

OTHER AGENCY OVERSIGHT

| | |
|-----------------------------|-----|
| INTRODUCTION | 140 |
| OTHER AGENCY AUDITS | 141 |
| OTHER AGENCY INVESTIGATIONS | 146 |

SECTION

4

INTRODUCTION

In March 2004, SIGIR formed the Iraq Inspectors General Council (IIGC) to provide a forum for discussion of oversight in Iraq and to enhance collaboration and cooperation among the inspectors general (IGs) of the agencies that oversee Iraq reconstruction funds. Representatives of member organizations meet quarterly to exchange details about current and planned audits, to identify opportunities for collaboration, and to minimize redundancies.

The most recent meeting was held on May 25, 2010, at the SIGIR office in Arlington, Virginia, with Special Inspector General Bowen chairing the meeting from Baghdad. These organizations attended:

- Air Force Audit Agency (AFAA)
- Commission on Wartime Contracting in Iraq and Afghanistan
- Department of Defense Office of Inspector General (DoD OIG)
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- SIGIR
- U.S. Agency for International Development Office of Inspector General (USAID OIG)
- U.S. Army Audit Agency (USAAA)

Each quarter, SIGIR requests updates from member organizations on their completed, ongoing, and planned oversight activities. This section summarizes the audits and investigations reported to SIGIR this quarter by DoD OIG, DoS OIG, GAO, USAAA, and USAID OIG. For DCAA updates, see Appendix G.

In previous quarters, SIGIR has provided updates on the U.S. Department of the Treasury and the U.S. Department of Commerce, but these agencies have no current Iraq reconstruction oversight activities ongoing or planned for FY 2010. SIGIR no longer reports on these agencies in this section.

Section 842 of the National Defense Authorization Act for Fiscal Year 2008 (Public Law 101-181) requires that SIGIR, in consultation with other inspectors general, develop “a comprehensive plan for a series of audits” of “federal agency contracts, subcontracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq.” Following the enactment of Section 842, SIGIR has worked closely with the DoD OIG Joint Planning Group-Southwest Asia, which has facilitated the production of the *Comprehensive Oversight Plan for Southwest Asia*. That document, compiled by the relevant IGs (including SIGIR), summarizes ongoing and near-term planned audits for Iraq and the region. In February 2010, the Group held its twelfth meeting and issued the FY 2010 update to the *Comprehensive Oversight Plan for Southwest Asia*. The plan was expanded beyond statutory requirements to include additional planning for functional areas relevant to supporting Operations Enduring Freedom and Iraqi Freedom, as well as overseas contingency operations. These include contract administration and management, reset of equipment, financial management, and reconstruction support effort. Participating oversight agencies will continue to coordinate audit plans through working groups and councils. ♦

OTHER AGENCY AUDITS

This section updates the audits that IIGC member agencies reported to SIGIR:

- For recently completed oversight report activity, see Table 4.1.
- For ongoing oversight report activity of other U.S. agencies during this reporting period, see Table 4.2.
- For more information on other agency audits, including audit summaries, see Appendix G.
- For a complete historical list of audits and reviews on Iraq reconstruction by all entities, see Appendix H. ♦

