

## **Glossary**

## Acronyms and Definitions

Reference	Description
13290 (E.O. 13290)	Executive Order (EO) 13290 providing authority to Treasury for seized and vested funds, signed 3/20/03 (one of 3 EOs)
13303 (E.O. 13303)	Executive Order 13303 protecting the DFI (one of 3 EOs)
13315 (E.O. 13315)	Executive Order 13315 Blocking Property Transfers from certain Iraqi individuals and interests – one of only 3 EOs issued by President Bush on the matter at hand
1483	UN Security Council Resolution 1483 (2003) - United Nations Security Council recognizing the “Authority”, CPA <sup>1</sup>
1506	Section 1506 PL 108-11 requires Quarterly Reports about Iraq reconstruction <sup>2</sup>
2207	Section 2207 PL 108-106 requires Quarterly Reports from CPA <sup>3</sup>
3001	Section 3001 PL 108-106 requires Quarterly Reports from CPA-IG, also establishes the CPA-IG <sup>4</sup>
AAE	U.S. Army Acquisition Executive
ACO	Administrative Contracting Officer
AFARS	U.S. Army Federal Acquisition Regulation Supplement
AIG	U.S. Army Inspector General
Altanmia	Commercial Marketing Company of Kuwait – sub to Halliburton
AMC	U.S. Army Materiel Command
AOR	Area of Operation – CPA
Appropriated Funds	Funds made available to the CPA as a result of an act of Congress that permits the CPA to incur obligations and to make payments out of the Department of Treasury for specified purposes
ASA/ALT	Assistant Secretary Army / Acquisitions, Logistics & Technology
C/N	Congressional Notification
CENTCOM	U.S. Military Central Command
CERF	Commanders Emergency Response Fund
CERP	Commanders Emergency Response Program
CIC	Council for International Coordination – Chaired by the MoPDC and provides advice and support to the Minister on coordination issues
CIVPOL	Civilian Police
CJTF	Combined Joint Task Force
CJTF 7	Combined Joint Task Force 7
CO	Contracting Officer

<sup>1</sup> United Nations Security Council Resolution 1483 (2003) - [[http://www.un.org/Docs/sc/unsc\\_resolutions03.html](http://www.un.org/Docs/sc/unsc_resolutions03.html)].

<sup>2</sup> PL 108-11 Section 1506 - [[http://www.fas.org/asmp/resources/govern/108th/PL\\_108\\_11.htm](http://www.fas.org/asmp/resources/govern/108th/PL_108_11.htm)].

<sup>3</sup> PL 108-106 Section 2207 - [[http://www.fas.org/asmp/resources/govern/108th/pl\\_108\\_106.pdf](http://www.fas.org/asmp/resources/govern/108th/pl_108_106.pdf)].

<sup>4</sup> PL 108-106 Section 3001 - [[http://www.fas.org/asmp/resources/govern/108th/pl\\_108\\_106.pdf](http://www.fas.org/asmp/resources/govern/108th/pl_108_106.pdf)].

Contract	Any contract or agreement between the CPA and any entity for any of the following purposes: (1) to build physical infrastructure of Iraq, (2) to establish political or societal institution, (3) to provide products or services to the people of Iraq
CONUS	Continental United States
COR	Contracting Officer Representative
CPA	Coalition Provisional Authority - Temporary governing body which has been designated by the United Nations as the lawful government of Iraq until such time as Iraq is politically and socially stable enough to assume its sovereignty <sup>5</sup>
CPA Mission	The mission of the Coalition Provisional Authority (CPA) is a free and democratic Iraq that is at peace with its neighbors
CPACO	CPA Contracting Office
CPA-IG	Coalition Provisional Authority, Office of Inspector General – Provides audits and investigations relating to the programs and operations of the CPA <sup>6</sup>
DAIG	Deputy Assistant Inspector General
DCAA	Defense Contract Audit Agency - Responsible for performing all contract audits for the Department of Defense, and providing accounting and financial advisory services <sup>7</sup>
DCC-W	Defense Contracting Command Washington
DCIS	Defense Criminal Investigative Service
DCMA	Defense Contract Management Agency
DDR	Demobilization, disarmament, and reintegration
Department of Treasury Mission	The mission of the Department of Treasury is to promote the conditions for prosperity and stability in the United States and encourage prosperity and stability in the rest of the world <sup>8</sup>
DESC	Defense Energy Support Center
DFARS	Defense Federal Acquisition Regulation Supplement
DFI	Development Fund for Iraq – Established by UN Security Council Resolution 1483 (2003). Includes Oil for Food program, United Nations funds, Donor Funds, and US Contributions. No appropriated funds are included <sup>9</sup>
DO	Departmental Offices
DoD	Department of Defense
DoD IG	Inspector General of the Department of Defense
Donor Funds	Monies given to the CPA by foreign nations or international organizations to be used in the rebuilding and reestablishing of Iraq

<sup>5</sup> Coalition Provisional Authority Regulation Number 1 - [<http://www.cpa-iraq.org/regulations/index.html#Regulations>]

United Nations Security Council Resolution 1483 (2003) - [[http://www.un.org/Docs/sc/unsc\\_resolutions03.html](http://www.un.org/Docs/sc/unsc_resolutions03.html)]

<sup>6</sup> PL 108-106 Section 3001 - [[http://www.fas.org/asmp/resources/govern/108th/pl\\_108\\_106.pdf](http://www.fas.org/asmp/resources/govern/108th/pl_108_106.pdf)]

<sup>7</sup> DCAA – [<http://www.dcaa.mil/>]

<sup>8</sup> Department of Treasury Strategic Plan [Fiscal Year 2003-2008]

<sup>9</sup> United Nations Security Council Resolution 1483 (2003) - [[http://www.un.org/Docs/sc/unsc\\_resolutions03.html](http://www.un.org/Docs/sc/unsc_resolutions03.html)]

	and in support of the Iraqi people
DSRSG	Deputy Special Representative of the Secretary General – Chair of UNDG Trust Fund Steering Committee
EOTFFC	The Executive Office of Terrorist Financing and Financial Crime
EPRO	Emergency Response Pipeline Repair Operation
Expenditure	Outflow of resources which the CPA spends to perform its function.
FAR	Federal Acquisition Regulation
FDC	Federal Deployment Center
FISMA	Federal Information Security Management Act
FMAT	Financial Management Assessment Team
FMP	Free Media Program
Foreign Assistance Act	Governs and dictates USAID – Limits competition to U.S. primes only. Foreign countries may participate as subcontractors as long as they do not appear on the restricted countries list
FY	Fiscal year
GAO	General Accounting Office – Audit, evaluation, investigative arm of Congress <sup>10</sup>
GDP	Gross Domestic Product
GPRA	Government Performance and Results Act
HCA	Head of Contracting Activity
HNS	Host Nation Support
IAG	Interagency Working Group – DoD, DoS, CPA, USAID, and OMB group for IRRF implementation process
IAMB	International Advisory and Monitoring Board – Set up by UN Security Council Resolution 1483 (2003) to monitor and supervise external independent audits of the DFI <sup>11</sup>
ICDC	Iraqi Civil Defense Corps
IDIQ	Indefinite Delivery/Indefinite Quantity – The total amount of work and specific projects to be completed are unknown at the time of the bid and award
IFF	Iraqi Freedom Fund
IFIs	International Financial Institutions
IFWG	Iraq Financial Working Group
IGC	Iraqi Governing Council
IIG	Iraq Inspector General’s Council
IMF	International Monetary Fund
IMIG	Iraqi Ministry Inspectors General
IMN	Iraqi Media Network – Independent indigenous media network providing of radio, television, internet and print media, and designed to be wholly self-supporting once the CPA stands down
IPCC	Iraq Property Claims Commission

<sup>10</sup> GAO – [<http://www.gao.gov>]

<sup>11</sup> United Nations Security Council Resolution 1483 (2003) - [[http://www.un.org/Docs/sc/unsc\\_resolutions03.html](http://www.un.org/Docs/sc/unsc_resolutions03.html)]

Coalition Provisional Authority Regulation Number 2 Section 2 - [<http://www.cpa-iraq.org/regulations/index.html#Regulations>]

IPP	Independent Power Producers
IRRF (FY03)	Iraq Relief and Reconstruction Fund - \$2.4 B IRRF set up in PL 108-11
IRRF (FY04)	Iraq Relief and Reconstruction Fund - Later funded with \$18.6 B (\$18.4 B after subtracting \$210 M fund assistance for Jordan, Liberia, and Sudan) in PL 108-106 <sup>12</sup>
KBR	Kellogg, Brown & Root, the Halliburton subsidiary
LOGCAP	Logistics Civil Augmentation Program - U.S. Army initiative for peacetime planning for the use of civilian contractors in wartime and other contingencies
LSU	LOGCAP Support Unit
MIM	Ministry of Industry and Minerals
MOBIS	Management, Organizational and Business Improvement Services
MOF	Ministry of Finance
MoPDC	Ministry of Planning and Development Cooperation – Official new name of the old Iraqi Ministry of Planning
NGO	Non-Governmental Organization
NRRRF	Natural Resources Risk Remediation Fund
NSC	National Security Council
Obligation	The amounts of orders placed, contracts awarded, or services received, which will require outlays during the same or future period
OFAC	Office of Foreign Assets Control
OFF	Oil For Food
OGC	Office of the General Counsel
OMB	Office of Management and Budget - OMB's predominant mission is to assist the President in overseeing the preparation of the federal budget and to supervise its administration in Executive Branch agencies
Operating Expenses	The expenses that the CPA incurs during the normal cause of business. Includes wages, materials, facilities, travel, etc.
Operation Iraqi Freedom	Official title for the Military Operation in Iraq begun in March 2003
ORHA	Office of Reconstruction and Humanitarian Assistance – Precursor to, and replaced by, the CPA. First organization set up in Iraq to cover relief and reconstruction. Led by Gen. Jay Garner
OSC	Operations Support Command
PARC	Principal Assistant Responsible for Contracting
PMA	President's Management Agenda
PMO	Program Management Office – Under the CPA, run by Admiral David J. Nash. Manages the \$18.4 billion appropriated by the U.S. Congress to support the reconstruction of Iraqi infrastructure. Responsible for all activities associated with program, project, asset, construction and

<sup>12</sup> PL 108-11 Chapter 5 - [[Hhttp://www.fas.org/asmp/resources/govern/108th/PL\\_108\\_11.htm](http://www.fas.org/asmp/resources/govern/108th/PL_108_11.htm)]  
PL 108-106 Chapter 2 - [[Hhttp://www.fas.org/asmp/resources/govern/108th/pl\\_108\\_106.pdf](http://www.fas.org/asmp/resources/govern/108th/pl_108_106.pdf)]

	financial management of that portion of the reconstruction effort undertaken by the U.S. <sup>13</sup>
PRB	Program Review Board – Entity created by the Administrator of the CPA, Amb. Bremer, under Coalition Provisional Authority Regulation Number 3, to ensure that funds are managed in a transparent manner and consistent with applicable law <sup>14</sup>
PRC	Program Review Committee – Consists of an advisory council and representatives of voting members. Screens items for PRB <sup>15</sup>
Program	A functional grouping of projects with a common goal
Project	A series of tasks undertaken by the CPA with clearly defined objectives and deliverables
Public Law 108-106 (PL 108-106)	\$87.5 B appropriation measure passed 11/6/03 that includes CPA-IG creation, \$18.6 B (\$18.4 B after subtracting \$210 M fund assistance for Jordan, Liberia, and Sudan) Iraq Relief & Reconstruction Fund Money <sup>16</sup>
Public Law 108-11 (PL 108-11)	First \$74 B appropriation measure that included \$2.4 B and set up the Iraq Relief and Reconstruction Fund <sup>17</sup>
PVO	US private voluntary organizations
RCC	Regional Contracting Centers
Revenue	Amounts earned as a result of normal operations. Normally generated from the sale of or reimbursement for goods and services. (per DoD Financial Management Regulation)
RIE	Restore Iraqi Electricity
RIO	Restore Iraqi Oil
RRRP	Rapid Regional Response Program
Seized Asset	Funds consist of state owned or regime owned cash, funds, realizable securities, or movable property that was captured and safeguarded by coalition forces in Iraq
SRB	Strategic Review Board – The Iraq Strategic Review Board defines the Priority Programme based on the needs assessment and revises it as necessary in view of changes in the Iraqi budget, resources and economic circumstances
Strategic Objectives	Defines how the CPA will carry out its mission. The strategic objectives demonstrate the complex approaches needed to achieve goals
TCV	Total Contract Value
Tiger Teams	Deployment teams, CPA-IG staff deployed in Baghdad made up of

<sup>13</sup> Coalition Provisional Authority Program Management Office - [[http://www.rebuilding-iraq.net/portal/page?\\_pageid=33,32246&\\_dad=portal&\\_schema=PORTAL](http://www.rebuilding-iraq.net/portal/page?_pageid=33,32246&_dad=portal&_schema=PORTAL)]

<sup>14</sup> Coalition Provisional Authority Regulation Number 3 Section 1 - [<http://www.cpa-iraq.org/regulations/index.html#Regulations>]

<sup>15</sup> Coalition Provisional Authority Regulation Number 3 Section 5 - [<http://www.cpa-iraq.org/regulations/index.html#Regulations>]

<sup>16</sup> PL 108-106 - [[http://www.fas.org/asmp/resources/govern/108th/pl\\_108\\_106.pdf](http://www.fas.org/asmp/resources/govern/108th/pl_108_106.pdf)]

HR 3289 – Fiscal 2004 Supplemental for Iraq and Afghanistan Became Public Law, PL 108-106 on 6 Nov 2003 – [[http://www.roa.org/pdf/legislative/fy04\\_supl\\_bill\(1\).pdf](http://www.roa.org/pdf/legislative/fy04_supl_bill(1).pdf)]

<sup>17</sup> PL 108-11 - [[http://www.fas.org/asmp/resources/govern/108th/PL\\_108\\_11.htm](http://www.fas.org/asmp/resources/govern/108th/PL_108_11.htm)]

	auditors, inspectors, and investigators
TRIB	Technology Investment Review Board
U.S.	United States
UN	United Nations
UN CT	UN Country Team
UN/World Bank Facility Coordination Committee	Includes representatives from the Bank and UNDG. The IMF serves in observer status
UNDG	United Nations Development Group – Defines the reconstruction requirements of Iraq
UNDG ITF	UNDG Iraq Trust Fund
UNDP	United Nations Development Program
UNHCR	United Nations High Commissioner for Refugees <sup>18</sup>
UNICEF	United Nations Children’s Fund
UNMCR	United Nations High Commissioner for Refugees
UNMIR	United Nations Mission in Iraq
UNSCR	United Nations Security Council Resolution
USAAA	United States Army Audit Agency
USACE	U.S. Army Corps of Engineers <sup>19</sup>
USAID	United States Agency for International Development - supports long-term and equitable economic growth and advances U.S. foreign policy objectives by supporting: economic growth, agriculture and trade; global health; and, democracy, conflict prevention and humanitarian assistance <sup>20</sup>
USAID IG	United States Agency for International Development, Office of Inspector General (OIG) - Detects and prevents fraud, waste, and abuse, and violations of law and to promote economy, efficiency and effectiveness in the operations of the Agency for International Development <sup>21</sup>
USAID Mission	USAID contributes to U.S. national interests by supporting the people of developing and transitional countries in their efforts to achieve enduring economic and social progress and to participate more fully in resolving the problems of their countries and the world
USDoJ	U.S. Department of Justice
Vested Funds	Iraqi funds that previously belonged to the former regime in Iraq were frozen in US bank accounts and vested by the President of the United States in a special account of the U.S. Department of the Treasury
WMD	Weapons of Mass Destruction
World Bank ITF	World Bank Iraq Trust Fund
WTO	World Trade Organization

<sup>18</sup> UNHCR - [<http://www.unhcr.ch>]

<sup>19</sup> USACE – [<http://www.usace.army.mil/>]

<sup>20</sup> USAID – [<http://www.usaid.gov>]

<sup>21</sup> USAID-IG – [<http://www.usaid.gov/oig>]

## **Appendix A - Statutory Requirements**

This appendix cross references the specific pages of this report to the reporting requirements prescribed by Public Law 108-106 Section 3001.

## Statutory Requirements

### Cross-Reference of Report

<b>Supervision</b>			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (e)(1)	Report directly to, and be under the supervision of the head of the CPA	<ul style="list-style-type: none"> <li>Report to CPA Administrator</li> </ul>	19-20
<b>Duties</b>			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (f)(1)	Conduct, supervise and coordinate audits and investigations of the treatment, handling and expenditure of appropriated funds by the CPA in Iraq, and of the programs, operations, and contracts carried out in utilizing such funds including -	<ul style="list-style-type: none"> <li>Review appropriated funds</li> <li>Programs, operations, contracts utilizing appropriated funds</li> </ul>	25-40
Sec. 3001 (f)(1)(A)	...the oversight and accounting of the obligation and expenditure of such funds;	<ul style="list-style-type: none"> <li>Review obligations and expenditures of appropriated funds</li> </ul>	25-40
Sec. 3001 (f)(1)(B)	...the monitoring and review of reconstruction activities funded by such funds;	<ul style="list-style-type: none"> <li>Review reconstruction activities funded by appropriations</li> </ul>	25-40
Sec. 3001 (f)(1)(C)	...the monitoring and review of contracts funded by such funds;	<ul style="list-style-type: none"> <li>Review contracts using appropriated funds</li> </ul>	38-40
Sec. 3001 (f)(1)(D)	...the monitoring and review of the transfer of such funds and associated information between and among the CPA, other departments, agencies and entities of the Federal Government, and private and nongovernmental entities; and	<ul style="list-style-type: none"> <li>Review transfers internal and external of appropriated funds</li> </ul>	25-40
Sec. 3001 (f)(1)(E)	the maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such funds.	<ul style="list-style-type: none"> <li>Maintain records</li> </ul>	16-24
Sec. 3001 (f)(2)	Establish, maintain, and oversee such systems, procedures, and controls as the Inspector General considers appropriate	<ul style="list-style-type: none"> <li>Establish, maintain and oversee systems, procedures, and controls</li> </ul>	16-24
Sec. 3001 (f)(3)	...the duties and responsibilities ... under the Inspector General Act of 1978	<ul style="list-style-type: none"> <li>Traditional IG duties</li> </ul>	8-9
Sec. 3001 (f)(4)&(5)	Coordinate with and receive cooperation of IG of DoD and IG of USAID	<ul style="list-style-type: none"> <li>Links to DoD IG; USAID IG</li> </ul>	42-46

<b>Personnel, Facilities, and Other Resources</b>			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (h)(4)(A)	Upon request of the Inspector General for information or assistance from any department, agency, or other entity of the Federal Government, the head of such entity shall, insofar as is practicable and not in contravention of any existing law, furnish such information or assistance to the IG...	<ul style="list-style-type: none"> <li>Establish working group</li> </ul>	16-18
<b>Reports</b>			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (i)(1)...	Not later than March 30, 2004, and every calendar quarter thereafter, the Inspector General shall submit to the appropriate committees of Congress a report	<ul style="list-style-type: none"> <li>Report – March 30, 2004</li> <li>Report – June 30, 2004</li> </ul>	1-2
Sec. 3001 (i)(1) (cont.)	...summarizing the activities of the Inspector General and the Coalition Provisional Authority during the 120-day period ending on the date of such report.	<ul style="list-style-type: none"> <li>Summarize activities of IG and CPA</li> </ul>	5-24
Sec. 3001 (i)(1) (cont.)	Each report shall include, for the period covered by such report, a detailed statement of all obligations, expenditures, and revenues associated with reconstruction and rehabilitation activities in Iraq, including the following:	<ul style="list-style-type: none"> <li>Detailed statement of all obligations, expenditures, and revenues</li> </ul>	25-40
Sec. 3001 (i)(1)(A)	Obligations and expenditures of appropriated funds.	<ul style="list-style-type: none"> <li>Obligations and expenditures of appropriated funds</li> </ul>	25-40
Sec. 3001 (i)(1)(B)	A project-by-project and program-by-program accounting of the costs incurred to date for the reconstruction of Iraq,	<ul style="list-style-type: none"> <li>Project-by-project and program-by-program accounting of costs</li> </ul>	App. H App. I
Sec. 3001 (i)(1)(B)	together with the estimate of the Coalition Provisional Authority of the costs to complete each project and each program.	<ul style="list-style-type: none"> <li>Estimate cost to complete each project or program.</li> </ul>	38

<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (i)(1)(C)	Revenues attributable to or consisting of funds provided by foreign nations or international organizations, and any obligations or expenditures of such revenues.	<ul style="list-style-type: none"> <li>Revenues, obligations, and expenditures of donor funds</li> </ul>	28-37 App. E
Sec. 3001 (i)(1)(D)	Revenues attributable to or consisting of foreign assets seized or frozen, and any obligations or expenditures of such revenues.	<ul style="list-style-type: none"> <li>Revenues, obligations, and expenditures of funds from seized or frozen assets</li> </ul>	28-37 App. C App. D
Sec. 3001 (i)(1)(E)	Operating expenses of the Coalition Provisional Authority and of any other agencies or entities receiving appropriated funds.	<ul style="list-style-type: none"> <li>Operating expenses of CPA or any organization receiving appropriated funds</li> </ul>	35
Sec. 3001 (i)(1)(F)	In the case of any contract described in paragraph i(2)(*see Sec. 3001 (i)(2) below)		n/a
Sec. 3001 (i)(1)(F)(i)	The amount of the contract or other agreement;	<ul style="list-style-type: none"> <li>Amount of each contract or other agreement</li> </ul>	38-40 App. H App. I
Sec. 3001 (i)(1)(F)(ii)	A brief discussion of the scope of the contract or other agreement;	<ul style="list-style-type: none"> <li>Brief discussion of each contract scope</li> </ul>	38-40 App. H App. I
Sec. 3001 (i)(1)(F)(iii)	A discussion of how the Coalition Provisional Authority identified, and solicited offers from, potential contractors to perform the contract, together with a list of the potential contractors that were issued solicitations for the offers; and	<ul style="list-style-type: none"> <li>Discussion of: <ul style="list-style-type: none"> <li>oHow potential contractors were identified</li> <li>oHow offers were solicited</li> <li>oList of contractors that were issued solicitations</li> </ul> </li> </ul>	38-40 App. H App. I
Sec. 3001 (i)(1)(F)(iv)	The justification and approval documents on which was based the determination to use procedures other than procedures that provide for full and open competition.	<ul style="list-style-type: none"> <li>Justification and approval documents for contracts that were not full and open competitions</li> </ul>	38-40 App. H App. I
Sec. 3001 (i)(2)	A contract described in this paragraph is any major contract or other agreement that is entered into by the Coalition Provisional Authority with any public or private sector entity for any of the following purposes:	<ul style="list-style-type: none"> <li>Describes a contract</li> </ul>	n/a

<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (i)(2)(A)	To build or rebuild physical infrastructure of Iraq.	• Describes a contract	n/a
Sec. 3001 (i)(2)(B)	To establish or reestablish a political or societal institution of Iraq.	• Describes a contract	n/a
Sec. 3001 (i)(2)(C)	To provide products or services to the people of Iraq.	• Describes a contract	n/a
Sec. 3001 (i)(3)	Not later than June 30, 2004, and semiannually thereafter, the Inspector General shall submit to the appropriate committees of Congress a report meeting the requirements of section 5 of the Inspector General Act of 1978.	• Submit semiannual IG report to Congress on June 30, 2004 and semiannually thereafter	8-9
Sec. 3001 (i)(4)	The Inspector General shall publish each report under this subsection in both English and Arabic on the Internet website of the Coalition Provisional Authority.	• CPA-IG will take final written report in electronic format - translate and post to Web site.	24
Sec. 3001 (i)(5)	Each report under this subsection may include a classified annex if the Inspector General considers it necessary.	• If necessary, classified annex to be developed.	n/a
<b>Report Coordination</b>			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (j)	IG shall also submit report to the head of the CPA	• Copy of report to Amb. Bremer	19-20

## **Appendix B - Public Law 108-106**

This appendix contains section 3001 of Public Law 108-106. This section of the public law established the CPA-IG.

