.000	4Q/FY 2009	Contract for Recycling and Disposing of Waste Material at Camp Steeler, Iraq
USAAA	A-2009-ALL-0531.000	4Q/FY 2009	Commander's Emergency Response Program - Afghanistan
USAAA	A-2009-ALL-0133.000	2Q/FY 2009	Force Protection – Security Badging in Kuwait
USAAA	A-2009-ALL-0118.000	2Q/FY 2009	Controls over Vendor Payments – Southwest Asia (Phase II)
USAAA	A-2009-ALL-0110.000	2Q/FY 2009	Multi-National Security Transition Command-Iraq (MNSTC-I), Iraq Security Forces Fund (ISFF)
USAAA	A-2009-ALL-0106.000	2Q/FY 2009	Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan – Jalalabad (Afghanistan)
USAAA	A-2009-FFS-0075.000	2Q/FY 2009	Reserve Component Post Mobilization Training
USAAA	A-2009-ALM-0059.000	2Q/FY 2009	Non-Standard Equipment Sustainment
USAAA	A-2009-ALC-0093.000	1Q/FY 2009	Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out
USAAA	A-2008-ALL-0401.000	4Q/FY 2008	Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan – Kandahar and Salerno (Afghanistan)
USAAA	A-2008-ALL-0398.000	4Q/FY 2008	Controls over Logistics Civil Augmentation Program (LOGCAP) – White Property
USAAA	A-2008-ALL-0633.000	3Q/FY 2008	U.S. Army Corps of Engineers (USACE) Pilot Defense Base Act (DBA) Insurance Program
USAAA	A-2008-ALL-0625.000	3Q/FY 2008	Follow-up Audit of Contracting Operations, U.S. Army Contracting Command (USACC), SWA-Kuwait (Phase I)
USAAA	A-2008-ALL-0624.000	3Q/FY 2008	Commander's Emergency Response Program (CERP), Iraq Summary
USAAA	A-2008-ALA-0588.000	3Q/FY 2008	Foreign Military Sales (FMS)
USAAA	A-2008-FFF-0044.000	2Q/FY 2008	Management of the Prepositioned Fleet at Combat Training Centers
USAAA	A-2007-ALL-0858.001	4Q/FY 2007	Retrograde Operations in Southwest Asia–Kuwait (Donations and Transfers)
USAAA	A-2007-ALL-0859.000	3Q/FY 2007	Contracting Operations, U.S. Army Contracting Command (USACC), SWA-Kuwait (Phase II)
USAID	Not reported	2Q/FY 2010	Audit of USAID/Iraq's Payroll Payments to Foreign Service Nationals, Third Country Nationals, and U.S. Personal Services Contractors
USAID	Not reported	2Q/FY 2010	Audit of USAID/Iraq's Community Action Program III Activities
USAID	Not reported	1Q/FY 2010	Audit of USAID/Iraq's Agriculture Private Sector Development – Agribusiness Program
USAID	Not reported	4Q/FY 2009	Audit of USAID/Iraq's Implementation of the Iraq Financial Management Information System
USAID	Not reported	4Q/FY 2009	Survey of Incidents Reported by Private Security Contractors of USAID/Iraq's Contractors and Grantees

OTHER AGENCY INVESTIGATIONS

SIGIR regularly coordinates with other government agencies conducting investigations in Iraq. For statistics of investigative activities from other agencies, see Table 4.3. ♦

TABLE 4.3
STATUS OF INVESTIGATIVE ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 3/31/2010

AGENCY	INVESTIGATORS IN IRAQ	INVESTIGATORS IN KUWAIT	OPEN/ONGOING CASES*
U.S. Army Criminal Investigation Command, Major Procurement Fraud Unit	6	2	105
Defense Criminal Investigative Service	4	1	223
DoS OIG	1	0	17
FBI	5	2	92
NCIS	1	1	3
U.S. Air Force, Office of Special Investigations	2	0	7
USAID	2	0	11
Total	21	6	458

*Numbers include pending cases worked with other agencies within the Joint Operations Center.