TABLE 4.1

RECENTLY COMPLETED OVERSIGHT REPORTS OF OTHER U.S. AGENCIES, AS OF 6/30/2010

| AGENCY | REPORT NUMBER | REPORT DATE | REPORT TITLE |
|--------|---------------|-------------|---|
| DoD | D-2010-069 | 6/21/2010 | Central Issue Facility at Fort Benning and Related Army Policies |
| DoD | D-2010-068 | 6/17/2010 | Government Oversight of Field Service Representative and Instructor Services in Support of the Mine Resistant Ambush Protected Vehicle Program |
| DoD | D-2010-060 | 6/11/2010 | Drawdown and Reset of Equipment in Iraq – Operation Clean Sweep |
| DoD | D-2010-067 | 6/10/2010 | Public-Private Partnerships at Air Force Maintenance Depots |
| DoD | D-2010-066 | 5/28/2010 | Oversight of the U.S. Air Forces Central War Reserve Materiel Contract |
| DoD | D-2010-062 | 5/24/2010 | Controls Over Funds Appropriated for Assistance to Afghanistan and Iraq Processed Through the Foreign Military Sales Network |
| DoD | D-2010-064 | 5/21/2010 | Army Vessels Maintenance Contracts in Southwest Asia |
| DoD | D-2010-061 | 5/21/2010 | Counter Radio-Controlled Improvised Explosive Device Electronic Warfare (CREW) Program |
| DoD | D-2010-059 | 5/14/2010 | Contingency Contracting: A Framework for Reform |
| DoD | 10-INTEL-04 | 5/4/2010 | Review of the Joint Task Force Guantanamo Inclusion of Detainee Mental Health Information in Intelligence Information Reports |
| DoD | D-2010-055 | 4/29/2010 | Medical/Surgical Prime Vendor Contract Supporting Coalition Forces in Iraq and Afghanistan |
| DoD | SPO-2010-002 | 4/20/2010 | Assessment of Intra-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq |
| DoD | D-2010-052 | 4/16/2010 | Efforts to Prevent Sexual Assault/Harassment Involving DoD Contractors During Contingency Operations |
| DoD | D-2010-051 | 4/8/2010 | Defense Contract Management Agency Acquisition Workforce for Southwest Asia |
| DoD | D-2010-050 | 4/2/2010 | Standard Procurement System Synchronization Utility |
| DoD | D-2010-049 | 4/1/2010 | U.S. Army Corps of Engineers' Use of Award Fees on Contracts in Iraq and Afghanistan |
| DoD | Memorandum | 3/25/2010 | Material Purchases Made Through Partnership Agreements at Corpus Christi Army Depot |
| DoS | MERO-A-10-07 | 5/4/2010 | Property Inventory and Accountability at Embassy Baghdad |
| GAO | GAO-10-460 | 4/30/2010 | Warfighter Support: Improvements to DoD's Urgent Needs Processes Would Enhance Oversight and Expedite Efforts to Meet Critical Warfighter Needs |
| GAO | GAO-10-376 | 4/19/2010 | Operation Iraqi Freedom: Actions Needed to Facilitate the Efficient Drawdown of U.S. Forces and Equipment from Iraq |
| GAO | GAO-10-465 | 4/16/2010 | Military Training: Actions Needed to Further Improve the Consistency of Combat Skills Training Provided to Army and Marine Corps Support Forces |
| GAO | GAO-10-615T | 4/14/2010 | Human Capital: Status of Actions Needed to Improve the Timely and Accurate Delivery of Compensation and Medical Benefits to Deployed Civilians |

Continued on next page

OTHER AGENCY OVERSIGHT

| AGENCY | REPORT NUMBER | REPORT DATE | REPORT TITLE |
|--------|-----------------|-------------|--|
| GAO | GAO-10-357 | 4/12/2010 | Contingency Contracting: Improvements Needed in Management of Contractors Supporting Contract and Grant Administration in Iraq and Afghanistan |
| USAAA | A-2010-0098-ALL | 5/7/2010 | Retrograde Operations in Southwest Asia, Donation and Transfer of Excess Materiel and Supplies |
| USAAA | A-2010-0096-ALL | 5/7/2010 | Controls Over Vendor Payments – Southwest Asia (Phase II) – U.S. Army Contingency Operations |
| USAAA | A-2010-0097-ALL | 5/4/2010 | Commander’s Emergency Response Program (CERP), Multi-National Force – Iraq, Summary Report |
| USAAA | A-2010-0094-ALA | 5/3/2010 | Foreign Military Sales Process for Iraq and Afghanistan |
| USAAA | A-2010-0088-ALL | 4/14/2010 | Agreed-Upon Procedures Attestation to Evaluate Bulk Fuel Requests for Forward Operating Base Shank, Afghanistan |
| USAAA | A-2010-0082-ALL | 4/12/2010 | Multi-National Security Transition Command – Iraq (MNSTC-I), Iraqi Security Forces Fund (ISFF) |
| USAAA | A-2010-0087-ALL | 4/12/2010 | Followup Audit on Sensitive Items Accountability and Control at Abu Ghraib |