The file can be found at:

[http://frwebgate.access.gpo.gov/cgi-bin/useftp.cgi?IPaddress=162.140.64.88&filename=publ106.pdf&directory=/diskb/wais/data/108\\_cong\\_public\\_laws](http://frwebgate.access.gpo.gov/cgi-bin/useftp.cgi?IPaddress=162.140.64.88&filename=publ106.pdf&directory=/diskb/wais/data/108_cong_public_laws)

## TITLE III—INSPECTOR GENERAL OF THE COALITION PROVISIONAL AU- THORITY

5 USC app. 8G  
note.

### SEC. 3001. INSPECTOR GENERAL OF THE COALITION PROVISIONAL AUTHORITY.

(a) PURPOSES.—The purposes of this section are as follows:

(1) To provide for the independent and objective conduct and supervision of audits and investigations relating to the programs and operations of the Coalition Provisional Authority (CPA).

(2) To provide for the independent and objective leadership and coordination of, and recommendations on, policies designed to—

(A) promote economy efficiency, and effectiveness in the administration of such programs and operations; and

(B) prevent and detect fraud and abuse in such programs and operations.

(3) To provide for an independent and objective means of keeping the head of the Coalition Provisional Authority fully and currently informed about problems and deficiencies relating to the administration of such programs and operations and the necessity for and progress for corrective action.

Establishment.

(b) OFFICE OF INSPECTOR GENERAL.—There is hereby established the Office of the Inspector General of the Coalition Provisional Authority.

(c) APPOINTMENT OF INSPECTOR GENERAL; REMOVAL.—(1) The head of the Office of the Inspector General of the Coalition Provisional Authority is the Inspector General of the Coalition Provisional Authority, who shall be appointed by the Secretary of Defense, in consultation with the Secretary of State.

(2) The appointment of Inspector General shall be made solely on the basis of integrity and demonstrated ability in accounting, auditing, financial analysis, law, management analysis, public administration, or investigations.

(3) The nomination of an individual as Inspector General shall be made not later than 30 days after the date of the enactment of this Act. Deadline.

(4) The Inspector General shall be removable from office in accordance with the provisions of section 3(b) of the Inspector General Act of 1978 (5 U.S.C. App.).

(5) For purposes of section 7324 of title 5, United States Code, the Inspector General shall not be considered an employee who determines policies to be pursued by the United States in the nationwide administration of Federal law.

(6) The annual rate of basic pay of the Inspector General shall be the annual rate of basic pay provided for positions at level IV of the Executive Schedule under section 5315 of title 5, United States Code.

(d) ASSISTANT INSPECTORS GENERAL.—The Inspector General shall, in accordance with applicable laws and regulations governing the civil service—

(1) appoint an Assistant Inspector General for Auditing who shall have the responsibility for supervising the performance of auditing activities relating to programs and operations of the Coalition Provisional Authority; and

(2) appoint an Assistant Inspector General for Investigations who shall have the responsibility for supervising the performance of investigative activities relating to such programs and operations.

(e) SUPERVISION.—(1) Except as provided in paragraph (2), the Inspector General shall report directly to, and be under the general supervision of, the head of the Coalition Provisional Authority.

(2) Neither the head of the Coalition Provisional Authority, any other officer of the Coalition Provisional Authority, nor any other officer of the Department of Defense, the Department of State, or the United States Agency for International Development shall prevent or prohibit the Inspector General from initiating, carrying out, or completing any audit or investigation, or from issuing any subpoena during the course of any audit or investigation.

(f) DUTIES.—(1) It shall be the duty of the Inspector General to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of appropriated funds by the Coalition Provisional Authority in Iraq, and of the programs, operations, and contracts carried out utilizing such funds, including—

(A) the oversight and accounting of the obligation and expenditure of such funds;

(B) the monitoring and review of reconstruction activities funded by such funds;

(C) the monitoring and review of contracts funded by such funds;

(D) the monitoring and review of the transfer of such funds and associated information between and among the Coalition Provisional Authority, other departments, agencies, and entities of the Federal Government, and private and nongovernmental entities; and

(E) the maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such funds.

(2) The Inspector General shall establish, maintain, and oversee such systems, procedures, and controls as the Inspector General considers appropriate to discharge the duty under paragraph (1).

(3) In addition to the duties specified in paragraphs (1) and (2), the Inspector General shall also have the duties and responsibilities of inspectors general under the Inspector General Act of 1978.

(4) In carrying out the duties, responsibilities, and authorities of the Inspector General under this section, the Inspector General shall coordinate with, and receive the cooperation of, the Inspector General of the Department of Defense.

(5) In carrying out the duties, and responsibilities, and authorities of the Inspector General under this section, the Inspector General shall coordinate with, and receive the cooperation of the Inspector General of the United States Agency for International Development.

(g) POWERS AND AUTHORITIES.—(1) In carrying out the duties specified in subsection (f), the Inspector General shall have the authorities provided in section 6 of the Inspector General Act of 1978.

(2) The Inspector General shall carry out the duties specified in subsection (f)(1) in accordance with section 4(b)(1) of the Inspector General Act of 1978.

(h) PERSONNEL, FACILITIES, AND OTHER RESOURCES.—(1) The Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the duties of the Inspector General, subject to the provisions of title 5, United States Code, governing appointments in the competitive service, and the provisions of chapter 51 and subchapter III of chapter 53 of such title, relating to classification and General Schedule pay rates.

(2) The Inspector General may obtain services as authorized by section 3109 of title 5, United States Code, at daily rates not to exceed the equivalent rate prescribed for grade GS-15 of the General Schedule by section 5332 of such title.

(3) To the extent and in such amounts as may be provided in advance by appropriations Acts, the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, and make such payments as may be necessary to carry out the duties of the Inspector General.

(4)(A) Upon request of the Inspector General for information or assistance from any department, agency, or other entity of the Federal Government, the head of such entity shall, insofar as is practicable and not in contravention of any existing law, furnish such information or assistance to the Inspector General, or an authorized designee.

(B) Whenever information or assistance requested by the Inspector General is, in the judgment of the Inspector General, unreasonably refused or not provided, the Inspector General shall report the circumstances to the head of the Coalition Provisional Authority and to the appropriate committees of Congress without delay.

(5) The head of the Coalition Provisional Authority shall provide the Inspector General with appropriate and adequate office space at the central and field office locations of the Coalition Provisional Authority, together with such equipment, office supplies, and communications facilities and services as may be necessary for

the operation of such offices, and shall provide necessary maintenance services for such offices and the equipment and facilities located therein.

(i) REPORTS.—(1) Not later than March 30, 2004, and every calendar quarter thereafter, the Inspector General shall submit to the appropriate committees of Congress a report summarizing the activities of the Inspector General and the Coalition Provisional Authority during the 120-day period ending on the date of such report. Each report shall include, for the period covered by such report, a detailed statement of all obligations, expenditures, and revenues associated with reconstruction and rehabilitation activities in Iraq, including the following:

(A) Obligations and expenditures of appropriated funds.

(B) A project-by-project and program-by-program accounting of the costs incurred to date for the reconstruction of Iraq, together with the estimate of the Coalition Provisional Authority of the costs to complete each project and each program.

(C) Revenues attributable to or consisting of funds provided by foreign nations or international organizations, and any obligations or expenditures of such revenues.

(D) Revenues attributable to or consisting of foreign assets seized or frozen, and any obligations or expenditures of such revenues.

(E) Operating expenses of the Coalition Provisional Authority and of any other agencies or entities receiving appropriated funds.

(F) In the case of any contract described in paragraph (2)—

(i) the amount of the contract or other agreement;

(ii) a brief discussion of the scope of the contract or other agreement;

(iii) a discussion of how the Coalition Provisional Authority identified, and solicited offers from, potential contractors to perform the contract, together with a list of the potential contractors that were issued solicitations for the offers; and

(iv) the justification and approval documents on which was based the determination to use procedures other than procedures that provide for full and open competition.

(2) A contract described in this paragraph is any major contract or other agreement that is entered into by the Coalition Provisional Authority with any public or private sector entity for any of the following purposes:

(A) To build or rebuild physical infrastructure of Iraq.

(B) To establish or reestablish a political or societal institution of Iraq.

(C) To provide products or services to the people of Iraq.

(3) Not later than June 30, 2004, and semiannually thereafter, the Inspector General shall submit to the appropriate committees of Congress a report meeting the requirements of section 5 of the Inspector General Act of 1978.

(4) The Inspector General shall publish each report under this subsection in both English and Arabic on the Internet website of the Coalition Provisional Authority.

(5) Each report under this subsection may include a classified annex if the Inspector General considers it necessary.

(6) Nothing in this subsection shall be construed to authorize the public disclosure of information that is—

(A) specifically prohibited from disclosure by any other provision of law;

(B) specifically required by Executive order to be protected from disclosure in the interest of national defense or national security or in the conduct of foreign affairs; or

(C) a part of an ongoing criminal investigation.

(j) REPORT COORDINATION.—(1) The Inspector General shall also submit each report under subsection (i) to the head of the Coalition Provisional Authority.

Deadline.

(2)(A) Not later than 30 days after receipt of a report under paragraph (1), the head of the Coalition Provisional Authority may submit to the appropriate committees of Congress any comments on the matters covered by the report as the head of the Coalition Provisional Authority considers appropriate.

(B) A report under this paragraph may include a classified annex if the head of the Coalition Provisional Authority considers it necessary.

Deadlines.  
Public  
information.

(k) TRANSPARENCY.—(1) Not later than 60 days after the date of the submittal to Congress of a report under subsection (i), the head of the Coalition Provisional Authority shall make copies of such report available to the public upon request, and at a reasonable cost.

(2) Not later than 60 days after the date of the submittal to Congress under subsection (j)(2) of comments on a report under subsection (i), the head of the Coalition Provisional Authority shall make copies of such comments available to the public upon request, and at a reasonable cost.

(l) WAIVER.—(1) The President may waive the requirement under paragraph (1) or (3) of subsection (i) for the inclusion in a report under such paragraph of any element otherwise provided for under such paragraph if the President determines that the waiver is justified for national security reasons.

President.  
Federal Register,  
publication.  
Deadline.

(2) The President shall publish a notice of each waiver made under this subsection in the Federal Register no later than the date on which the reports required under paragraph (1) or (3) of subsection (i) are submitted to Congress. The reports required under paragraph (1) or (3) of subsection (i) shall specify whether waivers under this subsection were made and with respect to which elements.

(m) APPROPRIATE COMMITTEES OF CONGRESS DEFINED.—In this section, the term “appropriate committees of Congress” means—

(1) the Committees on Appropriations, Armed Services, and Foreign Relations of the Senate; and

(2) the Committees on Appropriations, Armed Services, and International Relations of the House of Representatives.

(n) FUNDING.—(1) Of the amounts appropriated for fiscal year 2004 for the Operating Expenses of the Coalition Provisional Authority in title II of this Act, \$75,000,000 shall be available to carry out this section.

(2) The amount available under paragraph (1) shall remain available until expended.

Termination  
date.

(o) The Office of Inspector General shall terminate 6 months after the authorities and duties of the Coalition Provisional Authority cease to exist.

## TITLE IV—GENERAL PROVISIONS, THIS ACT

SEC. 4001. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 4002. The amounts provided in this Act are designated by the Congress as an emergency requirement pursuant to section 502 of H. Con. Res. 95 (108th Congress).

SEC. 4003. For purposes of computing the amount of a payment for an eligible local educational agency under section 8003(a) of the Elementary and Secondary Education Act (20 U.S.C. 7703(a)) for school year 2003–2004, children enrolled in a school of such agency who would otherwise be eligible to be claimed for payment under section 8003(a)(1)(B) of such Act, but due to the deployment of both parents or legal guardians, or a parent or legal guardian having sole custody of such children, or due to the death of a military parent or legal guardian while on active duty (so long as such children reside on Federal property as described in section 8003(a)(1)(B)), are no longer eligible under such section, shall be considered as eligible students under such section, provided such students remain in average daily attendance at a school in the same local educational agency they attended prior to their change in eligibility status.

This Act may be cited as the “Emergency Supplemental Appropriations Act for Defense and for the Reconstruction of Iraq and Afghanistan, 2004”.

Approved November 6, 2003.

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LEGISLATIVE HISTORY—H.R. 3289 (S. 1689):

HOUSE REPORTS: Nos. 108–312 (Comm. on Appropriations) and 108–337 (Comm. of Conference).

SENATE REPORTS: No. 108–160 accompanying S. 1689 (Comm. on Appropriations).

CONGRESSIONAL RECORD, Vol. 149 (2003):

Oct. 16, considered in House.

Oct. 17, considered and passed House. Considered and passed Senate, amended, in lieu of S. 1689.

Oct. 30, House agreed to conference report.

Nov. 3, Senate agreed to conference report.

WEEKLY COMPILATION OF PRESIDENTIAL DOCUMENTS, Vol. 39 (2003):

Nov. 6, Presidential remarks and statement.



## **Appendix C - List of Currency Seized**

This appendix describes the process by which seized assets have been distributed. It contains a summary of the uses to which seized assets have been applied and a list of currency seizures. The source of the information is the CPA Controller as of February 29, 2004.

The CPA-IG has not formally reviewed or audited these data.

## Seized Funds

Over \$900 million in various currencies were seized from multiple Iraq sources from March 2003 through February 2004.

Non-cash assets have been inventoried, and are in the custody of CPA administrators under the direct support of Army finance personnel. Current plans are to transfer custody of noncash assets directly to the Iraq Finance Ministry upon Iraq sovereignty. Included in the noncash assets are a substantial number of real properties seized by U.S. forces.

Since the establishment of the Program Review Board (PRB), seized assets have been disbursed under the auspices of PRB members and procedures. Prior to the establishment of the PRB, a limited amount of currency was disbursed by military and civilian officials under the Commander's Emergency Response Program and/or in direct support of the Iraqi people. Seized assets have been distributed under the authority of the CPA for the benefit of the Iraqi people. Table C-1 outlines the seized currency total and the uses to which these assets have been employed.

<b>Seized Currency as of February 29, 2004</b>				
<b>Program Category (USD in millions)</b>	<b>Ceilings</b>	<b>Commitments</b>	<b>Obligations</b>	<b>Disbursements</b>
Stipend Pay	\$45.000	\$30.837	\$30.837	\$30.837
Non Ministry Repair/Reconst/Humanitarian Assistance	327.152	175.685	175.685	168.097
Reg Director's and Cdr's/Emergency Response Fund	200.128	194.049	194.049	165.423
Ministry Operations	258.551	257.248	257.248	257.248
Iraqi Constitutional Convention IT Support	3.823	0.000	0.000	0.000
MANPADS Weapons Buyback Program	1.500	0.386	0.353	0.145
USACOE Fuel Contract	90.000	90.000	90.000	90.000
<b>Total</b>	<b>\$926.150</b>	<b>\$748.21</b>	<b>\$748.170</b>	<b>\$711.75</b>

**Table C-1: Seized currency. Data not formally reviewed or audited.**

## **Appendix D - List of Vested Funds**

This appendix contains a list and status of vested funds as of February 29, 2004.

Army Finance and CPA Comptroller provided these data.

The CPA-IG has not formally reviewed or audited these data.

## Vested Assets

Table D-1 lists the uses of vested assets. Disbursements began under the auspices of the Office of Reconstruction and Humanitarian Assistance in April 2003 and the funding process was transferred to the CPA after its inception. Most vested funds were spent during April, May, and early June 2003.

<b>Vested Assets as of February 29, 2004 (USD in millions)</b>				
<b>Program Category</b>	<b>Ceilings</b>	<b>Commitments</b>	<b>Obligations</b>	<b>Disbursements</b>
Emergency Payments	\$79.924	\$78.939	\$78.939	\$78.924
Regular Payments Iraqi Civil Servants / Other	1,009.825	1,008.556	1,006.798	1,002.376
Regular Payments Pensioners	99.510	99.510	99.510	99.510
Stipend Pay	0.190	0.089	0.089	0.000
Specialized Workers	0.134	0.134	0.134	0.134
Non Ministry Repair / Recons / Humanitarian Assistance	142.372	100.688	100.688	97.597
Emergency Projects, Less than \$200,000	2.475	2.475	2.475	2.475
Fire Stations	2.186	1.172	1.172	1.027
Hospital Generators	9.000	9.000	9.000	8.197
Ministry Operations	362.715	362.093	362.093	353.457
Radio Expenditure	15.800	15.800	15.800	15.800
<b>Total</b>	<b>\$1,724.131</b>	<b>\$1,678.455</b>	<b>\$1,676.697</b>	<b>\$1,659.497</b>

**Table D-1: Vested Assets. Data not formally reviewed or edited.**

## **Appendix E - List of Donor Funds**

This appendix contains a list of donor funds by the nations of the world to support rebuilding Iraq, as of March 13, 2004.

Data contained in Table E-1 was obtained from humanitarian aid U.N. website, as of March 13, 2004.

Data contained in Table E-2 was obtained from a cable sent by the Department of State.

The CPA-IG has not formally reviewed or audited these data.

## Donor Funds

### *Humanitarian Aid*

As of March 13, 2004, \$851 million of humanitarian assistance has been donated by members of the international community. Table E-1 is a listing by donor country of this assistance. This total does not include the U.N. Oil For Food program or U.S. contributions.

<b>Major Donor by Total Contribution as of March 13, 2004</b>	
<b>Donor</b>	<b>Value USD</b>
United Kingdom	\$187,331,510
Japan	100,555,940
European Commission	121,647,390
Australia	62,943,125
Saudi Arabia	43,991,605
Canada	41,258,595
Private/NGO/International Org	40,781,575
Spain	32,412,840
Kuwait	27,546,308
Germany	28,214,107
Netherlands	23,899,229
Norway	19,876,623
Italy	17,235,211
Other countries	104,259,325
<b>Total</b>	<b>\$851,953,383</b>
Does not include U.N. Oil For Food Program or U.S. Contributions	

**Table E-1: Major Donor by Total Contributions. Data not formally reviewed or edited.**

### *Reconstruction*

The U.S. government has requested international financial support toward rebuilding Iraq. On October 23 and 24, world leaders met in Madrid, Spain, for the International Donors Conference for Iraq Reconstruction. Attended by representatives from 73 countries and 20 international organizations, over \$13 billion in loans and grants were pledged. Table E-1 lists the amounts pledged by countries and organizations at that conference. This figure represents the low end of the potential value of the pledges based on an additional \$3.7 billion in additional loans that may be tendered by the International Monetary Fund (IMF) and World Bank.

On February 28 and 29 a follow-up conference was hosted by the United Arab Emirates (UAE) in Abu Dhabi. The purpose of the conference was two fold: (1) for the United Nations and the World Bank to explain how they would spend funds deposited in the International Reconstruction Fund Facility for Iraq (IRFFI), and (2) to encourage donors to provide some of their assistance through this mechanism. (The alternative is bilateral

assistance, which is how virtually all U.S. assistance is provided.) At the conference, the Iraqi government officials provided detailed information about potential reconstruction projects. Efforts are currently under way to receive actual cash transfers to the international trust funds established as a conduit for aid.

