

OTHER AGENCY OVERSIGHT

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SECTION

6

INTRODUCTION

In March 2004, SIGIR formed the Iraq Inspectors General Council (IIGC) to provide a forum for discussion of oversight in Iraq and to enhance collaboration and cooperation among the inspectors general (IGs) of the agencies that oversee Iraq reconstruction funds. Representatives of member organizations have met quarterly to exchange details about current and planned audits, to identify opportunities for collaboration, and to minimize redundancies.

In light of the continuing scale-down of efforts in Iraq, the IIGC is transitioning its work under the umbrella of the Department of Defense Office of Inspector General (DoD OIG) Southwest Asia Joint Planning Group. As such, the quarterly IIGC meetings will no longer take place. SIGIR plans to continue close cooperation with the entire oversight community engaged in Iraq oversight and anticipates the creation of a sub-group within the Joint Planning Group that will ensure continued focus on the Iraq mission. In May 2011, the Joint Planning Group held its seventeenth meeting.

Each quarter, SIGIR requests updates from member organizations on their completed, ongoing, and planned oversight activities. This section summarizes the audits and investigations reported to SIGIR this quarter by the following organizations:

- DoD OIG
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- U.S. Army Audit Agency (USAAA)
- U.S. Agency for International Development Office of Inspector General (USAID OIG)

For Defense Contract Audit Agency (DCAA) updates, see Appendix F.

In previous quarters, SIGIR has provided updates on the U.S. Department of the Treasury and the U.S. Department of Commerce, but these agencies have no current Iraq reconstruction oversight activities ongoing or planned for FY 2011. SIGIR no longer reports on these agencies in this section.

Section 842 of the National Defense Authorization Act for Fiscal Year 2008 (Public Law 110-181) requires that SIGIR, in consultation with other inspectors general, develop “a comprehensive plan for a series of audits” of “federal agency contracts, subcontracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq.” Following the enactment of Section 842, SIGIR has worked closely with the DoD OIG Southwest Asia Joint Planning Group, which has facilitated the production of the Comprehensive Oversight Plan for Southwest Asia. That document, compiled by the relevant IGs (including SIGIR), summarizes ongoing and near-term planned audits for Iraq and the region. ♦

OTHER AGENCY OVERSIGHT REPORTS

This section updates the audits that IIGC member agencies reported to SIGIR:

- For recently completed oversight report activity, see Table 6.1.
- For ongoing oversight report activity of other U.S. agencies during this reporting period, see Table 6.2.
- For more information on other agency oversight reports, including report summaries, see Appendix F.
- For a complete historical list of oversight reports and reviews on Iraq reconstruction by all entities, see Appendix G. ♦

TABLE 6.1
RECENTLY COMPLETED OVERSIGHT REPORTS OF OTHER U.S. AGENCIES, AS OF 6/30/2011

| AGENCY | REPORT NUMBER | REPORT DATE | REPORT TITLE |
|-----------|-----------------|-------------|---|
| DoD OIG | D-2011-078 | 6/30/2011 | Contracts Supporting Base Operations in Kuwait Need Stronger Management and Administration |
| DoD OIG | D-2011-066 | 6/1/2011 | Incomplete Contract Files for Southwest Asia Task Orders on the Warfighter Field Operations Customer Support Contract |
| DoD OIG | D-2011-061 | 5/3/2011 | Excess Inventory and Contract Pricing Jeopardize the Army Contract with Boeing to Support Corpus Christi Army Depot |
| DoD OIG | D-2011-056 | 4/14/2011 | Consistent Use of Supply Support Activities Could Increase Efficiency of Equipment Drawdown from Iraq |
| DoD OIG | D-2011-059 | 4/8/2011 | Army Commercial Vendor Services Offices in Iraq Noncompliant with Internal Revenue Service Reporting Requirements |
| DoS OIG | MERO-I-11-08 | 5/21/2011 | Review of Embassy Baghdad Transition Planning—Phase II (DoD Downsizing) |
| GAO | GAO-11-561 | 6/3/2011 | Combating Terrorism: U.S. Government Should Improve Its Reporting on Terrorist Safe Havens |
| GAO | GAO-11-713T | 6/3/2011 | Combating Terrorism: U.S. Government Strategies and Efforts to Deny Terrorists Safe Haven |
| GAO | GAO-11-456 | 5/26/2011 | Military Training: Actions Needed to Improve Planning and Coordination of Army and Marine Corps Language and Culture Training |
| GAO | GAO-11-580 | 4/25/2011 | Contingency Contracting: Observations on Actions Needed to Address Systemic Challenges |
| USAAA | A-2011-0145-ALL | 6/22/2011 | Contract for Recycling and Disposing of Waste Material at Camp Steeler, Iraq |
| USAAA | A-2011-0072-ALL | 5/25/2011 | U.S. Equipment Transferred to Iraq |
| USAAA | A-2011-0098-ALL | 4/29/2011 | Controls over Shipping Container Accountability and Visibility—Iraq |
| USAAA | A-2011-0101-ALC | 4/28/2011 | Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out |
| USAAA | A-2011-0076-ALL | 4/14/2011 | Followup Audit of Retrograde Operations in Southwest Asia—Multi Class Supplies |
| USAAA | A-2011-0077-ALL | 4/12/2011 | Followup Audit of Retrograde Operations—Class VII Equipment in Iraq |
| USAID OIG | E-267-11-002-P | 5/16/2011 | Audit of USAID/Iraq's Agribusiness Program |