TABLE 4.2
ONGOING OVERSIGHT ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 6/30/2010

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|--------|-----------------------|------------------------------|---|
| DoD | D2009-DIP0E3-0260.000 | 8/5/2010 | Evaluation of the DoD Combating Trafficking in Persons (CTIP) Program |
| DoD | D2010-D000JB-0219.000 | 5/7/2010 | Drawdown and Reset of Equipment in Iraq – Supply Support Activity and Central Receiving and Shipping Point Operations in Iraq |
| DoD | D2010-D000JB-0211.000 | 5/6/2010 | Realignment of Contractor Support in Response to the Drawdown of U.S. Forces from Iraq |
| DoD | D2010-D000CE-0190.000 | 3/23/2010 | Material Purchases Made Through Partnership Agreements at Anniston Army Depot |
| DoD | D2010-D000JB-0158.000 | 2/17/2010 | Contracting for U.S. Facilities in Iraq |
| DoD | D2010-D000AE-0139.000 | 1/29/2010 | DoD Countermeasures and Improvised Explosive Device Defeat Systems Interrogation Arm |
| DoD | D2010-D000IG-0073.000 | 12/7/2009 | Update to the Summary Report on Challenges, Recommendations, and Initiatives Impacting OEF/OIF As Reported by Federal and Defense Oversight Organizations for FY 2003-FY 2009 |
| DoD | D2010-D000CH-0077.001 | 11/18/2009 | Material Purchases Made Through the Partnership Agreement with Sikorsky Aircraft Corporation at Corpus Christi Army Depot |
| DoD | D2010-D000CH-0077.000 | 11/17/2009 | Material Purchases Made Through the Partnership Agreement with the Boeing Company at Corpus Christi Army Depot |
| DoD | D2010-D000JA-0055.000 | 10/27/2009 | Management of Operations in the Theater Retrograde, Camp Arifjan, Kuwait |
| DoD | D2010-D000JA-0054.000 | 10/27/2009 | Controls Over the Disposition of Equipment at the Defense Reutilization and Marketing Office at Camp Arifjan, Kuwait |
| DoD | D2010-D000AS-0031.000 | 10/27/2009 | Logistics Civil Augmentation Program IV Support Contract |
| DoD | D2009-D000FH-0292.000 | 9/23/2009 | Commercial Vendor Services Compliance With Federal Tax Reporting Requirements for Contractors Supporting Operations in Southwest Asia |
| DoD | Not reported | Not reported | Ministerial Capacity Development of the Iraqi Ministries of Defense and Interior Inspectors General |
| DoD | D2009-D000JB-0307.000 | 9/16/2009 | Controls Over the Accountability and Disposition of Government Furnished Property in Iraq |
| DoD | D2009-DIPOE3-0306.000 | 9/11/2009 | Review of Army Response to Sodium Dichromate Exposure at Qarmat Ali Iraq |
| DoD | D2009-D00SPO-0310.000 | 9/10/2009 | Assessment of Intra-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq |
| DoD | D2009-DOOSPO-0287.000 | Not reported | Assessment of U.S. Government Efforts to Transition the Security Assistance Mission Supporting the Government of Iraq from Department of Defense Authority to Department of State Authority |
| DoD | D2009-D00SPO-0286.000 | 8/11/2009, amended 2/19/2010 | Assessment of U.S. Government Efforts to Develop the Logistics Sustainment Capability of the Iraqi Security Forces |