<b>Reconstruction Pledges as of March 1, 2004</b>	
<b>Donor</b>	<b>Madrid Conference</b>
Australia	\$45,590,974
Austria	5,478,165
Belgium	5,890,500
Bulgaria	640,000
Canada	187,466,454
China	25,000,000
Cyprus	117,810
Czech Republic	14,659,023
Denmark	26,952,384
European Community	235,620,000
Estonia	82,467
Finland	5,890,500
Greece	3,534,300
Hungary	1,237,005
Iceland	2,500,000
India	10,000,000
Iran	5,000,000
Ireland	3,534,300
Italy	235,620,020
Japan	4,914,000,000
Korea	200,000,000
Kuwait	500,000,000
Luxembourg	2,356,200
Malta	265,073
Netherlands	9,424,801
New Zealand	3,351,975
Norway	12,867,617
Oman	3,000,000
Pakistan	2,500,000
Qatar	100,000,000
Slovenia	419,382
Saudi Arabia	500,000,000
Spain	220,000,000
Sweden	33,000,000
Turkey	50,000,000
United Arab Emirates	215,000,000
United Kingdom	452,326,416
United States	10,000,000
<b>Sub-Total</b>	<b>\$8,043,325,365</b>

<b>Reconstruction Pledges as of March 1, 2004</b>	
<b>Donor</b>	<b>Madrid Conference</b>
IMF (low range)	\$2,550,000,000
World Bank (low range)	3,000,000,000
<b>Total</b>	<b>\$13,593,325,365</b>

**Table E-2: Pledges of Reconstruction aid to Iraq by Country. Data not formally reviewed or edited.**

## **Appendix F - Development Fund for Iraq**

This appendix outlines the sources and uses of Development Fund for Iraq (DFI) funds as of February 29, 2004.

The CPA-IG has not formally reviewed or audited the data.

## Development Fund for Iraq

The DFI will be audited by an independent public accounting firm selected by the International Advisory and Monitoring Board (IAMB). The IAMB consists of representatives of the International Monetary Fund, U.N., World Bank, and the Arab Fund for Economic and Social Development. The DFI's primary source of funds is the oil revenues of Iraq. While 95% of oil revenues go to the DFI, 5% is deposited in the Compensation Fund created by U.N. Resolution 687 (1991).

<b>Development Fund For Iraq as of March 1, 2004</b>		
<b>Sources</b>	<b>Amount</b>	<b>Percentage</b>
U.N. Food Programs (Repatriation)	\$5,912,364,574	44.63%
U.N. 1483 Repatriated Funds (See Table F-2)	751,095,285	5.67%
Deposits – Vested NY	15,310,389	0.12%
Oil Proceeds	5,986,010,312	45.18%
Collections Baghdad	536,861,843	4.05%
Investments, Other Deposits	46,422,989	0.35%
<b>Sub-total – Sources – DFI</b>	<b>\$13,248,065,392</b>	
<b>Uses</b>		
<b>Uses</b>	<b>Amount</b>	<b>Percentage</b>
Electricity Infrastructure	\$75,326,236	1.55%
Oil Infrastructure	409,521,678	8.40%
Oil For Food	663,660,270	13.62%
CERP And Regional Response Programs	349,673,925	7.18%
Currency Exchange Program	163,853,913	3.36%
Ministry Of Finance Budget	2,633,837,658	54.05%
Other Ministry Operations	6,266,124	0.13%
Infrastructure	38,545,234	0.79%
Misc.	62,582,392	1.28%
Letters Of Credit	469,890,251	9.64%
<b>Sub-total – Uses – DFI</b>	<b>\$4,873,157,681</b>	

**Table F-1: Sources and Uses of Funds for the Development Fund for Iraq. Data not formally reviewed or audited.**

## **Appendix G - Department of State and USAID Appropriated Funds**

This appendix details the funding status of the Department of State's efforts in Iraq as of February 29, 2004. It also details USAID's sources and uses for FY03 IRRF and FY04 IRRF.

This information came from USAID's internal report and reports from Department of State. Allocations information is from the Office of Management and Budget report.

The CPA-IG has not formally reviewed or audited the data.

## Department of State

Table G-1 details the funding status of the Department of State's (DoS) efforts in Iraq as of March 16, 2004. The bulk of the funding has been channeled through the IRRF supplemental appropriations. The FY 2003 IRRF funding included reimbursement for \$24.5 million spent out of other accounts for police training.

<b>U.S. Department of State, Iraq Relief and Restoration Funds as of March 16, 2004</b>				
<b>FY 2003 IRRF</b>	<b>Apportioned</b>	<b>Allotted</b>	<b>Obligated</b>	<b>Expended</b>
Relief Efforts	\$26,982,931	\$26,982,931	\$17,606,186	\$8,823,979
Humanitarian Demining	12,268,000	12,268,000	12,103,148	8,168,069
Prisons and Police Training	61,500,000	61,500,000	56,946,852	12,997,309
<b>Totals</b>	<b>\$100,750,931</b>	<b>\$100,750,931</b>	<b>\$86,656,186</b>	<b>\$29,989,356</b>
Reimbursement from IRRF for Police Training	\$24,600,000	\$24,600,000	\$4,300,000	\$0
<b>FY 2004 IRRF</b>	<b>Apportioned</b>	<b>Allotted</b>	<b>Obligated</b>	<b>Expended</b>
Security and Law Enforcement	\$668,000,000	\$246,000,000	\$59,829,543	\$10,144,238
Justice, Public Safety Infrastructure, and Civil Society	197,600,000	62,000,000	49,501,181	0
Education, Refugees, Human Rights, and Governance	67,500,000			
<b>Totals</b>	<b>\$933,100,000</b>	<b>\$308,000,000</b>	<b>\$109,330,724</b>	<b>\$10,144,238</b>

**Table G-1: U.S. Department of State, FY 2003 and FY 2004 IRRF. Data not formally reviewed or edited.**

## U.S. Agency for International Development (USAID)

USAID was apportioned \$1,830 million by Office of Management and Budget (OMB) for FY 2003 IRRF activities. USAID aligned its funds to meet the strategic objectives that are listed below. In addition, \$335 million in additional funding was added to implement Iraqi reconstruction. The Table G-2 total of \$2.16 billion represents USAID Iraq funding for supplemental.

<b>USAID PL 108-11 Funding (USD in millions) as of February 29, 2004</b>				
	<b>Apportioned</b>	<b>Committed</b>	<b>Obligated</b>	<b>Expended</b>
SO 1 - Restore Economically Critical Infrastructure	\$1,176.265	\$1,175.911	\$1,175.911	\$262.994
SO 2 - Support Essential Education Health and Social Services	154.463	152.778	152.478	52.296
SO 3 - Expand Economic Opportunity	68.513	56.500	56.500	15.792
SO 4 - Improve Efficiency and Accountability of Government	183.611	183.611	183.611	27.916
SO 6 - Program Support and Development of the Gulf Region	28.586	28.450	28.450	19.059
DCHA/Office of Transition Initiatives (OTI)	69.767	63.427	63.167	36.338
Emergency Relief	439.386	423.133	403.800	233.859
Administrative Expenses	15.001	0.000	0.000	0.000
Operating Expenses	24.500	22.907	\$22.907	\$12.123
Interagency Transfers	5.400	0.000	0.000	0.000
<b>Total</b>	<b>\$2,165.492</b>	<b>\$2,106.717</b>	<b>\$2,086.823</b>	<b>\$660.376</b>

**Table G-2: FY 2003 IRRF and Ancillary Funding. Data not formally reviewed or edited.**

## USAID FY 2004 IRRF

The CPA's PMO office has allocated USAID projects based on its program sectors. As of February 29, 2004, \$1.7 billion has been allocated to the sectors in Table G-3. As of the date of this report CPA was revising the FY 2004 IRRF allocations.

<b>USAID FY 2004 Iraq Relief and Restoration Fund Apportionment* (USD in millions) as of February 29, 2004</b>	
<b>PMO Sector</b>	<b>Apportionment</b>
Justice, Public Safety Infrastructure, and Civil Society	\$415
Electric Sector	982
Water Resources	98
Roads, Bridges, and Construction	86
Health Care	51
Private Sector Development	1
Education, Refugees, Human Rights, Democracy, and Governance	67
<b>Total</b>	<b>\$1,700</b>

**Table G-3: USAID FY 2004 Iraq Relief and Restoration Fund Apportionment \* PMO Sector Breakout. Data not formally reviewed or edited.**

The USAID tracks its FY 2004 IRRF funding according to their strategic obligations. Table G-4 lists the apportioned, committed, obligated, and expended funds for USAID FY 2004 IRRF funds.

<b>USAID FY 2004 IRRF and Ancillary Funding (USD in millions) as of February 29, 2004</b>				
	<b>Apportioned</b>	<b>Committed</b>	<b>Obligated</b>	<b>Expended</b>
SO 1 - Restore Economically Critical Infrastructure	\$1,070.000	\$266.911	\$259.411	\$0
SO 2 - Support essential Education Health and Social Services	175.000	10.000	9.450	0
SO 3 - Expand Economic Opportunity	17.000	15.300		0
SO 4 - Improve Efficiency and Accountability of Government	341.000	42.000	42.000	0
SO 6 - Program Support and Development of the Gulf Region	0.000	0.000	0.000	0
DCHA/Office of Transition Initiatives (OTI)	82.000	32.000	32.000	0
Administrative Expenses	14.961	12.465	11.282	550
<b>Total</b>	<b>\$1,699.961</b>	<b>\$378.675</b>	<b>\$354.143</b>	<b>\$550</b>

**Table G-4: FY 2004 IRRF and Ancillary Funding. Data not formally reviewed or edited.**

## **Appendix H - List of Contracts (CONUS)**

This appendix contains a listing of all contracts awarded CONUS. Data is listed by agency in FY 2003 and FY 2004.

Data was obtained from Coalition Provisional Authority Support Operations, March 16, 2004.

The CPA-IG has not formally reviewed or audited the data.

Scope of Work	Competitive Solicited Source & No.	Contractor	Contract No.	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source
<b>CONUS FY 2003</b>									
<b>WHS</b>									
1	80 Ea Thuraya Satellite Phone Cases	Celihire USA	WD/946-03-F-0083	7-Mar-03	FFP	\$71,920	\$71,920	O&M, DW	WHS
2	90 Ea Summit GPS System with Cases	GPS Store, Inc	MD/946-03-F-0084	10-Mar-03	FFP	\$19,761	\$19,761	O&M, DW	WHS
3	3 Ea Shredders	Capital Shredders Corp.	MD/946-03-F-0085	10-Mar-03	FFP	\$5,517	\$5,517	O&M, DW	WHS
4	6 Ea INMARSAT M4 Portable Telephone Systems	Landsea Systems, Inc	MD/946-03-F-0086	10-Mar-03	FFP	\$47,750	\$47,750	O&M, DW	WHS
5	8 Ea HP Digital Projectors	CDW Govt, Inc	MD/946-03-F-0087	11-Mar-03	FFP	\$16,224	\$16,224	O&M, DW	WHS
6	30 Ea Motorola 2-Way Radios	CDW Govt, Inc	MD/946-03-F-0089	11-Mar-03	FFP	\$3,653	\$3,653	O&M, DW	WHS
7	5 Ea Desktop Copiers w/toner cartridges	Shaples	MD/946-03-F-0090	11-Mar-03	FFP	\$4,194	\$4,194	O&M, DW	WHS
8	6 Ea 29" Flat screen Multisystem TVs	EHI Co.	MD/946-03-F-0091	11-Mar-03	FFP	\$3,956	\$3,956	O&M, DW	WHS
9	8 Ea Sony Camcorders	Total Business, Inc.	MD/946-03-F-0093	11-Mar-03	FFP	\$4,696	\$4,696	O&M, DW	WHS
10	Monthly Usage Fees for 80 Thuraya Satellite/GSM (see item 14 above)	Celihire USA	MD/946-03-F-0094	12-Mar-03	FFP	\$480,000	\$480,000	O&M, DW	WHS
11	Airtime Service for 6 INMARSAT M4 Portable Phones (see item 18 above)	Celihire USA	MD/946-03-F-0094	12-Mar-03	FFP	\$480,000	\$480,000	O&M, DW	WHS
12	20 Ea Digital Camera Phones and Accessories	Sony/Sparco	MD/946-03-F-0096	13-Mar-03	FFP	\$9,215	\$9,215	O&M, DW	WHS
13	20 Ea Thuraya Satellite/GSM Telephone services for 20 Thuraya Satellite/GSM (service for all modes of opn) (see item 106 above)	Celihire USA	MD/946-03-F-0118	28-Mar-03	FFP	\$19,480	\$19,480	O&M, DW	WHS
14	75 Ea Motorola 2-Way Radios and Batteries	CDW Govt, Inc	MD/946-03-F-0119	28-Mar-03	FFP	\$7,965	\$7,965	O&M, DW	WHS
15	14 Ea Walker Talkie Radios	Hardware Associates, Inc.	MD/946-03-F-0126	4-Apr-03	FFP	\$4,304	\$4,304	O&M, DW	WHS
16	4 Ea NERA WRSAT Phones w/wearing case, power inverters, batteries, airtime	Cartridge Discounter	MD/946-03-F-0128	4-Apr-03	FFP	\$46,040	\$46,040	O&M, DW	WHS
17	1 Ea Copier and Supplies	Comp (4 vendors)	MD/946-03-F-0131	8-Apr-03	FFP	\$40,492	\$40,492	O&M, DW	WHS
18	3 Ea Shredders	Capital Shredders Corp.	MD/946-03-F-0135	15-Apr-03	FFP	\$6,286	\$6,286	O&M, DW	WHS
19	20 Ea Folding Tables and 30 Ea Chairs	WECSYS	MD/946-03-F-0140	17-Apr-03	FFP	\$3,040	\$3,040	O&M, DW	WHS
20	48 Foam Lined Computer Shipping Trunks on wheels -Shipping Charges	Atlas Case, Inc.	MD/946-03-F-0146	23-Mar-03	FFP	\$17,243	\$17,243	O&M, DW	WHS
21	6 Ea 30 meter phone cables.	Celihire USA	MD/946-03-F-0152	29-Apr-03	FFP	\$6,315	\$6,315	O&M, DW	WHS
22	Stratos Battery Packs, Stratos Desktop, Chargers and Shipping	Bald Industries	MD/946-03-F-0154	12-Mar-03	FFP	\$13,850	\$13,850	O&M, DW	WHS
23	200 Ea Mosquito Netting	Celihire USA	MD/946-03-F-0156	7-May-03	FFP	\$85,545	\$85,545	O&M, DW	WHS
24	15 Ea Thuraya Satellite Phones, 1 Ea extra Battery, Airtime	Celihire USA	MD/946-03-F-0175	20-Jun-03	FFP	\$35,683	\$35,683	O&M, DW	WHS
25	17 Ea Hughes 700 Satellite Thuraya/GSM Handsets and Airtime	Comp (2 vendors)	MD/946-03-F-0202	11-Mar-03	FFP	\$47,324	\$47,324	O&M, DW	WHS
26	165 Ea Voltage Converters	Export Dept	MD/946-03-F-0107	11-Mar-03	FFP	\$14,473	\$14,473	O&M, DW	WHS
27	28 Ea Steel Trunk/Footlockers	The Complement	MD/946-03-F-0113	4-Apr-03	FFP	\$3,358	\$3,358	O&M, DW	WHS
28	15 Cases	MEI Research	MD/946-03-F-0146	12-Mar-03	FFP	\$3,276	\$3,276	O&M, DW	WHS
29	112 Ea Transformers	Comp (2 vendors)	MD/946-03-F-0156	20-Jun-03	FFP	\$6,709	\$6,709	O&M, DW	WHS
30	3 Ea Generators and Airtime	Export Dept	MD/946-03-F-0159	20-Jun-03	FFP	\$33,203	\$33,203	O&M, DW	WHS
31	3 Ea Copiers	Smith Office Machine	MD/946-03-F-0164	27-Jun-03	FFP	\$2,961	\$2,961	O&M, DW	WHS
32	175 UPS Power Supply	Comp (2 vendors)	MD/946-03-F-0164	27-Jun-03	FFP	\$7,332	\$7,332	O&M, DW	WHS
33	40 Footlockers	Bea Maurer, Inc.	N/A	11-Mar-03	FFP	\$9,920	\$9,920	O&M, DW	WHS
34	245 Ea Hysters M42, 40 Ea broken hysters, 250 Ea compass, 6 Ea coding diall Keys	Bald Industries	N/A	11-Mar-03	FFP	\$21,884	\$21,884	O&M, DW	WHS
						<b>\$1,650,544</b>	<b>\$1,650,544</b>		
<b>Competitive Solicited Source &amp; Limited Source &amp; No.</b>									
<b>Scope of Work</b>									
<b>DCC-W</b>									
1	Administrative support	S&K Technologies, Inc.	DASW01-03-C-0032	18-Jul-03	Time and Materials	\$4,950,385	\$4,950,385	O&M, DW	WHS
2	IT Equipment	Red River Computer Co.	DASW01-03-F-0398	27-Feb-03	FFP	\$972,392	\$972,392	Proc, DW	WHS
						<b>\$1,650,544</b>	<b>\$1,650,544</b>		
<b>Contractor</b>									
<b>Contract No.</b>									
<b>Date of Award</b>									
<b>Type and Kind of Contract</b>									
						<b>Total Value (incl options)</b>	<b>Total Obligated Amount</b>		
						<b>\$1,650,544</b>	<b>\$1,650,544</b>		
<b>Type of Funds</b>									
<b>Fund Source</b>									

Scope of Work	Competitive Solicitation Source & No.	Contractor	Contract No.	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
Infrastructure Study (Mr. Richard Neap - Situation affecting the Kurds)	Sole Source/IFA for 6.302-2	UNISYS Corp (Nash)	DASW01-03-F-0397	24-Feb-03	FFP	\$320,000	\$255,000	O&M, DW	WHS	
IT Equipment	Comp	Force 3, Inc.	DASW01-03-F-0407	3-Mar-03	FFP	\$374,652	\$274,652	Proc, DW	WHS	
Computer Hardware and Software	Comp	Dell Marketing, LP	DASW01-03-F-0466	12-Mar-03	FFP	\$513,679	\$513,679	Proc, DW	WHS	
Technical support for ORHA Energy Group (Vogler - SAME for Oil Infrastructure)	Sole Source	SAIC (Vogler)	DASW01-03-F-0500	18 Apr 03 w/ effec date of 28 Mar 03	Time and Materials	\$634,883	\$477,284	O&M, DW & O&M Army	WHS & Army	
Linguist Support Services (Translators)	Sole Source	MZM, Inc.	DASW01-03-F-0507	21-Mar-03	Time and Materials	\$3,640,886	\$2,427,264	O&M, DW	WHS	
Iraq Reconstruction and Development Council (RDC)	Sole Source	SAIC	DASW01-03-F-0508	5-Mar-03	Time and Materials	\$33,348,742	\$24,811,853	O&M, DW	WHS	
Army Reimbursement for Iraq RDC (see line above)	Sole Source	WHS					(\$11,813,000)	O&M, DW	WHS	
Technical support to ORHA Iraq Army Reconstruction Support (see line above)	Sole Source	MPRI	DASW01-03-F-0510	14-Mar-03	Time and Materials	\$625,468	\$625,468	O&M, DW	WHS	
Army Reimbursement for the Ministry of Industry and Minerals	Sole Source	SAIC (Camey)	DASW01-03-F-0512	04/23/2003 w/ effec date of 22 Mar 03	Time and Materials	\$87,461	\$87,461	O&M, DW	WHS	
Intelligence Analyst and technical Advisor to ORHA (Amended 31-Mar-03)	Sole Source	SAIC (Terry Sulvanhawe)	DASW01-03-F-0516	16-Mar-03	Time and Materials	\$394,000	\$201,011	O&M, DW	WHS	
Iraq Free Media Program International Public Information Program and Product Development	Sole Source (USA for Wilcox and SAIC)	SAIC	DASW01-03-F-0533	11-Mar-03	Time and Materials	\$82,350,557	\$82,350,557	O&M, DW	WHS	
Dr. Richard Wilcox (SME - UN Liaison and Intersite Coordination)	Sole Source	SAIC (Wilcox)	DASW01-03-F-0536	16 Apr 03 w/ effec date of 27 Mar 03	Time and Materials	\$218,857	\$64,028	O&M, DW	WHS	
ORHA Advisor for Democracy and Governance Group	Sole Source (Comp (3 vendors))	SAIC (Riza, Dabous, Diaz, Kirby)	DASW01-03-F-0537	19 May 03 w/ effec date of 22 Mar 03	Time and Materials	\$694,744	\$235,231	O&M, DW	WHS	
Computer Hardware and Software support and training for secure Mobile Communications	Comp (3 vendors)	Daetle, Inc.	DASW01-03-F-0640	21-Apr-03	FFP	\$1,107,852	\$1,107,852	Proc, DW	WHS	
Linguist Support Services (Translators)	Comp (3 vendors)	MPRI	DASW01-03-F-0677	28-Apr-03	Time and Materials	\$2,815,176	\$1,901,962	O&M, DW	WHS	
Senior Exec Asst to Oil Advisory Board & ORHA Oil Restoration Group (Mr. Matthew Amtran)	Sole Source	SAIC (Amtran)	DASW01-03-F-0903	16-May-03	Time and Materials	\$110,378	\$55,174	O&M, DW	WHS	
Notebook Computers, equipment, and Software	Comp	International Global Systems, Inc.	DASW01-03-F-0912	20-Jun-03	FFP	\$157,383	\$157,383	O&M	WHS	
Internal in a Box (IAB) Disarmament, Demobilization and Reintegration (DDR) of Iraqi Armed Forces	Sole Source	Northrup-Gumman	DASW01-02-F-1666	25-Jun-03	Time and Materials	\$749,998	\$749,998	O&M	Army	
SEI-CAL-100 Script Logic	Sole Source	RONCO Consult Corp	DASW01-03-P-0386	22 Apr 03 w/ effec date of 14 Mar 03	Time and Materials	\$419,793	\$419,793	O&M, DW	WHS	
Test & S&M 1 Yr Annual Main on CALIN 0001	Comp	JSI Inc.	DASW01-03-P-0412	10-Apr-03	FFP	\$3,376	\$3,376	Proc, DW	WHS	
ORHA Protocol Officer (Ms. Marian Spencer)	Sole Source	NAID, Inc. (Spencer)	DASW01-03-P-0465	08 May 03 w/ effec date of 05 Mar 03	Time and Materials	\$485,288	\$123,572	O&M, DW	WHS	
Authenticating machines (P&SI) 2010	Sole Source	Giesecke & Devrient America	DASW01-03-P-0557	18-Jun-03	FFP	\$66,200	\$66,200	O&M	Army	
						\$135,100,194	\$111,038,611			
<b>DISA</b>										
Scope of Work			Competitive/Sole Limited Source & No.		Contractor		Contract No.		Date of Award	