OTHER AGENCY OVERSIGHT

TABLE 6.2
ONGOING OVERSIGHT ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 6/30/2011

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|---------|-----------------------|----------------|---|
| DoD OIG | D2011-D000JA-0212.000 | 4/25/2011 | Theater-Provided Equipment Refurbishment Program for Tactical Wheeled Vehicles |
| DoD OIG | D2011-D005PO-0203.000 | 3/25/2011 | Assessment of the DoD Establishment of the Office of Security Cooperation-Iraq |
| DoD OIG | D2011-D000AB-0156.000 | 3/11/2011 | DoD Countermine and Improvised Explosive Device Defeat Systems Contracts-Vehicle Optics Sensor System |
| DoD OIG | D2011-D000JB-0098.000 | 12/8/2010 | Contracting for Force Protection for U.S. Installations in Iraq |
| DoD OIG | D2011-D000LF-0041.000 | 11/2/2010 | Follow-up of Health Care Provided by Military Treatment Facilities to Contractors in Southwest Asia |
| DoD OIG | D2011-D000CH-0032.000 | 10/19/2010 | Contractor Logistics Support Contract for Stryker Vehicles with General Dynamics Land Systems |
| DoD OIG | D2010-D000LD-0264.000 | 8/30/2010 | Controls and Processes Pertaining to the Defense Logistics Agency's Procurement Automated Contract Evaluation System |
| DoD OIG | D2010-D000JA-0241.000 | 7/21/2010 | Special Operations Forces Plans for the Drawdown and Reset of Property in Iraq |
| DoD OIG | D2010-D005PO-0209.000 | 4/16/2010 | Assessment of DoD Wounded Warrior Matters |
| DoD OIG | D2010-D000AE-0139.000 | 1/29/2010 | DoD Countermine and Improvised Explosive Device Defeat Systems Interrogation Arm |
| DoD OIG | D2010-D000CH-0077.001 | 11/18/2009 | Material Purchases Made Through the Partnership Agreement with Sikorsky Aircraft Corporation at Corpus Christi Army Depot |
| DoD OIG | D2009-D000JB-0307.000 | 9/16/2009 | Controls Over the Accountability and Disposition of Government Furnished Property in Iraq |
| DoD OIG | D2009-DIPOE3-0306.000 | 9/11/2009 | Review of Army Response to Sodium Dichromate Exposure at Qarmat Ali, Iraq—Part II |
| DoD OIG | D2009-D005PO-0287.000 | 8/11/2009 | U.S. Government Efforts to Transition the Security Assistance Mission Supporting the Government of Iraq from Department of Defense Authority to Department of State Authority |
| DoD OIG | D2009-D000FG-0183.000 | 4/7/2009 | FY 2008 Marine Corps Global War on Terror-Related Costs Processed Through the Standard Accounting, Budgeting, and Reporting System |
| DoD OIG | D2009-D000FB-0112.000 | 1/5/2009 | Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan |
| DoD OIG | D2009-D000CK-0100.000 | 12/9/2008 | Maintenance and Support of the Mine Resistant Ambush Protected Vehicle |
| DoD OIG | D2008-D000CD-0256.000 | 8/7/2008 | DoD Body Armor Contracts |
| DoD OIG | D2007-D000FL-0252.000 | 8/31/2007 | Internal Controls and Data Reliability in the Deployable Disbursing System |
| DoS OIG | 11MERO3010 | 1/1/2011 | Program Evaluation of the Department of State's Antiterrorism Assistance Program (ATA) in Iraq |
| DoS OIG | 11MERO3004 | 12/1/2010 | Audit of Embassy Baghdad's Operations and Maintenance Contract with PAE |
| GAO | 351617 | Not reported | Biometrics, Last Tactical Mile |
| GAO | 351603 | Not reported | Intelligence, Surveillance, and Reconnaissance Collection and Tasking Management |
| GAO | 320843 | Not reported | Transition to a Predominantly Civilian Presence in Iraq |
| GAO | 120976 | Not reported | State Contracting for Conflict Countries |
| GAO | 351552 | 10/21/2010 | Marine Corps Equipping Strategies to Reset Equipment Returning from Iraq and Afghanistan |
| GAO | 351550 | 10/8/2010 | Army's Strategies to Reset Non-Standard Equipment Returning from Iraq |
| GAO | 120974 | 8/6/2010 | Review of Joint Report on Contracting in Iraq and Afghanistan |
| GAO | 351514 | 6/14/2010 | Army Advise and Assist Brigades |
| GAO | 351476 | 4/1/2010 | DoD Iraq Drawdown Planning |
| GAO | 351431 | 1/7/2010 | Army's Equipping Strategies to Reset Equipment Returning from Iraq |
| GAO | 320734 | 11/1/2009 | U.S. Strategy and Joint Campaign Plan for Iraq |
| GAO | 120931 | 7/1/2009 | Close-out of Iraq Contracts |
| USAAA | A-2011-ALL-0414.000 | 3Q/FY 2011 | Property Accountability of Organizational and Theater-Provided Equipment in Iraq |
| USAAA | A-2011-ALL-0346.000 | 2Q/FY 2011 | Property Accountability in CONUS |