Continued on next page

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|--------|------------------------------|----------------|--|
| DoD | D2009-D000AS-0266.000 | 7/31/2009 | Contracts Supporting Base Operation in Kuwait |
| DoD | D2009-D000AS-0247.000 | 7/10/2009 | Contracts Supporting the Broad Area Maritime Surveillance Program |
| DoD | D2009-D000CH-0244.000 | 7/7/2009 | International Oil Trading Company Contracts to Supply Fuel to U.S. Troops in Iraq |
| DoD | D2009-D000LC-0237.000 | 6/11/2009 | Air Cargo Transportation Contracts in Support of Operation Iraqi Freedom and Operation Enduring Freedom |
| DoD | D2009-D005PO-0242.00 | 6/11/2009 | Assessment of the Defense Hotline Allegations Concerning Traumatic Brain Injury Research Integrity in Iraq |
| DoD | D2009-D000IG-D005PO-0226.000 | 5/15/2009 | Assessment Research on Enlisted Administrative Separations |
| DoD | D2009-D000AE-0210.000 | 4/28/2009 | Marine Corps Fulfillment of Urgent Universal Need Statements for Laser Dazzlers |
| DoD | D2009-D000FC-0199.000 | 4/17/2009 | Allowances and Differentials Paid to DoD Civilian Employees Supporting the Global War on Terror |
| DoD | D2009-D000FG-0183.000 | 4/7/2009 | FY 2008 Marine Corps Global War on Terror-Related Costs Processed Through the Standard Accounting, Budgeting, and Reporting System |
| DoD | D2009-D000FC-0176.000 | 3/19/2009 | Controls Over Unliquidated Obligations for Department of the Army Contracts Supporting the Global War on Terror |
| DoD | D2009-D000AS-0163.000 | 3/2/2009 | Army and Navy Small Boats Maintenance Contracts |
| DoD | D2009-D000JA-0136.000 | 1/30/2009 | Controls Over the Common Access Card in Non-Department of Defense Agencies |
| DoD | D2009-D000FB-0112.000 | 1/5/2009 | Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan |
| DoD | D2009-D000CK-0100.000 | 12/9/2008 | Maintenance and Support of the Mine Resistant Ambush Protected Vehicle |
| DoD | D2009-D000CF-0095.000 | 12/1/2008 | DoD's Use of Time and Materials Contracts |
| DoD | D2009-D000CD-0071.000 | 11/12/2008 | Implementation of Predator/Sky Warrior Acquisition Decision Memorandum Dated May 19, 2008 |
| DoD | D2009-D000AE-0007.000 | 9/29/2008 | Army Acquisition Actions in Response to the Threat to Light Tactical Wheeled Vehicles |
| DoD | D2008-D000CD-0256.000 | 8/7/2008 | DoD Body Armor Contracts |
| DoD | D2008-D000FP-0252.000 | 8/1/2008 | Department of the Air Force Military Pay in Support of the Global War on Terror |
| DoD | D2007-D000FL-0252.000 | 8/31/2007 | Internal Controls and Data Reliability in the Deployable Disbursing System |
| DoS | 10AUD3004 | 10/1/2009 | Audit of Embassy Baghdad Overtime Procedures and Costs |
| DoS | 10-ISP-3013 | 9/1/2009 | De-mining Programs in Iraq |
| DoS | 09MERO3016 | 6/1/2009 | LOGCAP Task Order for Embassy Baghdad |
| GAO | 320752 | 6/1/2010 | Not reported |
| GAO | 351476 | 4/1/2010 | DoD Iraq Drawdown Planning |
| GAO | 351475 | 4/1/2010 | U.S. Military Withdrawal from Iraq |
| GAO | 320734 | 11/1/2009 | U.S. Strategy and Joint Campaign Plan for Iraq |
| GAO | 120874 | 11/1/2009 | Annual Mandated Review of Contracting in Iraq and Afghanistan |
| GAO | 351388 | 10/2/2009 | Availability of Trained and Ready Forces to Support Military Operations in Iraq and Afghanistan |
| GAO | 320638 | 10/1/2009 | Iraq Cost Sharing Arrangements |
| GAO | 351376 | 9/1/2009 | Readiness of Air Force Combat and Expeditionary Combat Forces |
| GAO | 361123 | 8/9/2009 | U.S. Military Burns of Solid Waste in Open Pits at Some Locations in Iraq and Afghanistan |
| GAO | 351393 | 8/1/2009 | DoD Medical Wartime Personnel Requirements |
| GAO | 351387 | 7/30/2009 | Army and Marine Corps Training Capacity |
| GAO | 320691 | 7/22/2009 | Civilian Agency Plans for Programs, Security, and Logistics during/after the U.S. Drawdown from Iraq |