Competitive Solicitation No.	Contractor	Contract No.	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
1	DISA Radio Installation	Competitive	PDI/TModular	N65238-04-F-1016	17-Feb-04	Delivery/Call	\$202,871	OMA	CPA
2	DISA Radio Installation	Competitive	TDS	N65238-02-D-5840	7-Feb-04	Delivery/Call	\$414,537	OMA	CPA
3	DISA Radio Installation	Competitive	EMA	N65238-03-D-5859	23-Jan-04	Delivery/Call	\$133,839	OMA	CPA
4	DISA Radio Installation	Competitive	Gleech	N65238-02-D-6824	9-Jan-04	Delivery/Call	\$155,090	OMA	CPA
5	Radio Equipment Purchase	Competitive	Motorola	N65238-04-F-4708	31-Dec-03	Delivery/Call	\$902,913	OMA	CPA
6	Laptop Computer Purchase	Competitive	GTSI Corporation	NAS-01142-D	24-Jan-04	Delivery/Call	\$321,600	OMA	CPA
7	Installation Equipment/Material Purchase	Competitive	New Technology Management, Inc.	N65238-04-F-1018	17-Feb-04	Delivery/Call	\$165,823	OMA	CPA
8	Bldg 3112 Warehouse/Database Support	Competitive	Fuentes Systems Concepts, Inc.	N65238-03-D-7845	23-Feb-04	Delivery/Call	\$6,049	OMA	CPA
<b>AMC ROCK ISLAND</b>									
Scope of Work									
1	LOGCAP - Ofc of Sec of Def (OSD) - ORHA	Comp (3-4 bidders)	KBR	DAAM09-02-D-0007	6-Mar-03	GPAF-IDIC	\$452,063,495	Appropriated	OMA, DW
2	LOGCAP - Defense Intelligence Agency (DIA) - Iraq Survey Group (ISG)	Comp (3-4 bidders)	KBR	DAAM09-02-D-0007 Task Order 56	29-May-03	GPAF-IDIC	\$83,848,175	Appropriated	OMA, DW
3	LOGCAP - Federal Deployment Center	Comp (3-4 bidders)	KBR	DAAM09-02-D-0007 Task Order 63	16-Jul-03	GPAF-IDIC	\$10,411,948	Appropriated	OMA, DW
4	LOGCAP - New Iraq Army	Comp (3-4 bidders)	KBR	DAAM09-02-D-0007 Task Order 04	9-Aug-03	GPAF-IDIC	\$41,667,915	Appropriated	OMA, DW
							\$597,988,533	\$211,475,000	

**Corp of Engineers**

Competitive Solicitation No.	Contractor	Contract No.	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
1	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
2	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
3	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
4	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
5	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
6	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
7	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
8	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
9	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
10	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
11	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
12	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
13	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
14	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
15	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
16	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
17	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
18	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
19	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
20	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
21	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
22	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
23	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
24	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
25	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
26	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
27	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
28	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
29	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
30	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
31	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
32	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
33	Scope of Work	Contract No. <td>Date of Award</td> <td>Type and Kind of Contract</td> <td>Total Value (incl options)</td> <td>Total Obligated Amount</td> <td>Type of Funds</td> <td>Fund Source</td>	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source	
<b>ACA</b>									
Scope of Work									
1	New Iraqi Army (NIA) Trainers Security guards and two helicopters for Bremer	Completed (5 bids received) (Directed)	Vernal Corp.	DABK01-03-C-0007	25-Jun-03	CPFF	\$48,074,442	Appropriated	IFF
2	Security guards and two helicopters for Bremer	Completed (5 bids received) (Directed)	Blackwater Security Consulting LLC	DABU01-03-F-0891	28-Aug-03	FFP	\$21,331,693	Appropriated	FFP
					\$69,406,135	\$35,031,644			

**TECA**

Competitive Solicitation No.	Contractor	Contract No.	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source
Scope of Work								
Appropriated								

Scope of Work	Competitive Solicitation Source & No.	Contractor	Contract No.	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source
<b>DOMA</b>									
Security for Inner ring, Republican Presidential Compound, Al Rashed Hotel	Sole	Global	SKW01A-03-C-0001	29-Mar-03	FFP & Cost CLINS	\$17,066,624	\$17,066,624	Appropriated	Army
1 PSD Security Training Contract for M of Interior	Sole	MTS Blackwater Training	SKW01A-03-C-0002 SKW01A-03-C-0003	3-Jun-03	FFP & Cost CLINS	\$1,500,583	\$1,500,588	OMA	Army
3 PSD for Amb Bremer	Sole	Global	SKW01A-03-C-0004	19-Jun-03	FFP & Cost CLINS	\$3,537,449	\$3,537,448	OMA	Army
4 Contract	Sole	Global	SKW01A-03-C-0006	1-Jul-03	FFP & Cost CLINS	\$5,922,084	\$5,922,084	OMA	Army
5 Security for Al Rashed Hotel	Sole	Global	SKW01A-03-C-0006	1-Jul-03	FFP & Cost CLINS	\$5,922,084	\$5,922,084	OMA	Army
<b>FY 2004 CPA Program Management Office "Management Support" Contracts</b>									
Scope of Contract or Agreement	Competitive/Sole Limited Source & No.	Contractor	Contract Number	Date of Award	Type and Kind of Contract	Total Value (incl options)	Total Obligated Amount	Type of Funds	Fund Source
The PMO support contractor will provide overall oversight to manage the PMO's (SPMO) and conduct coordination among the Sectors, standardization across the Sectors, and reporting to the PMO.	Competitive	AECOM Los Angeles, CA	W914NS-04-C-0001	11-Mar-04	Cost Plus Award Fee	21,610,501.00			Iraq Relief and Reconstruction Fund, 1096.0103
1 Dedicated support to the Electrical Management Offices (SPMO) under the CPA PMO. The Public Works and Water SPMO contractor will provide oversight and coordination support for all design and construction activities being performed within the sector.	Competitive	IRAQ POWER ALLIANCE JOINT VENTURE, PARSONS ENERGY AND CHEMICALS GROUP- PARSON BRICKERHOFF, LTD of the United Kingdom	W914NS-04-C-0002	3/11/2004	Cost Plus Award Fee	43,361,340.00			Iraq Relief and Reconstruction Fund, 1096.0103
2 Dedicated support to the Public Works and Water Sector Program Management Offices (SPMO) under the CPA PMO. The Public Works and Water SPMO contractor will provide oversight and coordination support for all design and construction activities being performed within the sector.	Competitive	CH2M Hill Englewood, CO and Parsons Water Infrastructure, Inc., Pasadena, CA	W914NS-04-C-0003	11-Mar-04	Cost Plus Award Fee	28,494,672.00			Iraq Relief and Reconstruction Fund, 1096.0103
3 Dedicated support to the Security / Justice Sector Program Management Offices (SPMO) under the CPA PMO. The Security / Justice SPMO contractor will provide oversight and coordination support for all design and construction activities being performed within the sector.	Competitive	The Louis Berger Group, Inc. Washington, DC and the URS Group, Inc. San Francisco, CA	W914NS-04-C-0004	3/11/2004	Cost Plus Award Fee	8,458,350.00			Iraq Relief and Reconstruction Fund, 1096.0103
4 Dedicated support to the Buildings / Health Sector Program Management Offices (SPMO) under the CPA PMO. The Buildings / Health SPMO contractor will provide oversight and coordination support for all design and construction activities being performed within the sector.	Competitive	The Louis Berger Group, Inc. Washington, DC and the URS Group, Inc. San Francisco, CA	W914NS-04-C-0005	11-Mar-04	Cost Plus Award Fee	10,754,664.00			Iraq Relief and Reconstruction Fund, 1096.0103
5 Dedicated support to the Transportation/Communication Sector Program Management Offices (SPMO) under the CPA PMO. The Transportation / Communication SPMO contractor will provide oversight and coordination support for all design and construction activities being performed within the sector.	Competitive	The Louis Berger Group, Inc. Washington, DC and the URS Group, Inc. San Francisco, CA	W914NS-04-C-0006	3/11/2004	Cost Plus Award Fee	8,458,350.00			Iraq Relief and Reconstruction Fund, 1096.0103
6 Dedicated support to the Oil Sector Program Management Offices (SPMO) under the CPA PMO. The Oil SPMO contractor will provide oversight and coordination support for all design and construction activities being performed within the sector.	Competitive	Foser Wheeler, United Kingdom	W914NS-04-C-0007	11-Mar-04	Cost Plus Award Fee	8,416,886.00			Iraq Relief and Reconstruction Fund, 1096.0103
7									
<b>Total FY 2004</b>						<b>\$ 129,554,863.00</b>			

## **Appendix I - List of Contracts (Iraq)**

This appendix contains information about contracts awarded in Iraq through February 28, 2004. The data is grouped by fund type.

This list may be made available for review by submitting requests in writing to:

Coalition Provisional Authority Inspector General  
400 Army Navy Drive  
Arlington, VA 22202-4704

Requests must include name, organization name (if any), addresses, telephone number, security clearance information (if any), and purpose for requesting the review.

Data was obtained from Coalition Provisional Authority Support Activity Operations Support Team, March 16, 2004.

The CPA-IG has not formally reviewed or audited the data.

## **Appendix J - CPA Order 55**

CPA Order 55 established the Iraqi Commission on Public Integrity, a body capable of effectively enforcing anti-corruption laws and public service standards, proposing additional legislation as necessary, and heightening the Iraqi people's demand for honest, transparent, and accountable leadership through public awareness and education initiatives.

This information can also be found at:

<http://www.cpa-iraq.org/regulations/index.html#Orders>

## COALITION PROVISIONAL AUTHORITY ORDER NUMBER 55

### DELEGATION OF AUTHORITY REGARDING THE IRAQ COMMISSION ON PUBLIC INTEGRITY

*Pursuant* to my authority as Administrator of the Coalition Provisional Authority (CPA), and under the laws and usages of war, and consistent with relevant U.N. Security Council resolutions, including Resolution 1483 (2003), Resolution 1500 (2003), and Resolution 1511 (2003),

*Avowing* that corruption is the bane of good government and prosperity;

*Recognizing* that the Iraqi people deserve leaders who are honest and dedicated to the transparent governance of Iraq;

*Emphasizing* that effective governance depends on the confidence that Iraqi people have in their leaders and corruption erodes that confidence,

*Underscoring* that honest and transparent governance will promote lasting prosperity of the Iraqi people by assuring them and the world community of the integrity of Iraqi leaders;

*Noting* that Iraq has long prohibited corruption in government, but that enforcement has been arbitrary and intermittent and that corruption has proliferated under the Baath regime;

*Acknowledging* that the battle against corruption is a long-term struggle that requires lasting commitment to change behavior at all levels of government,

*Recognizing* that the CPA is committed to the effective administration of Iraq and that building the Iraqi capacity to fight corruption contributes to civilian administration,

Noting that the Governing Council is leading the development of an anti-corruption strategy for Iraq,

I hereby promulgate the following:

#### **Section 1 Delegation of Authority**

The Governing Council is hereby authorized to establish the Iraq Commission on Public Integrity (Commission) as an independent body responsible for enforcing anti-corruption laws and public service standards; proposing additional legislation as

necessary; and heightening the Iraqi people's demand for honest, transparent and accountable leadership through public awareness and education initiatives. Proposed provisions establishing the Commission have been discussed between the Governing Council and the CPA and are set forth in Appendix A.

## **Section 2 Terms and Conditions**

The authority delegated under Section 1 of this Regulation shall be subject to the following terms and conditions:

- a) The Governing Council shall ensure that the Commission is established and acts in a manner consistent with orders, regulations, and memoranda promulgated by the CPA.
- b) The Governing Council shall ensure that the Commission acts as the principal enforcement arm of Iraq's anti-corruption laws and performs its duties in conjunction with the Board of Supreme Audit ("Board") and the Inspectors General of Iraqi ministries.
- c) The Governing Council understands and acknowledges that the Commission, the Board, and the Inspectors General have expressly defined powers and areas of responsibility. Accordingly, the Governing Council shall ensure (i) that the Board serves as Iraq's supreme audit institution, and remains responsible for detecting fraud, waste, and abuse in Iraqi ministries and other government organizations; (ii) that the Board retains no prosecutorial or enforcement powers and must refer all evidence of fraud, waste, and abuse to the Inspector General of the relevant ministry; (iii) that the Inspectors General, in turn, and in addition to policing their own ministries, must investigate all referred matters and report their findings and recommendations to the relevant minister and to the Commission for final review and appropriate action; and (iv) that only the Commission is empowered to dispose of cases involving misconduct through criminal proceedings.
- d) The Governing Council acknowledges that this system is expressly designed to facilitate transparent governance and combat corruption at all levels by enabling oversight agencies to operate independently and by ensuring that investigative and enforcement functions are performed separately and discretely. The Governing Council shall therefore ensure that the system is implemented as designed.
- e) As part of the delegation in Section 1, the Governing Council is authorized to modify existing Iraqi law as set forth in Appendix A and to permit the Commission to promulgate a revision of the Code of State Employees and Social Sector Discipline.

- f) In the event of a conflict between any promulgation by the Governing Council and any promulgation of the CPA, the promulgation of the CPA shall prevail.
- g) The Administrator reserves the authority to alter the statute creating the Commission or any rules and regulations promulgated by the Commission, or to otherwise intervene to prevent and uproot government corruption in Iraq, if required in the interests of justice.

**Section 3**  
**Effective Date**

This Regulation shall enter into force on the date of signature.

 1/28/04

L. Paul Bremer, Administrator  
Coalition Provisional Authority

## THE COMMISSION ON PUBLIC INTEGRITY

*Avowing* that corruption is the bane of good government and prosperity;

*Recognizing* that the Iraqi people deserve leaders who are honest and dedicated to the transparent governance of Iraq;

*Underscoring* that honest and transparent governance will promote lasting prosperity of the Iraqi people by assuring them and the world community of the integrity of Iraqi leaders;

*Noting* that Iraq has long prohibited corruption in government, but that enforcement has been arbitrary and intermittent and that corruption has proliferated in Iraq since July 17, 1968;

*Acknowledging* that the battle against corruption is a long-term struggle that requires lasting commitment to change behavior at all levels of government;

Be it approved by the Governing Council:

### **Section 1 Purpose**

This statute encourages honest and transparent governance by establishing a truly independent body capable of effectively enforcing anti-corruption laws and public service standards, proposing additional legislation as necessary, and heightening the Iraqi people's demand for honest, transparent and accountable leadership through public awareness and education initiatives; by requiring Iraq's leaders to demonstrate their commitment to ethical public service through oaths of office and public disclosure of personal financial interests; and by strengthening and clarifying Iraq's standards of ethical public service and its criminal laws prohibiting public corruption.

### **Section 2 Definitions**

For purposes of this law, the following definitions shall apply. Words importing the singular include and apply to several persons, parties, or things; words importing the plural include the singular; and words importing the masculine gender include the feminine:

- 1) "Person" means any natural person, corporation, company, association, firm, partnership, society, union, institution, or organization.
- 2) "Penal Code" means the Iraqi Penal Code of 1969, as amended.
- 3) "Code of Conduct" means the Code for State Employees and Social Sector Discipline, Resolution No. 144.
- 4) "Corruption Case" means a criminal case that involves a suspected violation of
  - a) Paragraphs 233, 234, 271, 272, 275, 276, 290, 293, or 296 of the Penal Code,
  - b) Paragraphs 307 through 341 (Chapter Six) of the Penal Code,
  - c) Any provision of the Penal Code to which Paragraph 135(5), (6), or (7), added by Section 6 of this measure, applies, or
  - d) Any other provision of the Penal Code, where the suspected violation arises from the same operative facts as a suspected violation of a provision listed in subsections (a) through (d), above.
- 5) "Covered persons" means:
  - a) Governing Council members and their deputies;
  - b) Ministers and deputy ministers;
  - c) Governors;
  - d) Judges;
  - e) The Commissioner, Deputy Commissioner, and all Directors and investigators of the Commission;
  - f) Members of the National Legislature after the Transition; and
  - g) The chief executive of Iraq on and after the Transition.
- 6) "Transition" means the moment at which the sovereign transitional Iraqi administration assumes full governance authority in Iraq.

- 7) Before the Transition, "National Legislature" means the Governing Council. After the Transition, "National Legislature" means the body that is vested with the national legislative authorities and duties.

### **Section 3 Commission on Public Integrity**

The Commission on Public Integrity (Commission) is hereby established as a separate and independent government office that will implement and enforce this statute, by investigating and referring cases to courts for criminal proceedings; promoting the people's trust in the government of Iraq through financial disclosure and other programs; and fostering a culture in government and in the public of personal integrity, ethical public service, and accountability, through public awareness and education programs. The Commission may develop and propose for enactment additional legislation, promulgate regulations as authorized herein, and take such other actions as it deems necessary and appropriate to achieve its objectives.

### **Section 4 Powers and Duties**

- 1) The Commission shall have the authority to investigate and, through an investigator of the first class, present to an investigative judge a Corruption Case involving actions dating as far back as July 17, 1968. Upon presentation of the case to the investigative judge, the Commission shall become a party to the case.
- 2) The Commission may refer information regarding possible violations of the Code of Conduct to the head of the government agency that employs the suspected violator or that agency's Inspector General, with or without a recommendation for disciplinary action.
- 3) The Commission shall establish procedures to receive, through the office of an Ombudsman, and investigate allegations of corruption, including anonymous allegations. The Commission shall take all necessary and appropriate steps to protect the identity of informants to the maximum extent permitted by law, unless the informant waives such protection.
- 4) The Commission shall employ, among others, financial auditors, investigators, and investigators of the first class. An investigator of the first class is hereby empowered to exercise the powers of a court investigator under Iraqi law in any part of Iraq, and a request, motion, information, application, or supplication of whatever form submitted to a judge by an investigator of the first class shall be received and entertained in the same manner as such submissions from a court investigator.

- 5) An investigating judge shall notify the Director for Legal Affairs of the Commission upon commencing an investigation into a Corruption Case. At the Commission's request, the investigating judge shall update the Commission about the investigation as it progresses. The Commission may elect at any time to assume responsibility for such an investigation. If the Commission elects to assume such responsibility, the investigating judge shall transfer the entire case file to the Commission immediately, cooperate with and fully inform the Commission about the case, and cease his investigation.
- 6) The Commission shall promulgate mandatory financial disclosure regulations in accordance with Section 7. The regulations shall be designed to gain public trust and confidence in the honesty and transparency of government service. The regulations shall have the force and effect of law, and may be amended from time to time as the Commission deems necessary to achieve their purpose. The regulations shall require, at a minimum, disclosure of the information called for by the form annexed hereto as Appendix A.
- 7) The Commission shall promulgate a revision to the Code of Conduct, to clarify and strengthen the standards of ethical conduct to which Iraq's government employees must adhere. In revising the Code of Conduct the Commission will consult with the National Center for Consultation and Development of Government Administration and with the inspectors general of the Iraqi Ministries. The Code of Conduct shall address the duty of government employees:
  - a. to report corruption to an appropriate authority;
  - b. to act impartially and not to give unfair and unlawful preferential treatment to any organization or individual;
  - c. not to engage directly or indirectly in financial transactions using official, nonpublic information;
  - d. not knowingly to provide false, misleading, or incomplete information to the Commission;
  - e. not to accept gifts of more than a nominal value to be specified by the Commission, except for bona fide gifts from bona fide friends of long standing;
  - f. not to participate personally and substantially in official matters that have a direct and predictable effect upon the financial interests of themselves, their spouses or their family relations to the second degree, except where expressly authorized by law.

Every government employee must sign a written pledge of adherence to the Code of Conduct as a condition of employment. Heads of government agencies will take appropriate actions to ensure that employees sign such pledges.

- 8) The Commission may propose to the National Legislature legislation designed to eradicate corruption and foster a culture of integrity, honesty, transparency, accountability, submission to questioning, and fair dealing in government.
- 9) The Commission shall make available to government employees and the Iraqi public such education and public awareness programs as the Commission deems appropriate to foster a culture of integrity, honesty, transparency, accountability, and fair dealing in public service. It should enforce submission to questioning and impartial dealing in public service. In performing this responsibility, the Commission shall work with education officials to develop a national school curriculum to promote public integrity.
- 10) In performing its duties, the Commission and its officials shall not discriminate on the basis of, and shall act without regard to, religion, confession, race, ethnicity, gender, political affiliation or ideology, or familial affiliation or tribe. Any act of the Commission based on or motivated by such a distinction is invalid and null. The subject of such an act may sue to have it so declared in a court of law.
- 11) The Commission shall, in all of its operations, fastidiously observe and ensure the protection of due process under law.