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OTHER AGENCY OVERSIGHT REPORTS

| AGENCY | PROJECT NUMBER | DATE INITIATED | PROJECT TITLE |
|-----------|---------------------|----------------|---|
| USAAA | A-2011-ALL-0342.000 | 2Q/FY 2011 | Commander's Emergency Response Program-Afghanistan |
| USAAA | A-2011-ALL-0344.000 | 2Q/FY 2011 | Property Accountability in Afghanistan |
| USAAA | A-2011-ALL-0092.000 | 2Q/FY 2011 | Foreign Excess Personal Property (FEPP) Program-Phase II |
| USAAA | A-2011-ALL-0135.000 | 1Q/FY 2011 | Micro-Purchases of Field Ordering Officers-Afghanistan |
| USAAA | A-2011-ALL-0107.000 | 1Q/FY 2011 | The U.S. Equipment Transfer to Iraq (USETTI) Program-Phase II |
| USAAA | A-2011-ALL-0098.000 | 1Q/FY 2011 | Bulk Fuel Operations in Afghanistan |
| USAAA | A-2011-ALL-0087.001 | 1Q/FY 2011 | Management Controls Over Payments for Overseas Contingency Operations Transportation-ARCENT |
| USAAA | A-2011-ALL-0087.000 | 1Q/FY 2011 | Management Controls over Department of Defense Activity Address Codes (DODAAC), U.S. Army Central Command |
| USAAA | A-2010-ALL-0541.000 | 4Q/FY 2010 | Bulk Fuel Operations in Iraq |
| USAAA | A-2010-ALL-0480.000 | 3Q/FY 2010 | Agreed-Upon Procedures Attestation for USFOR-A LOGCAP Course of Action - Afghanistan |
| USAAA | A-2010-ALM-0394.000 | 3Q/FY 2010 | Follow-up Audit of Automatic Reset Induction |
| USAAA | A-2010-ALC-0125.003 | 3Q/FY 2010 | Human Capital Issues-Current Plans (Reachback Capabilities) |
| USAAA | A-2010-ALL-0421.000 | 2Q/FY 2010 | LOGCAP IV Contract Requirements Determination-Afghanistan |
| USAAA | A-2010-FFF-0372.000 | 2Q/FY 2010 | Improvised Explosive Device Defeat (IED-D) Home Station Training |
| USAAA | A-2010-ALL-0311.001 | 2Q/FY 2010 | Disposal of Army Equipment and Materials Into Dump Sites in Iraq |
| USAAA | A-2009-ALL-0118.000 | 2Q/FY 2009 | Controls over Vendor Payments-Southwest Asia (Phase II) |
| USAID OIG | Not reported | 3Q/FY 2011 | Audit of Audit of the Sustainability of Selected USAID/Iraq-Funded Information Technology Systems |
| USAID OIG | Not reported | 2Q/FY 2011 | Audit of USAID/Iraq's Elections Support Program |
| USAID OIG | Not reported | 4Q/FY 2010 | Information Survey of USAID/Iraq's Active Awards and Subawards During Fiscal Year 2010 |
| USAID OIG | Not reported | 3Q/FY 2010 | Audit of Audit of USAID/Iraq's Microfinance Activities Under its Provincial Economic Growth Program |
| USAID OIG | Not reported | 2Q/FY 2010 | Audit of USAID/Iraq's Community Action Program III Activities Implemented by International Relief and Development |

OTHER AGENCY INVESTIGATIONS

SIGIR regularly coordinates with other government agencies conducting investigations in Iraq. For statistics of investigative activities from other agencies, see Table 6.3. ♦

TABLE 6.3
STATUS OF INVESTIGATIVE ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 6/30/2011

| AGENCY | INVESTIGATORS IN IRAQ | INVESTIGATORS IN KUWAIT | OPEN/ONGOING CASES* |
|--|-----------------------|-------------------------|---------------------|
| U.S. Army Criminal Investigation Command, Major Procurement Fraud Unit | 2 | 2 | 110 |
| Defense Criminal Investigative Service | 1 | 2 | 155 |
| DoS OIG | 2 | 0 | 19 |
| FBI | 3 | 1 | 51 |
| NCIS | 0 | 1 | 3 |
| U.S. Air Force, Office of Special Investigations | 0 | 0 | 6 |
| USAID | 1 | 0 | 13 |
| Total | 9 | 6 | 357 |

* Numbers include pending cases worked with other agencies within the Joint Operations Center.