Continued on next page

OTHER AGENCY OVERSIGHT

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|--------|---------------------|----------------|---|
| GAO | 320654 | 4/1/2009 | U.S., Iraqi, and International Efforts to Address Challenges in Reintegrating Displaced Iraqis |
| GAO | 351230 | 6/1/2008 | Joint IED Defeat Organization (JIEDDO) Strategic Management |
| USAAA | A-2010-FFP-0188.000 | 3Q/FY 2010 | Managing Equipment Reset – U.S. Army Pacific |
| USAAA | A-2010-ALL-0480.000 | 3Q/FY 2010 | Agreed-Upon Procedures Attestation for USFOR-A LOGCAP Course of Action – Afghanistan |
| USAAA | A-2010-ALL-0086.000 | 3Q/FY 2010 | Return of Assets from SWA – CONUS |
| USAAA | A-2010-FFS-0180.000 | 3Q/FY 2010 | Deployment Support Systems |
| USAAA | A-2010-ALL-0260.000 | 3Q/FY 2010 | Retrograde Operations in Southwest Asia – Multi Class Supplies |
| USAAA | A-2010-ALM-0394.000 | 3Q/FY 2010 | Follow-up Audit of Automatic Reset Induction |
| USAAA | A-2010-ALL-0258.000 | 3Q/FY 2010 | Audit of Retrograde Operations – Class VII Equipment in Iraq |
| USAAA | A-2010-FFF-0372.000 | 2Q/FY 2010 | Improvised Explosive Device Defeat (IED-D) Home Station Training |
| USAAA | A-2010-ALL-0364.000 | 2Q/FY 2010 | Excalibur Accountability Gap |
| USAAA | A-2010-ALL-0338.000 | 2Q/FY 2010 | Redistribution Property Assistance Teams |
| USAAA | A-2010-ALL-0312.000 | 2Q/FY 2010 | U.S. Equipment Transferred to Iraq |
| USAAA | A-2010-ALL-0311.001 | 2Q/FY 2010 | Disposal of Army Equipment and Materials Into Dump Sites in Iraq |
| USAAA | A-2010-ALL-0311.000 | 2Q/FY 2010 | Disposal of Army Equipment and Material in Iraq |
| USAAA | A-2010-ALA-0294.000 | 2Q/FY 2010 | Follow-up Audit – Body Armor |
| USAAA | A-2010-ALL-0103.000 | 2Q/FY 2010 | Controls Over Vender Payments Phase II – Afghanistan |
| USAAA | A-2010-ALL-0232.000 | 1Q/FY 2010 | Forward Operating Base Closures – Property Transfers to Government of Iraq |
| USAAA | A-2010-ALC-0122.000 | 1Q/FY 2010 | Contracting Activities in Iraq During and After Force Drawdown |
| USAAA | A-2010-ALL-0105.000 | 1Q/FY 2010 | Follow-up Audit on Forward Operating Base Closures – Iraq |
| USAAA | A-2010-ALL-0087.000 | 1Q/FY 2010 | Life Support Contracts for U.S. Forces at Basra-Iraq |
| USAAA | A-2010-ALM-0008.000 | 1Q/FY 2010 | Contracting for Maintenance Support – Life Cycle Management Commands |
| USAAA | A-2009-ALL-0593.000 | 4Q/FY 2009 | Controls over Shipping Container Accountability and Visibility - Iraq |
| USAAA | A-2009-ALL-0590.000 | 4Q/FY 2009 | Camp Buehring Fuel Farm Operations - Kuwait |
| USAAA | A-2009-ALL-0571.000 | 4Q/FY 2009 | Contract for Recycling and Disposing of Waste Material at Camp Steeler, Iraq |
| USAAA | A-2009-ALL-0531.000 | 4Q/FY 2009 | Commander's Emergency Response Program - Afghanistan |
| USAAA | A-2009-ALL-0133.000 | 2Q/FY 2009 | Force Protection – Security Badging in Kuwait |
| USAAA | A-2009-ALL-0118.000 | 2Q/FY 2009 | Controls over Vendor Payments – Southwest Asia (Phase II) |
| USAAA | A-2009-ALL-0106.000 | 2Q/FY 2009 | Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan – Jalalabad (Afghanistan) |
| USAAA | A-2009-FFS-0075.000 | 2Q/FY 2009 | Reserve Component Post Mobilization Training |
| USAAA | A-2009-ALM-0059.000 | 2Q/FY 2009 | Non-Standard Equipment Sustainment |
| USAAA | A-2009-ALC-0093.000 | 1Q/FY 2009 | Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out |
| USAAA | A-2008-ALL-0401.000 | 4Q/FY 2008 | Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan – Kandahar and Salerno (Afghanistan) |
| USAAA | A-2008-ALL-0398.000 | 4Q/FY 2008 | Controls over Logistics Civil Augmentation Program (LOGCAP) – White Property |
| USAAA | A-2008-ALL-0633.000 | 3Q/FY 2008 | U.S. Army Corps of Engineers (USACE) Pilot Defense Base Act (DBA) Insurance Program |
| USAAA | A-2008-ALL-0625.000 | 3Q/FY 2008 | Follow-up Audit of Contracting Operations, U.S. Army Contracting Command (USACC), SWA-Kuwait (Phase I) |
| USAAA | A-2008-FFF-0044.000 | 2Q/FY 2008 | Management of the Prepositioned Fleet at Combat Training Centers |
| USAID | Not reported | 3Q/FY 2010 | Audit of Audit of USAID/Iraq's Microfinance Activities Under its Provincial Economic Growth Program |