## **Section 5 Organization and Functions**

- 1) The Commission shall be chaired by a Commissioner, who shall serve a term of five years. A Commissioner may serve no more than two terms, whether or not successive. The initial Commissioner shall be nominated by the Governing Council and appointed to his initial term by the Administrator. Commissioners after the initial Commissioner shall be appointed by the chief executive of Iraq from a pool of three nominees of the Council of Judges, subject to confirmation by a majority vote of the National Legislature. The nominees of the Council of Judges shall have the highest standards of ethical behavior and a reputation for integrity and honesty. The Commissioner may be removed from office by a two-thirds vote of the National Legislature for incapacity, serious misconduct in or out of office, dereliction of duty, or abuse of office.
- 2) The Commissioner shall:

- a) authorize, direct and control all operations of the Commission and ensure that it fulfills its duties under law;
- b) propose a budget for and approve expenditures by the Commission;
- c) hire, fire, and discipline Commission personnel in accordance with the Code of Conduct except as otherwise provided by law, and prescribe their training and qualifications;
- d) promulgate and ensure compliance with financial disclosure regulations as directed in this statute, and the procedural rules described in Section 4(3) of this statute; and
- e) promulgate amendments to and help ensure compliance with the Code of Conduct as set forth in Section 4(7) of this statute.

The Commissioner may delegate his duties to his subordinates in the Commission.

- 3) The Commission shall have a Deputy Commissioner, a Director for Investigations, a Director for Legal Affairs, a Director for Prevention, a Director for Education and Public Relations, a Director for Relations with Non-Governmental Agencies, and a Director for Administration, each of whom shall be appointed by the Commissioner and shall serve under his authority, direction and control.
- 4) The Deputy Commissioner shall be the chief assistant to the Commissioner. The Deputy Commissioner shall act as the Commissioner, with all appurtenant authorities, powers, rights, responsibilities and duties, during the Commissioner's incapacity.
- 5) The Director of Investigations shall be primarily responsible for the detection and investigation of corruption in the government of Iraq, and for establishing procedures for receiving and investigating allegations of corruption, including anonymous allegations.
- 6) The Director for Legal Affairs shall assist and advise the Commissioner in ensuring compliance with the law of Iraq, and in suggesting legislation to the Commissioner for submission to the national legislature.
- 7) The Director of Prevention shall be primarily responsible for assisting the Commissioner in fulfilling his duties under subsections 4(6)-(7) of this statute.
- 8) The Director of Education and Public Relations shall assist the Commissioner in fulfilling the duty imposed on the Commission by subsection 4(9) of this statute. He shall educate public officials, government employees and the public as to the principles of ethical public service, the Code of Conduct, and the financial disclosure

regulations applicable to government employees. He shall develop with the Ministry of Education and other education officials and organizations a national school curriculum to promote ethics in public service, and may carry out such training, studies, media campaigns, conferences, seminars and similar events, and hire consultants in furtherance of these activities.

- 9) The Director of Relations with Non-Governmental Organizations shall work with non-governmental organizations to promote a culture of ethics in both the public and private sectors, by developing and disseminating materials, conducting training programs and media outreach efforts, and other actions in furtherance of these activities.
- 10) The Director for Administration shall be responsible for office administration and personnel and human resources.

## **Section 6**

### **Penal Code Amendments**

The Penal Code is amended:

- 1) By appending to the end of Paragraph 135 the following:

- "(5) The abuse of public or trusted office for private gain or the offering, granting or acceptance of some advantage in violation of the person's trusted or public official duties and the official abuse of the rights of others, or attempting to induce such abuse or violation.
- "(6) The commission of an offense in connection with, in furtherance of, or to impede detection of any of the offenses described in Sections 2(4)(a) through (d) of the organic law establishing the Commission on Public Integrity.
- "(7) Violation of financial disclosure regulations promulgated by the Commission on Public Integrity."

- 2) By appending to the end of Paragraph 136 the following:

- "(4) If the offense involves a provision described in Sections 2(4)(a) through (d) of the organic law establishing the Commission on Public Integrity, then the offending person shall be immediately and permanently disqualified from government employment or from contracting to provide goods or services to the government and, unless a more harsh sentence is prescribed by another provision of law, the sentence may be imprisonment of up to 10 years, and a fine of up to

\$10 million or its equivalent in Iraqi Dinars, and the forfeiture and restitution of any and all amounts, objects, and tangible assets of unjust enrichment resulting from the offense or related activities.”

### **Section 7 Financial Disclosure**

- 1) Within 30 days of the date of assuming office, the initial Commissioner shall promulgate regulations requiring, at a minimum, that Covered Persons annually disclose the information called for by the form annexed hereto as Appendix A .
- 2) Timely compliance with Commission regulations promulgated pursuant to this statute shall be a condition of employment.
- 3) The Commission shall make available to the public for inspection and copying the information and forms filed pursuant to the financial disclosure regulations promulgated pursuant to this statute.
- 4) The Commission shall review, audit, and investigate as appropriate Covered Persons' financial disclosures ensure honest compliance.

### **Section 8 Reporting Requirements**

After the Transition, the Commissioner shall provide the chief executive of Iraq and the National Legislature with a report on the activities of the Commission, at least annually. Such reports shall include statistics on cases reported, investigated, referred, dropped, and prosecuted as well as information on the Commission's public outreach activities. The Commissioner shall make these reports available to the public.

APPENDIX A

ANNUAL IRAQI FINANCIAL STATEMENT

ALL QUESTIONS REFER TO CALENDAR YEAR (JANUARY 1 THROUGH DECEMBER 31) UNLESS OTHERWISE SPECIFIED.

Please answer all questions and where your answer is "none" or "not applicable" so state. **ANSWERS SHOULD BE PRINTED OR TYPED**, and additional sheets may be used if more space is needed. For clarification of any question, read instruction sheet.

Note: The failure to file a Yearly Financial Statement by a Covered Person, as defined in the law establishing the Commission on Public Integrity, is a violation of the law and may subject the official to penalties, including fines and suspension from office.

1. \_\_\_\_\_  
NAME OF OFFICIAL (First) (Middle) (Surname)

2. \_\_\_\_\_  
HOME ADDRESS (Street) (City/Town)

---

3. **List Public Office(s) you hold and governmental unit:**

\_\_\_\_\_  
(Public Office) (Municipality, Governorate, or National)

\_\_\_\_\_  
(Public Office) (Municipality, Governorate, or National)

4. List the names of any non-government employer from which you, your spouse, or dependent child received [\$1000 or equivalent dinars] or more gross income during the calendar year, or in which you, your spouse, or dependent child held a position as a director, officer, partner, trustee, or management position. If self-employed, list any occupation from which [\$1000 or equivalent dinars] or more gross income was received. If employed by a governorate or municipal Ministry or agency, or if self-employed and services were rendered to a state or municipal agency for an amount of income in excess of [\$250.00 or equivalent dinars], list the date and nature of services rendered. If the public office or employment listed in #3, above, provides you with an amount of gross

income in excess of [\$250.00 or equivalent dinars] it must be listed here. **(Do Not List Amounts.)**

NAME OF FAMILY MEMBER EMPLOYED	NAME AND ADDRESS OF EMPLOYER OR OCCUPATION	DATE AND NATURE OF SERVICES RENDERED
--------------------------------	--	--------------------------------------

5. List the address or legal description of any real estate, other than your principal residence, in which you, your spouse, or dependent child had a financial interest.

NAMES	NATURE OF INTEREST	ADDRESS OR DESCRIPTION
-------	--------------------	------------------------

6. List name of any trust, name and address of the trustee of any trust, from which you, your spouse, or dependent child or children have a beneficial interest of [\$1000 or equivalent dinars] or more. List assets if known. **(Do Not List Amounts)**

NAME OF TRUST: \_\_\_\_\_

NAME OF TRUSTEE AND ADDRESS: \_\_\_\_\_

---

NAME OF FAMILY MEMBER RECEIVING TRUST  
INCOME: \_\_\_\_\_

ASSETS: \_\_\_\_\_

7. List the name and address of any person, business entity, or other organization which had made total gifts or total contributions of [\$100 or equivalent dinars] or more in cash or property during the calendar year to you, your spouse or dependent child. Certain gifts from relatives and certain campaign contributions are excluded. (See instructions)

NAME OF PERSON RECEIVING GIFT OR CONTRIBUTION	NAME AND ADDRESS OF PERSON OR ENTITY MAKING GIFT OR CONTRIBUTION, AND RELATIONSHIP TO RECIPIENT
--	---

8. If you, your spouse, or dependent child were indebted in an amount in excess of [\$1,000 or equivalent dinars] to any person, business entity or other organization other than (i) any person related to you, your spouse, or dependent child at any time within the third degree of consanguinity (see instructions), or (ii) a financial institution regulated by the Iraqi Government where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence, or (ii) any indebtedness arising from transactions involving credit, please list the following:

NAME AND ADDRESS OF DEBTOR	NAME AND ADDRESS OF LENDER
----------------------------	----------------------------

I certify under penalty of perjury, that this Financial Statement is a complete and accurate response to the questions presented.

---

SIGNATURE

## **Appendix K - CPA Order 57**

CPA Order 57 establishes independent Offices of Inspector General within each Iraqi Ministry to conduct investigations, audits, evaluations, inspections, and other reviews in accordance with generally accepted professional standards.

This information can also be found at:

<http://www.cpa-iraq.org/regulations/index.html#Orders>

# COALITION PROVISIONAL AUTHORITY ORDER NUMBER 57

## IRAQI INSPECTORS GENERAL

*Pursuant to my authority as Administrator of the Coalition Provisional Authority, and under the laws and usages of war, and consistent with relevant U.N. Security Council resolutions, including Resolutions 1483 and 1511,*

*Noting that the former regime fostered inefficiency and corruption in Iraqi governmental institutions, and that a concerted effort is needed to restore public trust and confidence in these institutions,*

*Acknowledging that ministers need a corps of qualified, objective professionals dedicated to improving ministry performance and eliminating fraud, waste and abuse of authority,*

*Emphasizing that to be effective, the corps of professionals referred to above requires strong leadership, significant independence, adequate resources, and specified authorities and powers,*

*Determined to improve the efficiency, effectiveness and integrity of the Iraqi ministries, and to restore public trust and confidence in government,*

I hereby promulgate the following:

### **Section 1 Purpose**

This Order establishes an effective program of audit, investigation and performance review to provide increased accountability, integrity and oversight of the ministries and to prevent, deter and identify waste, fraud, abuse of authority and illegal acts. It establishes independent Offices of Inspectors General to conduct investigations, audits, evaluations, inspections and other reviews in accordance with generally accepted professional standards.

### **Section 2 Establishment**

- 1) There is hereby established within each Iraqi ministry an Office of Inspector General to be headed by an Inspector General.

- 2) The initial Inspector General in each ministry shall be appointed to a 5-year term by the Administrator. Any Inspector General vacancy that occurs before the sovereign transitional Iraqi administration's assumption of full governance authority shall be filled pursuant to the same procedure.
- 3) After the assumption of full governance authority by the sovereign transitional Iraqi administration, Inspectors General shall be appointed to vacant positions by the chief executive of the transitional Iraqi administration, subject to confirmation by majority vote of the body vested with national legislative powers.
- 4) Inspectors General shall be selected without regard to political affiliation (consistent with applicable de-Baathification policy) and on the basis of integrity, capability for strong leadership, and demonstrated ability in accounting, auditing, financial analysis, law, management analysis, public administration, investigation, criminal justice administration, or other closely related fields. In addition, the Inspector General should possess demonstrated knowledge, skills, abilities and experience in conducting audits and investigations.
- 5) Inspectors General shall be appointed for a term of five years, which term may be renewed for additional 5-year period(s) at the discretion of the chief executive of the transitional Iraqi administration, subject to confirmation by majority vote of the body vested with national legislative powers.

### **Section 3**

#### **Reporting and Independence**

- 1) Inspectors General shall report directly to the relevant minister and shall respond to requests or inquiries submitted by the Coalition Provisional Authority (or the body of the Iraqi administration vested with national legislative powers). In cases involving allegations of misconduct by the relevant minister, an Inspector General shall report to the Administrator (or to the Commissioner on Public Integrity, upon the transitional Iraqi administration's assumption of full governance authority in Iraq).
- 2) No individual or organization shall attempt to prevent, impair, or deter an Inspector General from taking authorized actions necessary to initiate, carry out, or complete any audit, investigation or review or from obtaining documents relevant to any pending audit or investigation. Individuals who violate this subsection shall be subject to investigation and prosecution by the Commission on Public Integrity, in accordance with applicable law.

#### **Section 4 Removal from Office**

- 1) An Inspector General may be removed by the relevant minister only for cause. "Cause" shall include, but shall not be limited to, malfeasance, abuse of office, and bribery.
- 2) In the case of a removal, the relevant minister shall report the removal and the grounds for removal to the Administrator, until the transitional Iraqi administration assumes full governance authority in Iraq. Thereafter, such reports, including the basis for the removal, shall be submitted to the body of the transitional Iraqi administration vested with national legislative powers. The Administrator or national legislative body may request additional information from the relevant minister regarding the removal, which may be overturned by the Administrator or by majority vote of the national legislative body.

#### **Section 5 Functions**

Each Office of Inspector General shall:

- 1) Audit all records and activities of the ministry, for purposes of ensuring the integrity, transparency and efficiency of ministry operations, and provide information for decision-making and recommend improvements to ministry programs, policies and procedures as appropriate;
- 2) Conduct administrative investigations consistent with the powers defined in Section 6, below;
- 3) Audit the economy, efficiency, and effectiveness of the ministry's operations and functions and review any ministry systems for measuring performance;
- 4) Receive, assess, and process complaints of fraud, waste, abuse of authority and mismanagement affecting ministry interests; forward complaints to appropriate investigative authority; and provide quality control, to include timeliness, independence, and thoroughness, for responses.
- 5) Conduct follow-up to ensure adequacy of corrective actions in response to Inspector General findings and recommendations.

- 6) Provide information and evidence regarding potentially criminal acts to appropriate law enforcement officials;
- 7) Receive and investigate complaints from any source or upon its own initiative concerning alleged fraud, waste and abuse and inefficiencies, including deficiencies in the operation and maintenance of facilities;
- 8) Engage in activities designed to prevent fraud, waste and abuse and inefficiencies, including but not limited to review of legislation, rules, regulations, policies, procedures, and transactions; and presentation of training and education programs;
- 9) Refer matters for further civil, criminal, and administrative action to appropriate administrative and prosecutorial agencies;
- 10) Recommend non-binding remedial actions to be taken by the ministry to overcome or correct operating or maintenance deficiencies and inefficiencies that were identified by the Office;
- 11) Issue public reports as set forth in section 9, below;
- 12) Monitor implementation of recommendations made by the Office and other audit agencies;
- 13) Promulgate written policies and procedures to guide functions and processes conducted by the Office;
- 14) Train employees of the ministry in the prevention and identification of waste, fraud and abuse, and establish programs for fostering a culture of accountability and integrity;
- 15) Maintain information regarding the cost of investigations and cooperate with appropriate administrative and prosecutorial agencies in recouping such costs from nongovernmental entities that are determined to have engaged in willful misconduct;
- 16) Cooperate fully in assisting the work of law enforcement agencies, investigators and courts, including the Iraq Commission on Public Integrity;
- 17) Take such actions as may be necessary to ensure the efficient performance of Inspector General functions at every level of the Ministry's operations; and

- 18) Perform such other duties within the scope of their powers, as defined in Section 6, below as may be necessary to carry out their responsibilities under this Order.

### **Section 6 Powers**

- 1) To accomplish the functions set forth in Section 6 of this Order, each Office of Inspector General is hereby granted:
  - a) Full and unrestricted access to all offices, limited access or restricted areas, employees, records, information data, reports, plans, projections, matters, contracts, memoranda, correspondence and any other materials, including electronic data of the ministry;
  - b) Authority to subpoena witnesses, administer oaths or affirmations, to take testimony and to compel the production of such books, papers, records and documents, including electronic data as is deemed to be relevant to any inquiry or investigation undertaken;
  - c) Reasonable access to the head of any governmental entity (including without limitation ministries, departments, agencies, and state owned entities), when necessary for purposes related to the work of the Office; and
  - d) Authority to require employees of the ministry to report to the Office of Inspector General information regarding fraud, waste, abuse, corruption, and illegal acts.
- 2) Each Office of the Inspector General shall adhere to Section 9 with respect to any information derived from exercising the powers set forth in Section 6(1).

### **Section 7 Resources**

- 1) Each ministry shall fund its Office of Inspector General from its annual budget received from the national budget. Each ministry must allocate and deliver funds that are adequate to meet the mission of its Office of Inspector General.
- 2) The Inspectors General shall establish the organizational structure appropriate to carrying out the responsibilities and functions of his or her office, and may appoint, employ, promote, and remove such assistants, employees, and

personnel as deemed necessary for the efficient and effective administration of the office.

- 3) Within budget limitations, the Inspector General may obtain the services of Chartered Accountants, qualified management consultants, or other professional experts necessary to perform independently the functions of the office.

### **Section 8 Record Keeping**

- 1) During the course of audit and investigation activities, records produced by an Office of Inspector General shall not be made public.
- 2) Each Office of Inspector General shall take all steps necessary to prevent unauthorized public disclosure of audit and investigative reports, including any such disclosure that would jeopardize the national security of Iraq.
- 3) Names and identities of complainants will not be disclosed to the public without the complainant's written consent, unless required by Iraqi law or judicial processes.
- 4) Each Office of Inspector General shall maintain the confidentiality of any classified information obtained by the Office, and shall be subject to the same penalties as the custodian of those records for violating statutes governing the handling of classified information.
- 5) Each Office of Inspector General shall take all steps appropriate to protect the privacy of individuals to the extent possible in the context of the judicial or administrative processes initiated to protect the public.

### **Section 9 Reporting**

- 1) Each Inspector General will report the findings and recommendations of the Office's work to the respective minister, to appropriate elected and appointed leadership, and, except for law enforcement sensitive or confidential information, to the public.
  - a) Each Inspector General shall report potentially criminal investigative matters to the appropriate law enforcement and prosecutorial agencies.

- b) Each Inspector General shall immediately report to the head of the relevant ministry significant problems, abuses, or deficiencies relating to the administration of programs and operations of the ministry or interference with Inspector General operations.
- 2) Within 60 days of the end of each fiscal year, each Inspector General shall issue an annual report that separately lists audit and review reports and other investigative or assistance efforts completed during the fiscal year.
- a) The report shall describe the activities of the Office of Inspector General. Copies of the report shall be provided to the minister as well as any legislative bodies with oversight authority with respect to the ministry or the Office of Inspector General.
  - b) Upon issuance, members of the media and the public shall be promptly advised of the issuance of the report. Such reports will be provided to their representatives upon request.

### **Section 10 Professional Standards**

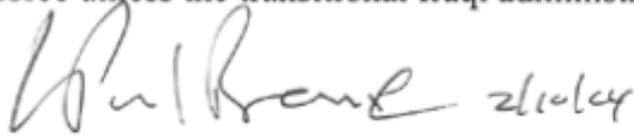
Audits, investigations, inspections, and reviews conducted by the Office of Inspector General will conform to generally accepted professional standards promulgated by independent professional auditing, evaluation and investigative organizations that promote and improve the functions of audit, inspection/evaluation and investigative activities within government.

### **Section 11 Quality Review**

Audits, investigations, inspections and reviews shall be subject to quality assurance reviews by the Board of Supreme Audit of Iraq at least every three years. A copy of the written report resulting from this review shall be furnished to the minister and any legislative bodies with oversight authority with respect to the Office of Inspector General. This report shall also be made available to the public, when requested.

**Section 12**  
**Entry into Force**

This Order shall enter into force on the date of signature, and shall remain in force unless the transitional Iraqi administration enacts superseding legislation.

A handwritten signature in black ink, appearing to read "L. Paul Bremer", followed by a date "2/10/04".

L. Paul Bremer, Administrator  
Coalition Provisional Authority

## **Appendix L - CPA-IG Audit Planning and Areas of Interest**

This appendix contains the CPA-IG Audit Planning and Areas of Interest document that establishes the direction, the universe of potential audits and review assignments to be accomplished by the CPA Assistant Inspector General for Audit. This plan in this appendix is abstracted and a current version of the plan will be included as an appendix in future CPA IG quarterly reports. Also included in this appendix are summaries of previous audit work performed and areas of interest to CPA-IG.

## CPA-IG Audit Planning and Areas of Interest

### Audit Planning

**Purpose.** The audit plan establishes the initial universe of potential audit and review assignments to be accomplished by the CPA Assistant Inspector General for Audit. The plan is abstracted here, and the full plan will be included in future CPA-IG quarterly reports.

**Authority.** Title III of P.L. 108-106 of November 6, 2003, (Section 3001) created an Inspector General on January 20, 2004 to:

1. "Provide for the independent and objective conduct and supervision of audits and investigations relating to the programs and operations of the Coalition Provisional Authority (CPA),
2. "Provide for the independent and objective leadership and coordination of, and recommendations on, policies designed to--
  - "Promote economy efficiency, and effectiveness in the administration of such programs and operations; and
  - "Prevent and detect fraud and abuse in such programs and operations.
  - "Provide for an independent and objective means of keeping the head of the Coalition Provisional Authority fully and currently informed about problems and deficiencies relating to the administration of such programs and operations and the necessity for and progress for corrective action."

**Current Situation.** The CPA-IG, in recognition of his responsibilities under the enabling statute and the "Inspector General Act of 1978" (Pub. L. 95-452, Oct. 12, 1978, 92 Stat. 1101), has established a priority list of areas of interest that will guide the conduct of future audits and reviews. Indeed, some alleged deficiencies already have been reviewed or audited by the Defense Contract Audit Agency and have since been referred for further action to include investigation for possible civil or criminal prosecution.

The CPA-IG also recognizes that certain concerns and claims have been raised by Congress, government officials, contractors or by members of the media about alleged deficiencies or mismanagement in the execution of Iraq relief and reconstruction by the CPA. These concerns and claims have been considered in preparing the audit plan designed to respond to them.

**Top Priorities.** After extensive discussions with stakeholders throughout the U.S. Government, international partners, and senior Iraqi officials and thoughtful considerations, the CPA-IG established five areas of interest to the CPA IG, which may, over time, rise or fall in priority but will not fall from this list of prioritized areas of interest. These areas include, in order of current priority:

1. Achievement of competition
2. Corrupt or deficient practices
3. Readiness and transition performance
4. Contract program management
5. Contractor corporate governance compliance

**Emergent Concerns and Claims.** To respond to public concerns and claims, the CPA-IG will undertake performance reviews or assessments to examine these areas of concerns:

- Assess the role and use of competition to leverage improved program success;
- Assess lessons learned and potential opportunities for improvements;
- A risk management assessment of the post-award, near-term implementation of the Iraqi Relief and Reconstruction Funds II (\$18.4 billion) program;
- A risk management assessment of the program management systems and subcontractor management systems for the Iraqi Relief and Reconstruction Funds II (\$18.4 billion) program;
- An assessment of contractor estimating systems that support task order proposal development;
- Risk management assessments on transition-related issues.

**Resources.** CPA-IG has at its disposal its own auditors and access to other audit agencies in the federal government that initiate audits on a regular or on-demand basis. To accomplish the Audit Plan, the CPA will leverage every resource available, to include outsourcing, to perform the planned audits and performance assessments and reviews.

The CPA-IG organizational structure includes provision for an Assistant IG for Audit and an Assistant IG for Investigations. Plans have been developed for both of these

functions, and personnel have been assigned and at the time of this report period, staffing is on-going. Their efforts will be supplemented by audit and investigatory work of other government organizations.

**CPA-IG Areas of Interest**

**Areas of Interest**

<b>Potential Risk Areas</b>	<b>Issues of Concern</b>	<b>Process Improvements</b>
Security - personal security, cost, availability of insurance	Contracting -irregularities identified on specific contracts	Corporate governance - standards of ethics and transparency
CPA transition - inventory Management, fiscal control, and management oversight	Iraqi involvement - need for increased emphasis on Iraqi business utilization, capacity building, and cooperation	Contracting processes - coordination & documentation, use of additional innovative contracting approaches provided in the FAR
Funding controls - safeguarding of funds and the oversight of seized/vested assets	Interagency relationships - coordination, cooperation, and communication	Planning - pre-planning, supply management
Logistics - materiel management, and safeguarding of assets	Use of CONUS contracts for intended purposes	Human resources - skill sets, leadership, and personnel support
	Acquisition planning - improper procedures, limited competition, and reliance on sole source procurements	Program management - Program Management Office and Program Review Board issues
	Use of U.S. Army support contracts (LOGCAP)	

Table L-1: Areas of Interest

The CPA IG has identified a number of areas of interest in its initial review. They are as follows:

**Potential Risk Areas**

**Security**

The CPA reports that providing security and stability are critical factors in establishing the conditions for a sustainable peace in Iraq. The creation of indigenous security forces by the CPA is a fundamental component of this requirement.

The Congressional Budget Office has acknowledged that the continuing insurgency in Iraq has made it difficult to estimate the amount of money needed to assure adequate security to persons and property, in order for reconstruction efforts to be successful.

Several assessments of these issues are underway by various organizations. The CPA-IG is coordinating efforts and anticipates a more definitive quantification of the impact of security requirements on U.S. government personnel and contractor programs and operations.

The CPA-IG is exploring the identification of insurance provision costs in contracts, and convening a group to consider a unified insurance program for contracted security personnel in Iraq receiving U.S. appropriated funds.

### **Transition**

CPA-IG is inquiring into the potential gap between the sources of funds and those required for a successful transition. Complex resource prioritization decisions and detailed planning paths for continuity of operations, are required for the CPA to implement the transition within budget ceilings while harmonizing relations with the government of Iraq, and contractor support for programs, projects and operations upon which all parties will continue to depend.

U.S. government and coalition partner agencies and operations, as well as contactors (and their contractual requirements), will benefit from a clearly defined understanding of continuity issues in relation to the interests and authorities of the government of Iraq and the continuing interests of the programs, projects and activities of the U.S. and coalition partner governments.

### **Control of Seized / Vested Funds**

The CPA-IG will coordinate with the US Army Audit Agency (USAAA) and the US Army Inspector General (USAIG) to assess the accounting of seized and vested funds. This issue merits further investigation and will be included in subsequent reports to Congress.

### **Safeguarding of Assets**

Material management, logistics and safeguarding of assets are all areas that are stressed, given the magnitude of the Iraq relief and reconstruction effort.

### **Issues of Concern**

#### **Identified Contract Irregularities**

The CPA-IG will investigate contract irregularities related to specific contracts underway or pending. Due to the sensitive nature of on-going investigations, further detail will be provided in future reports.

### **Iraqi Business Utilization**

Engaging the Iraqi people in the country's redevelopment is an important economic and political goal. The transfer of redevelopment funds – Iraqi, U.S. and donor – into the fractured economy, will be the only stimulus for small, medium and larger businesses in the near term.

It is clear that an effort has been made to engage Iraqi businesses through the use of Iraqi and U.S. appropriated funds. The extent to which skilled Iraqi resources have been used needs to be addressed in the interests of resource economy, program efficiency and confidence building between the reconstruction effort (as a whole) and the perceptions of the Iraqi citizens.

### **Interagency Relationships**

Interagency and international coordination, cooperation and communication is essential.

The State Department initiated the "Future of Iraq Project" in 2002. A report produced as a result of that project, involved Iraqis not living under Saddam Hussein's rule, with expertise in a wide range of professions, who produced a report discussing proposals for the rebuilding of Iraq and the restructuring of institutions. The CPA-IG is interested in what effect, if any, work such as this by the State Department and the dozens of other agencies, both Federal and international, with expertise in Iraq reconstruction, can have on future planning. Key to the upcoming transition is leveraging lessons learned in preparation for the anticipated transition of the majority of CPA duties and responsibilities from DOD to the State Department.

### **CONUS Contract Vehicles**

The CPA-IG intends to inquire further into the utilization of contract vehicles designed for one purpose, but utilized to support Iraq reconstruction efforts. The question of potential impropriety must be examined carefully in the coming months.

### **Contracting Issues**

The exigencies in the immediate post-war phase served as the basis for allowing less than open and competitive bidding processes. However, the greater value from the reconstruction investment is achieved when free and open competition is present. The

efficient use of U.S. taxpayer dollars and Iraqi resources, as well as the investment value in reconstruction projects, is best served by market forces.

### **Use of Army Support Contracts**

LOGCAP was established to provide vital support to U.S. forces both in peace and war. Its intent is to increase the efficiency and war-fighting capability of forces on the ground and to improve quality of life in difficult circumstances.

LOGCAP provides a range of services including laundry and bath, facilities and billeting, clothing exchange and repair, waste and sanitation, food, mortuary affairs, supply support, maintenance, transportation and distribution, and power generation and distribution. At its core, the program is intended to allow fewer soldiers to accomplish a larger mission, allowing them to focus on war fighting capability and force projection while the LOGCAP contractor delivers life-support infrastructure.

In 1992, the first LOGCAP was awarded by the US Army Corps of Engineers (USACE) to Brown and Root Services for a one year term, with four one year options. Operations in Somalia and Haiti were supported by this contract. In 1996, the program was transferred to the U.S. Army's Material Command (AMC) and a 1997 LOGCAP award was competitively won by DynCorp. A 2001 LOGCAP competition was secured by the first contract provider Brown and Root Services (later known as Kellogg, Brown & Root (KBR)).

The U.S. Army Materiel Command's LOGCAP has played a large role in the Iraq mission on several levels. In addition to the continuing support that the program provides to U.S. armed forces, the LOGCAP has been utilized to address high-level objectives from planning for the Restoration of Iraqi Oil infrastructure (RIO), to provision of life-support for the CPA in its area of responsibility.

There is an on-going audit by DCAA and AMC relating to Halliburton invoicing for meals ordered and meals served to soldiers and supporting civilian personnel in Iraq and Kuwait. This dispute is a matter of interest to the CPA-IG and will be discussed in upcoming reports to Congress.

### **Process Improvements**

#### **Corporate Governance**

Corporate governance and compliance have changed over the last several years. Major corporations and medium-sized companies have developed internal compliance systems to identify liability creating conduct by their employees and their subcontractors and to take preventive steps to avoid violations of international, federal, and state laws, and to make voluntary disclosure of violations when they occur. The Congress has created

further legal requirements for companies in the public sector to take action to improve corporate governance (e.g. independent board members and codes of ethics).

The CPA-IG suspects, but has yet to confirm, that major defense contractors doing business in Iraq pursuant to government contracts have developed and implemented internal compliance systems, codes of ethics, and similar codes of conduct to maintain compliance, identify potential liability creating conduct, and to make timely reports of violations when they occur. We intend to encourage companies doing business in Iraq to increase their efforts in strengthening their internal compliance processes and controls with a view toward early identification of deficiencies.

In this regard, the CPA-IG has requested CPA, USAID, USACE, State Department, and the Department of the Army to request from contractors with U.S. government contracts greater than \$5,000,000 to voluntarily provide their compliance systems, codes of ethics and codes of conduct to the CPA-IG on or before April 15, 2004.

The purpose of this request is to determine how many of these contractors have these compliance tools and the action they are taking to achieve compliance, including:

- Whether the contractors have set standards for compliance, pursuant to these systems
- Whether these systems have voluntary disclosure of violations provisions
- Whether the contractors are actively seeking to identify compliance performance and deficiencies pursuant to these systems and codes.

To the extent that a contractor responds that it does not have a compliance system and/or a code of ethics, then the CPA-IG intends to follow up directly with that contractor to determine why no such program exists.

The CPA-IG has not yet made an independent finding of a company's willful and intentional violation of the law, although there have been instances where one or more contractors have made a disclosure of violations.

The CPA-IG recognizes that internal compliance systems can produce early warning of potential violations so that the companies can take corrective action and make timely voluntary disclosures to the government when violations occur, potentially saving taxpayers money and government resources. The extent that a major contractor reports a violation pursuant to such a system or does so without a system, is an important source of information pertaining directly to the IG's mission.

Furthermore, there is the possibility through governmental audits and other investigations that the CPA-IG will discover compliance deficiencies totally independent of the compliance disclosure provisions of the contractor's system. In these circumstances the CPA-IG intends to determine why the company's compliance system failed to detect the violation and why the company failed to report the violation to the government whether or not it had an internal compliance system.

CPA-IG intends to scrutinize existing systems to determine whether violations are being properly reported pursuant to the systems, and if not, why not. CPA-IG intends to obtain further data on these systems and then decide whether to recommend to Congress additional legislation to improve contractor compliance performance.

### **Contracting Processes**

As the CPA-IG work proceeds, CPA-IG will examine the balance necessary between what appears, to be two contradictory goals:

- Ensuring strict application of regulations to ensure accountability
- Allowing the flexibility and agility available within regulations to be applied when warranted under wartime and post-wartime circumstances.

Peacetime procurement rules, such as the complex Federal Acquisition Regulation (FAR), have an impact on rapid delivery of goods and services.

Closely related to the issues of concern, the CPA-IG has activated a “Tiger Team” to focus on the contracting processes of the CPA and immediately identify risks and areas for further improvement.

### **Planning**

Initial planning during the first months of Iraqi Coalition activities occurred in October of 2002 when an interagency team convened to discuss the matter. This team centered on quickly responding to repair the damage from military action and deal with a humanitarian crisis. Specific consideration was given to protecting and repairing oil fields, Iraq’s most precious natural resource and the entire basis for its economy.

According to U.S. Army Director of Civil Works for the USACE, in testimony before the House Government Reform Committee on March 11, 2004, while there was relatively little war damage to the oil fields, they instead found “an infrastructure crippled by a combination of long-term neglect, recent looting, and sabotage.” Instead of finding thousands of refugees fleeing over the borders, the streets of Baghdad, Tikrit, Mosul and Kirkuk were fraught with danger for the men and women in the Coalition forces, the CPA, and the contractors that were to follow.

The DoD-IG has also pointed to insufficient planning, citing the lack of acquisition support required in the first months of ORHA’s existence.

## **Human Resources**

Assessments to date have provided much evidence to suggest that the CPA has sustained a huge workload with comparatively few staff to handle an ever-increasing burden.

Among the areas requiring further study are:

- Matching of appropriate skills for positions
- Under-resourcing of key positions
- Examination of “continuity of leadership” issues following transition

## **Program Management**

The CPA’s control and management of the financial resources of both U.S. appropriated and DFI funds that are allocated to redevelopment programs and operations is necessary to assure the efficient use of funds and the realization of the desired results.

While significant concerns with respect to the CPA’s financial management systems continue, the processes and controls accruing from the authority of the PRB and the stand-up of the PMO, provide an important integration of strategic decision-making and individual project technical requirements and management.

Iraqi participation in the decision making process also provides an important linkage to program results and supports capacity in the transition process. Moreover, the transparency of the PMO program, will serve not only the provision of available information about the use of rehabilitation and reconstruction funds, but also support the work of assessments and other examinations by auditors and investigators.

## **Appendix M - DoD Status Report on Iraq**

This appendix contains the March 15, 2004 Department of Defense status report on Iraq. The presentation was obtained from the Special Assistant for Communications in the Office of the Assistant Secretary of Defense for Legislative Affairs.

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# ***DRAFT WORKING PAPERS Iraq Status***

This briefing is classified  
**UNCLASSIFIED**  
Derived from: Multiple Sources



# Highlights

## CPA Priorities:

- Essential Services
- Security
- Governance
- Economy
- Strategic Communications

## CPA Intermediate Objectives:

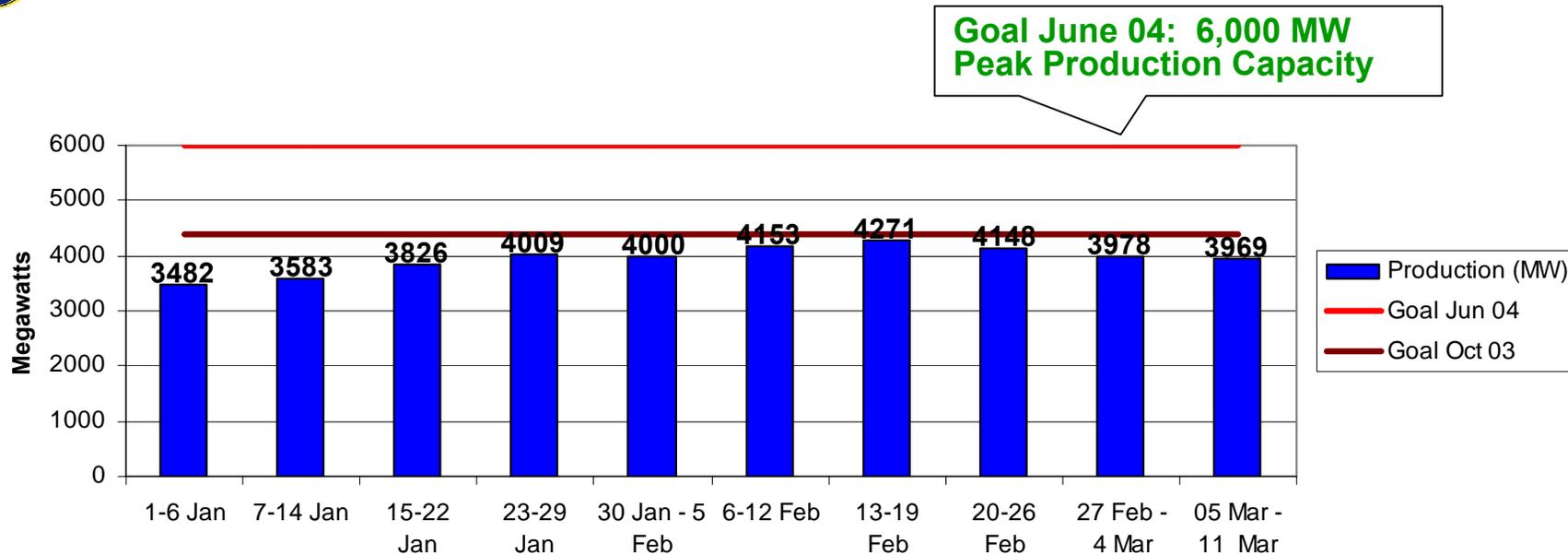
- Reconstitute electric power infrastructure
- Reconstruct the telecom system
- Draft "Transitional Administrative Law"
- Build financial market structures
- Improve dissemination of information

## Weekly Highlights:

- Spring Maintenance Program has led to average of 1,172 MW scheduled maintenance losses over the past week during mild weather period
- Transitional Administrative Law will serve as supreme law from 30 Jun until election of new government under permanent constitution, by 31 Dec 05
- Over 30 donor countries commit to place more than one billion dollars of pledged funds into UN and World Bank trust funds
- CPA civics test indicates Iraqis in general are not well-informed on transition political issues
- 949,580 telephone subscribers – 14.1% above active subscribers pre-war; 244,000 cell phone subscribers



# Electricity Overview

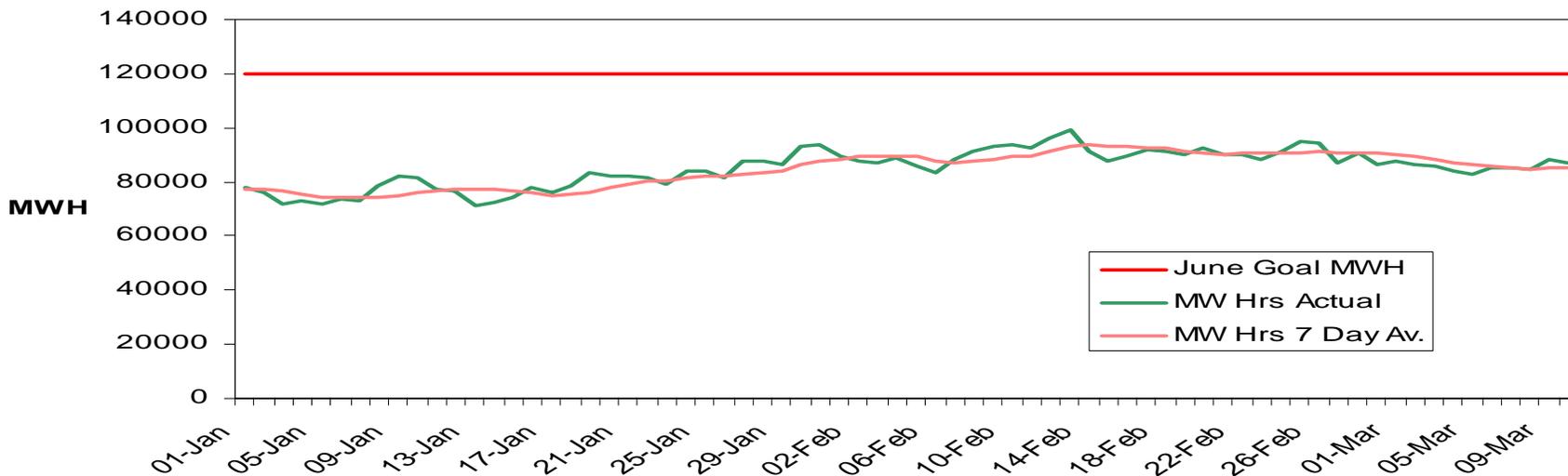


- Ambassador Bremer approved a new increase plan on 14 Jan to prevent current project delays from impacting the goal of 6,000 MW by 01 Jun
- CPA Autumn Maintenance Program began Oct 03 transitioned into the Spring program in Feb and has resulted in 1,172 MW in scheduled maintenance losses on average over the past week. Unscheduled generator maintenance continues with 651 MW out of service