Continued on next page

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|--------|----------------|----------------|--|
| USAID | Not reported | 3Q/FY 2010 | Audit of USAID/Iraq's Nonexpendable Property |
| USAID | Not reported | 2Q/FY 2010 | Audit of USAID/Iraq's Payroll Payments to Foreign Service Nationals, Third Country Nationals, and U.S. Personal Services Contractors |
| USAID | Not reported | 2Q/FY 2010 | Audit of USAID/Iraq's Community Action Program III Activities |
| USAID | Not reported | 1Q/FY 2010 | Audit of USAID/Iraq's Agriculture Private Sector Development - Agribusiness Program |
| USAID | Not reported | 4Q/FY 2009 | Audit of USAID/Iraq's Implementation of the Iraq Financial Management Information System |
| USAID | Not reported | 4Q/FY 2009 | Survey of Incidents Reported by Private Security Contractors of USAID/Iraq's Contractors and Grantees |

OTHER AGENCY INVESTIGATIONS

SIGIR regularly coordinates with other government agencies conducting investigations in Iraq. For statistics of investigative activities from other agencies, see Table 4.3. ♦

TABLE 4.3
INVESTIGATIVE ACTIVITIES - OTHER AGENCY INVESTIGATIONS

| AGENCY | INVESTIGATORS IN IRAQ | INVESTIGATORS IN KUWAIT | OPEN/ONGOING CASES* |
|--|-----------------------|-------------------------|---------------------|
| U.S. Army Criminal Investigation Command, Major Procurement Fraud Unit | 6 | 2 | 111 |
| Defense Criminal Investigative Service | 5 | 1 | 234 |
| DoS OIG | 1 | 0 | 15 |
| Federal Bureau of Investigation | 5 | 1 | 102 |
| Naval Criminal Investigative Service | 0 | 1 | 2 |
| U.S. Air Force, Office of Special Investigations | 2 | 0 | 5 |
| USAID | 2 | 0 | 14 |
| Total | 21 | 5 | 483 |

* Numbers include pending cases worked with other agencies within the Joint Operations Center.