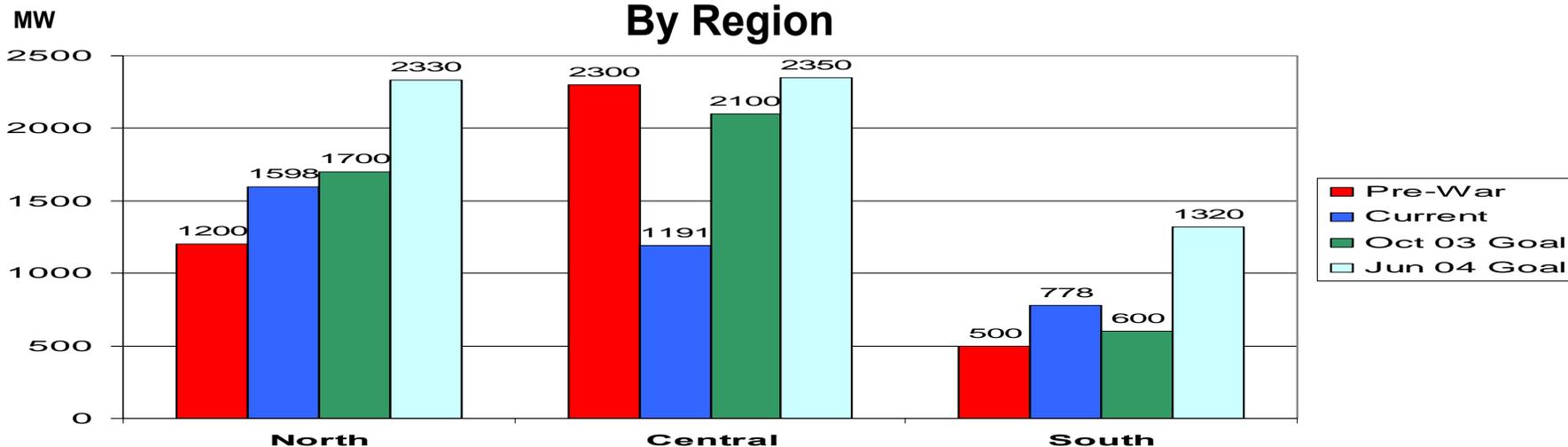


# Power Production

### Mega Watt Hour Production



### By Region



Data as of 11 Mar

DEPARTMENT OF DEFENSE



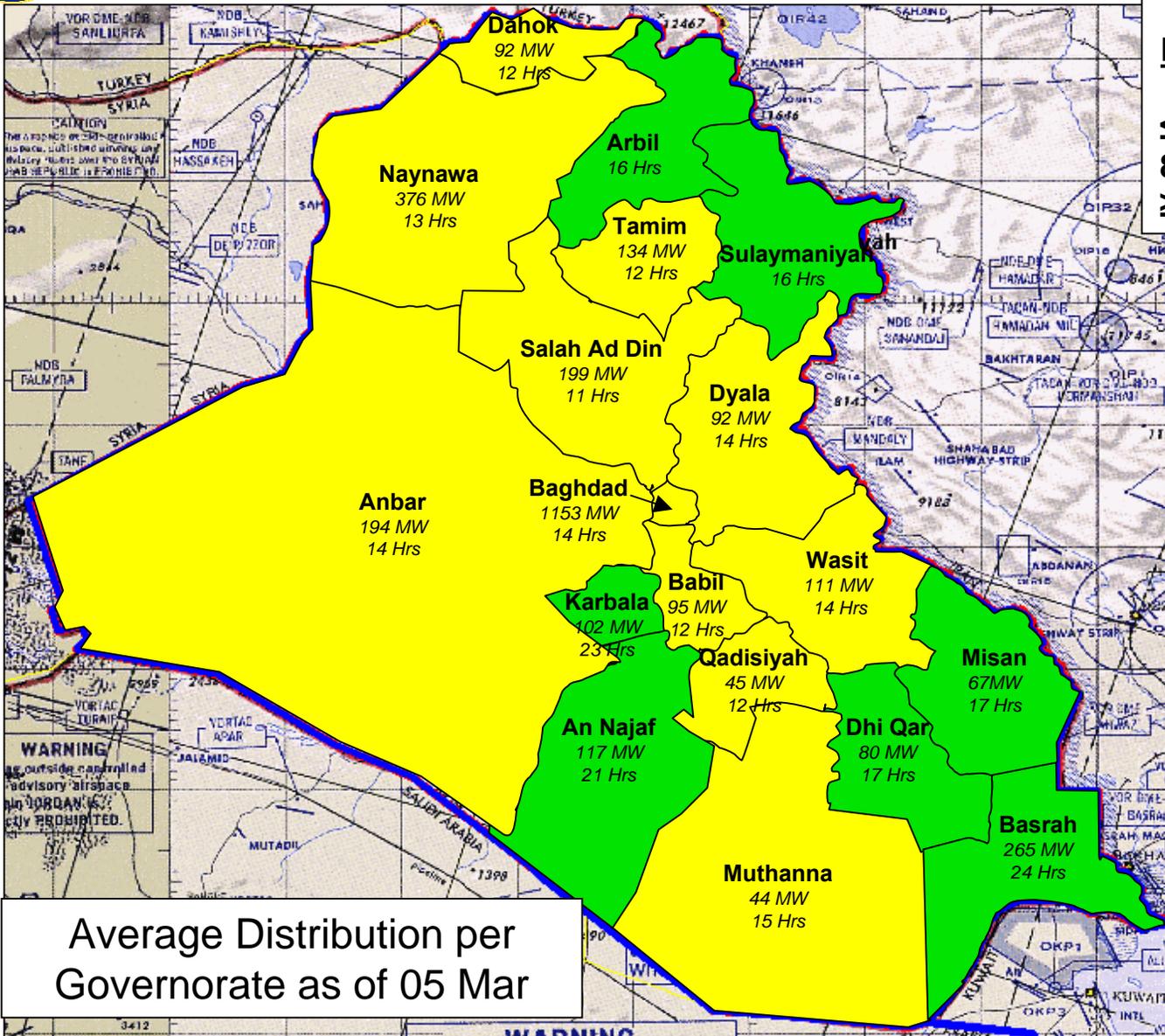
# Hours of Power – Week of 5 March

**AVERAGE HOURS OF ELECTRICITY AVAILABLE TO RESIDENTS**

- ≤ 8 Hrs = Red ●
- 8 to 16 Hrs = Amber ●
- ≥ 16 Hrs = Green ●

**Estimated Peak Industrial Allocation**

Baghdad	51.8 MW
Duhok	
Naynawa	64.5 MW
Tamim	19.0 MW
Salah Ad Din	9.0 MW
Anbar	34.0 MW
Dyala	4.0 MW
Babil	19.3 MW
Karbala	19.5 MW
Najaf	15.0 MW
Qadisiyah	11.5 MW
Wasit	0 MW
Muthanna	23.0 MW
Dhi Qar	10.0 MW
Misan	7.0 MW
Basrah	37.0 MW



Data as of 11 Mar

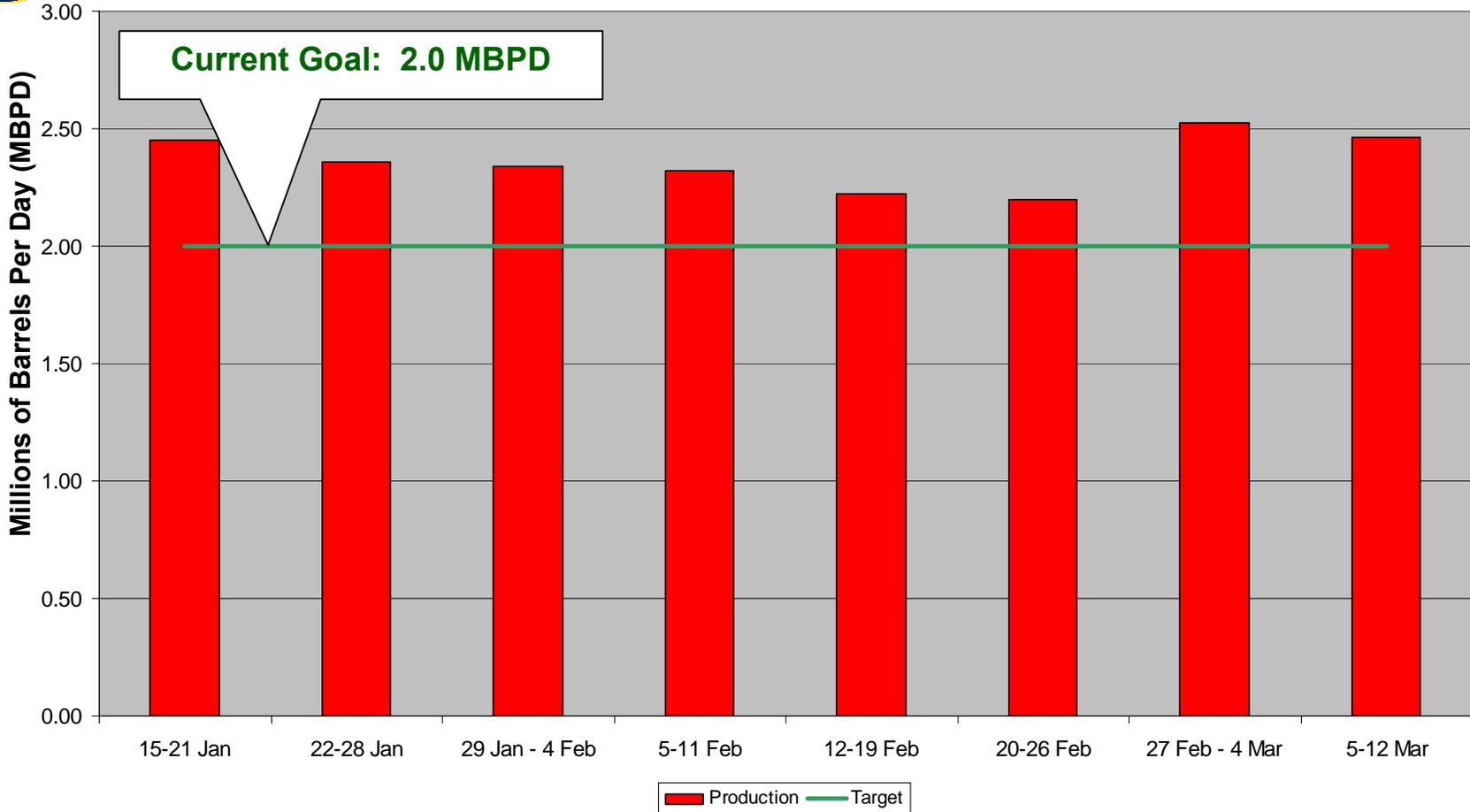
DEPARTMENT OF DEFENSE

N/P



# Crude Oil Production

DEPARTMENT OF DEFENSE



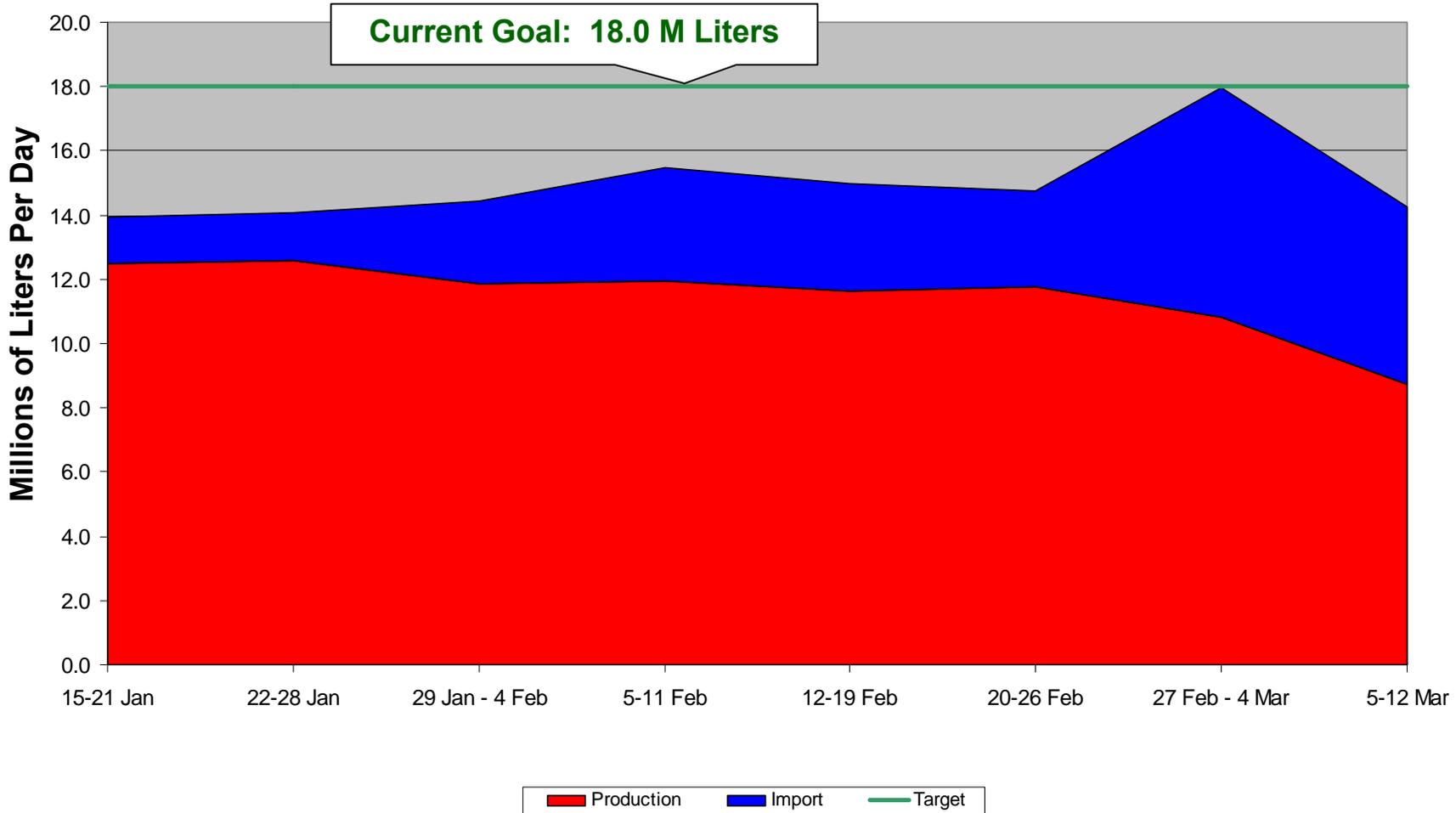
## WEEKLY AVERAGE OF 2.463 MBPD EXCEEDS TARGET OF 2.0 MBPD

- Long Term Target (Dec): 2.8-3.0 MBPD (Pre-War Capacity)
- Pre-War Peak: 2.5 MBPD in Mar 03
- Post-war Peak: 2.541 MBPD on 28 Feb
- Weekly average of crude exports: 1.521 MBPD



# Diesel Production

DEPARTMENT OF DEFENSE



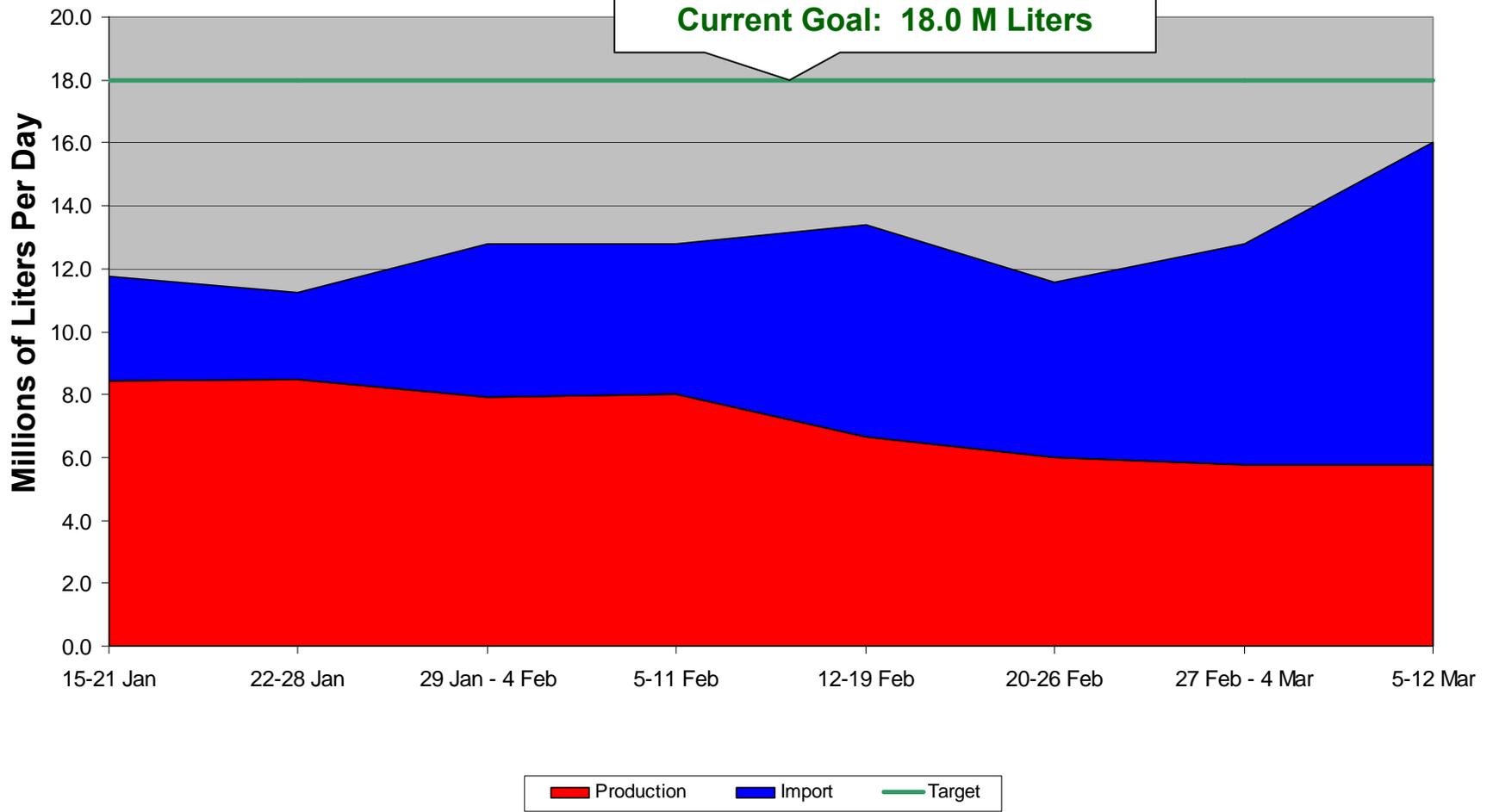
• This week's average production and imports are 79% of goal



# Kerosene Production

DEPARTMENT OF DEFENSE

Current Goal: 18.0 M Liters

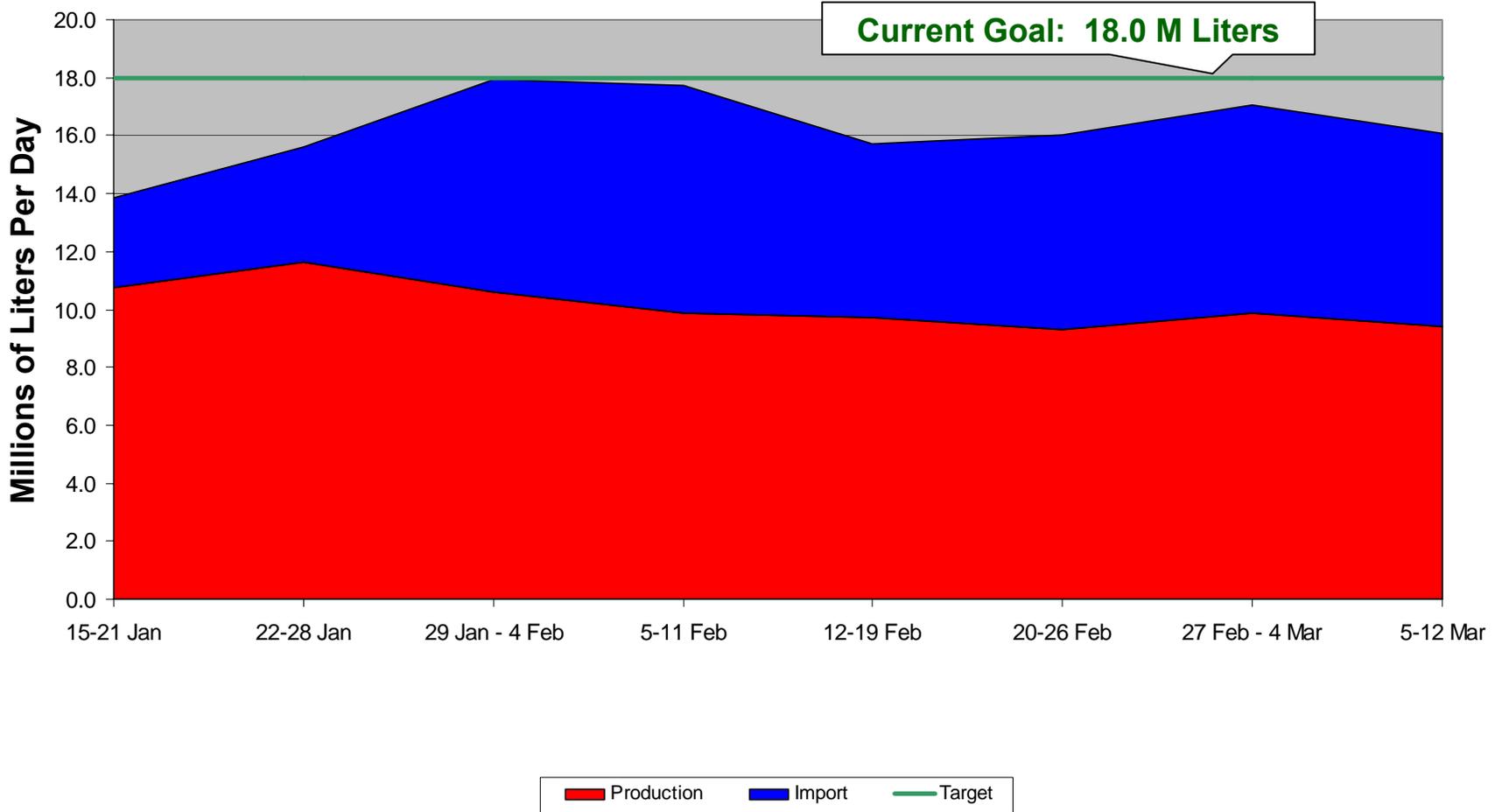


• This week's average production and imports are 89% of goal



# Gasoline / Benzene Production

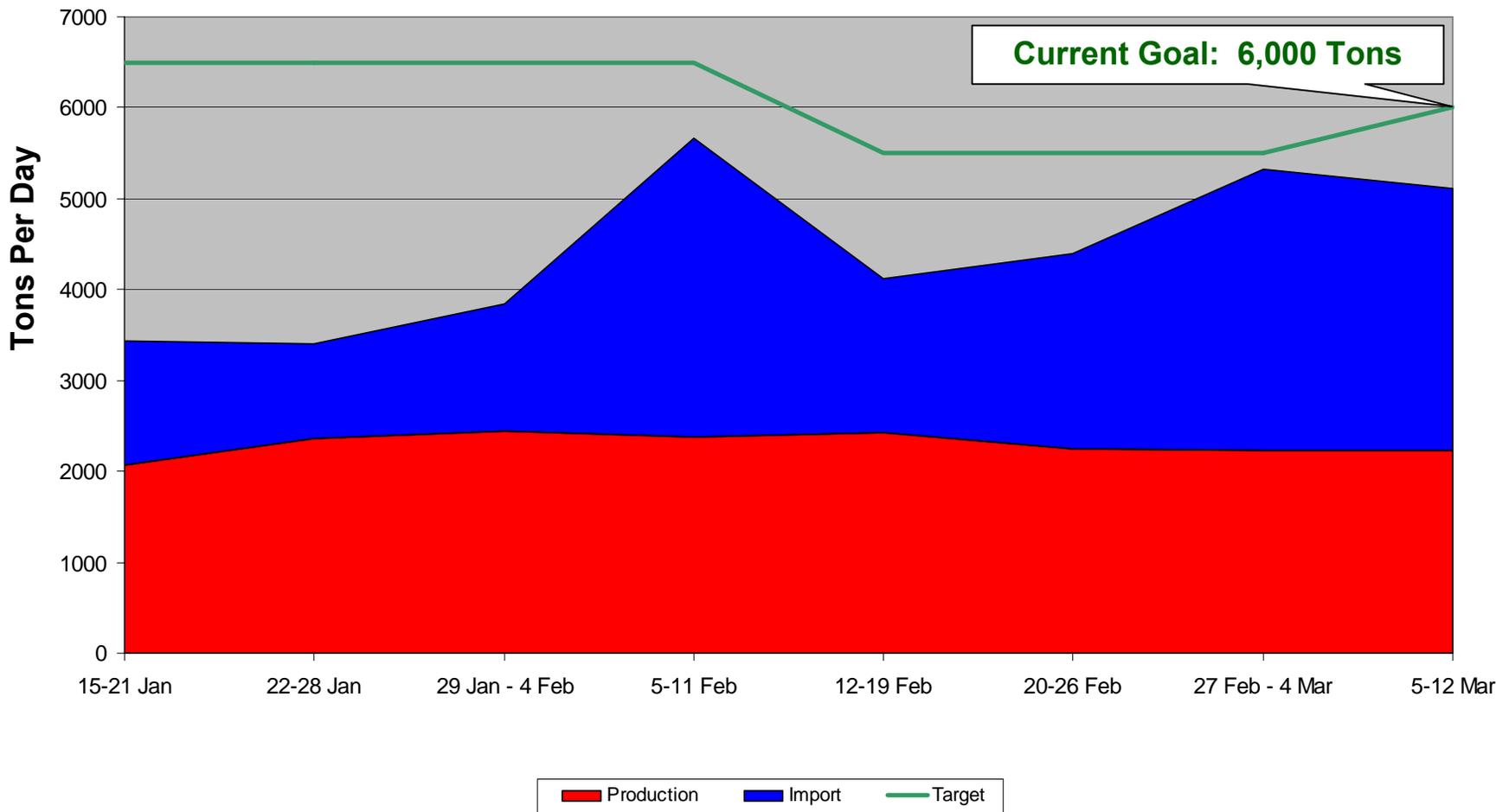
DEPARTMENT OF DEFENSE



• This week's average production and imports are 89% of goal



# Liquefied Petroleum Gas Production



- This week's average production and imports are 89% of goal
- Current goal increased to 6,000 Tons

DEPARTMENT OF DEFENSE



## Essential Services - Education

- Education Minister hosted UNICEF, UNESCO, World Bank, and the British Council in Amman to facilitate donor coordination to the Education Ministry
- CPA coordinated review and editing of 48 primary and secondary math and science textbook titles
- USAID and UNESCO printed and distributed more than 8.7 million textbooks
  - Textbook distribution provided one book for every two students
- 300,000 technical textbooks delivered to Iraq's 42 technical institutes and colleges by Kuwait Public Authority for Applied Education and Training, through the Kuwait Humanitarian Operation Center, an agency of the Kuwait Foreign Ministry
- Burpee Seed Company donated / shipped over 4,000 pounds of vegetable seeds to Iraqi agricultural colleges

### CPA Education Objective: Improve Quality and Access to Education

- Reorganize and staff the Ministry of Education
- Rehabilitate school buildings and build new schools
- Advance national dialog on curriculum reform
- Continue and expand teacher training



## Essential Services – Education (cont)

- **More than 2,300 schools rehabilitated through USAID Emergency School Rehabilitation Program**
  - **After years of neglect many of Iraq's primary and secondary schools were dilapidated and not safe**
  - **Program began in June 03 to improve schools for the 03 school year**
  - **Initial program goal of rehabilitating 1,000 schools by 01 Oct 03**
- **USAID will continue to support Ministry of Education priority to rehabilitate schools in its second year of project programming through follow-on education contract, as well as through NGOs**
  - **Ministry and community groups will lead process and USAID will provide financial and technical support**
  - **Currently identifying schools in need of repair, coordinating local and national government responsibilities, and monitoring repairs**
  - **World Bank will also support school rehabilitation**



## Essential Services – Healthcare

- US Secretary of Health and Human Services Tommy G. Thompson visited 31st Combat Support Hospital and Women's Health Care Center at Al-Wayaith Hospital in Iraq and King Hussein Cancer Center in Jordan
- Approximately 100 Iraqi physicians and nurses are in Egypt participating in 4 week trilateral medical training program involving Iraq, Japan, and Egypt
  - Physicians and nurses updating skills in intensive care practices, nursing procedures, and endoscopic surgery
- Ministry of Health (MoH) new Arabic-English website [www.mohiraq.org](http://www.mohiraq.org) is online
  - Plans to gather and manage health information via web-based databases
- USAID, CPA and MoH developing a national health strategy through health working groups, health facilities database and disease surveillance system

### CPA Health Care Objective: Improve Quality and Access to Health Care

- Develop health care organizations, management, and infrastructure
- Train health care professionals
- Pharmaceuticals logistic support
- Secure system
- Public health



## Essential Services – Healthcare (cont)

- **MoH, CPA, USAID and partners are improving health care in Iraq**
  - **Years of neglect have created poor standard for health care**
  - **Health services returned to pre-war levels, but remain below international standards**
- **MoH to introduce program to fortify wheat flour with iron and folic acid to reduce iron deficiency anemia and folic acid deficiency in women of childbearing age**
- **MoH and USAID renovating 52 clinics and reequipping 600 primary health centers with essential equipment**
- **MoH and USAID developed interventions to improve children’s health in Iraq**
  - **A national immunization program has reached 70% of children under 5**
- **USAID issued request for proposals for next phase of health sector work, focusing on:**
  - **Strengthening health policy and systems strengthening**
  - **Developing technical and operational support for the health care system**
  - **Provide technical and operational support to deliver maternal and child health services**



## Essential Services – Water

- Three grants will provide for the renovation of water treatment stations in the village of Al Kharma in Al Anbar Governorate
- Civil engineering work at Al Hillah and Ad Diwaniyah wastewater plants is complete
  - Civil work phase rehabilitated the entire plant except mechanical and electrical components
- Ongoing USAID water and sanitation infrastructure projects total \$183M, and will benefit 14.5M Iraqis

**CPA Food Security Objective: Provide Water Supply, Sewerage & Municipal Services; Improve Water Resource Management**

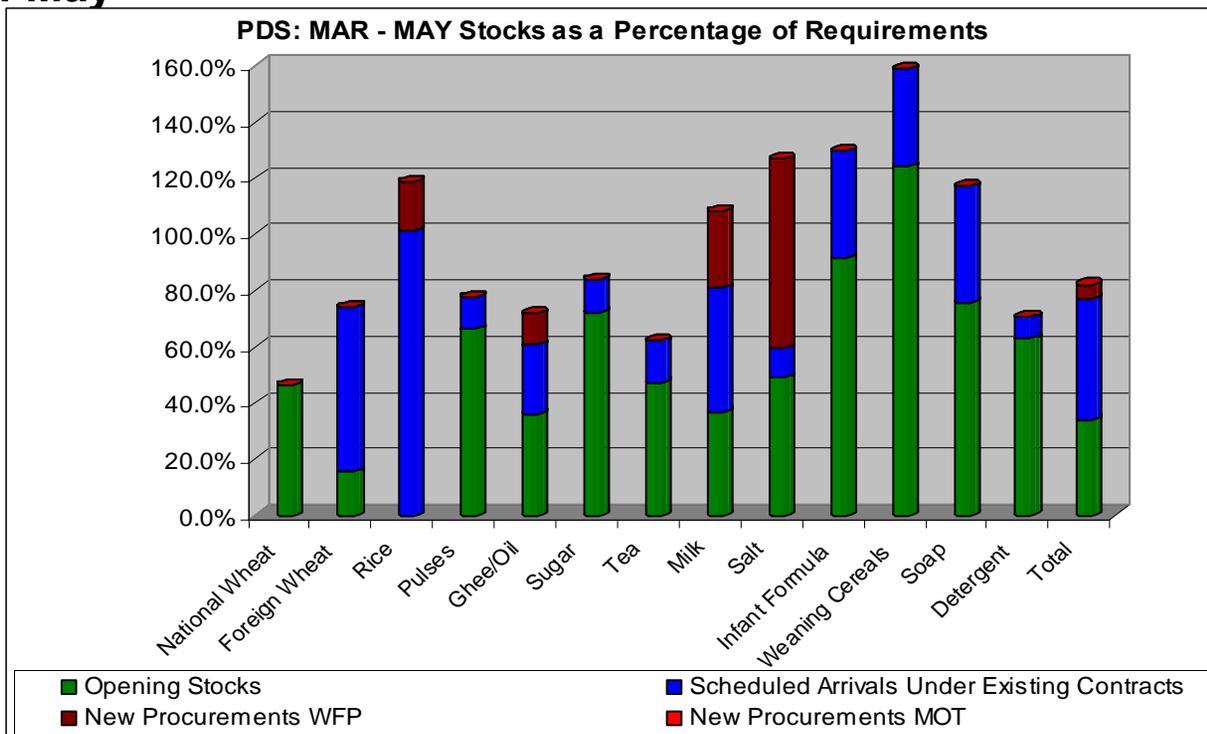
Create viable organization to deliver water, sewerage and municipal services (WSMS) • Expand WSMS to meet national needs

- Develop full range of municipal services and inclusive delivery mechanism
- Improve Ministry processes in preparation for Iraq's sovereignty
- Infrastructure improvements to water resource system
- Facilities improvements within Ministry
- Capacity building within Ministry



# Essential Services – Food Security

- Chart shows the stocks for public distribution goods as a percentage of estimated Requirements On Hand that Iraqis receive under Public Distribution System (PDS) until end of May
- The percentage of estimated requirements includes opening stocks (amount on hand), and scheduled arrivals of goods
- PDS stocks are calculated as a % of estimated demand for Mar through May
- Having 100% of each commodity ensures stock availability through May



## CPA Food Security Objective: Provide Food Security for all Iraqis

Enhance capacity of Ministry of Agriculture • Assure supply of inputs • Strengthen research system • Ensure adequate stocks for Public Distribution System (PDS) • Monitor food security • Hand over administration of system in the North • Initiate reform of rations basket • Environmental Initiatives



## Essential Services – Food Security (cont)

- **CPA anticipates no food shortages in April / May rations**
  - New procurements of food basket commodities include accelerated delivery schedules for low stocked commodities
- **1,654 confirmed contracts for 2.1M tons of Public Distribution System (PDS) supplies**
  - Only 267 contracts are unconfirmed (603,000 tons of supplies -- 14% of all contracts)
- **USAID is assisting Ministry of Agriculture (MoA) to restore Iraq to its dominant position in the international date market**
  - MoA establishing 18 date palm nurseries throughout Iraq
- **USAID Winter Crop Technology Demonstration**
  - 128 farm families participating
  - Demonstrates new methods of cultivation to increase crop yields

USAID Date Palm Re-establishment Plan		
Governorate	Number of Orchards	Number of Offshoots
Basrah	3	6,000
Maysan	1	3,000
Dhi Qar	1	3,000
Al Muthanna'	1	3,000
Wasit	1	3,000
Al Qadisiyah	2	3,000
An Najaf	1	3,000
Karbala'	1	3,000
Babil	1	3,000
Baghdad	3	4,000
Al Anbar	1	2,000
Diyala'	1 to 2	2,000
Salah ad Din	1	2,000
<b>Total</b>	<b>18-19</b>	<b>40,000</b>

Source: USAID

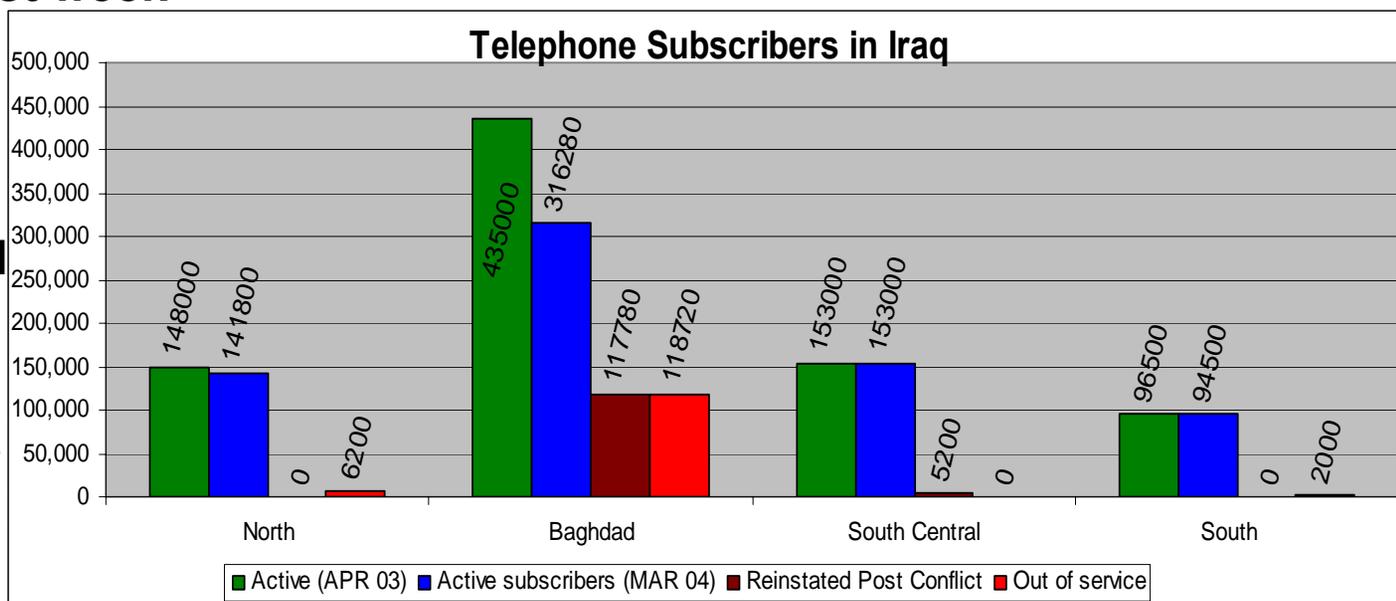
USAID country-wide plan for re-establishing date orchards



# Essential Services – Telecommunications

- Total number of telephone subscribers: 949,580
  - 14.1% above active subscribers pre-war
  - Includes 244,000 cell phone subscribers (19,000 since last week)
- CPA has reinstated service for 122,980 subscribers since May 03, including 11,430 since last week
- CPA has repaired 18 telephone switches since May 03 with full supporting service

Source: CPA Senior Advisor to the Minister of Communications Iraqi Telephone and Postal Company (ITPC)



## CPA Objectives: Reconstruct Communications & Postal Systems

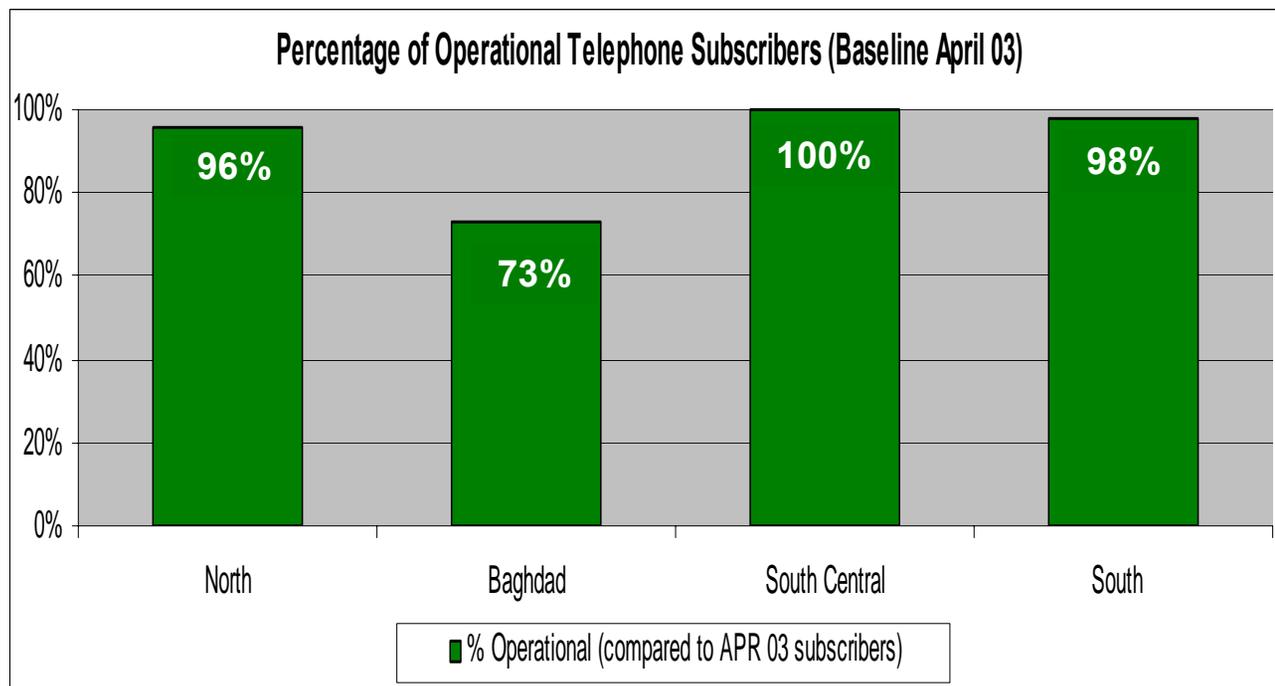
Build Iraq's first responder network • Establish independent regulatory agency • Upgrade Iraqi Telephone and Postal Company (ITPC) network for interoperability • Build transmission component data network for Iraq, including international gateways • Restructure ITPC and its business operations • Upgrade and modernize postal systems • Upgrade ITPC outside plant for increased subscriber capacity and use



## Essential Services – Telecommunications (cont)

- Three cell phone companies continue to sign up new subscribers
  - Mosul, Sulaymaniyah, and Kirkuk: 117,000 subscribers
  - Baghdad: 87,000 subscribers (19,000 since last week)
  - Basra, Al Kut, Amarah, Samawah, and Nasiriyah: 40,000 subscribers
- Penetration rate (# of active landline telephone subscribers as a percentage of the population) is 2.7% (using an estimated population of 25.9M)
  - Teledensity rate (all subscribers with a telephone) is 3.7% of population

- Percentage of operational telephone subscribers compared to number of active subscribers in Apr 03
- The percentage of active subscribers in Baghdad increased by 3% over last week





# Essential Services – Transportation

- **USAID is implementing projects worth \$31.5 million to repair Iraq's bridges, road, and railways**
- **Reconstruction of Al Mat Bridge is complete and was opened last week**
  - **Bridge is now used by over 3,000 trucks daily in the delivery of commerce to Iraq from Jordan**
- **More than 5,000 flights have arrived and departed Baghdad International Airport since Jul and the airport now averages 30 flights / day**
- **On 29 Feb, the Very Small Aperture Terminal (VSAT) was installed by International Air Transport Association (IATA), and the Iraqi Civil Aviation Authority (CAA) and technicians from Bechtel**
  - **The last of 7 VSAT installed sites improves voice and data linkage between air traffic control and aircraft throughout Iraq**

## CPA Objectives: Restore Economically Strategic Transportation Infrastructure

**Enable Iraqi civil aviation to prepare for international commercial aviation and cargo service • Enable Iraqi Port Authority to administer a port of call with intermodal capabilities and inland container distribution • Enable Iraqi Republic Railways to provide domestic and international passenger and freight capabilities • Reform civil service at Ministry of Transportation**



# Security Forces

	Required	On Payroll (Untrained)	On Duty (Partially Trained)	On Duty (Fully Qualified)	In-Training	TOTAL
Iraqi Border Police	8,835	0	8,259	0	521	8,259
Dept of Border Enforcement	16,892	0	9,873	0	0	9,873
Iraqi Police Service	75,000	59,638	12,422	2,324	3,840	78,224
Iraqi Civil Defense Corps	40,000	0	0	32,428 (OJT Continuous)	2,028	34,456
Iraqi Armed Forces (IAF)	40,000	0	0	3,005	1,709	4,714
Facilities Protection Service (Ministries & MSCs)	50,000	0	0	73,992	0	73,992
<b>Totals</b>	<b>230,727</b>					<b>209,518</b>

DEPARTMENT OF DEFENSE



# AMMO / Explosives / Weapons Captured (Weekly)

Captured AMMO & Explosives	16 - 22 Feb	23 - 29 Feb	01 - 07 Mar	08 - 14 Mar
<b>Assembled IED</b>	<b>60</b>	<b>57</b>	<b>63</b>	<b>36</b>
<b>RPG rounds, Grenades</b>	<b>1,407</b>	<b>3,870</b>	<b>1,839</b>	<b>1,984</b>
<b>MANPAD rounds</b>	<b>0</b>	<b>206</b>	<b>4</b>	<b>5</b>
<b>Mortar, Artillery, Rocket Rounds</b>	<b>8,318</b>	<b>8,711</b>	<b>5,574</b>	<b>1,800</b>
<b>Mines</b>	<b>30</b>	<b>586</b>	<b>12</b>	<b>849</b>
<b>Pounds of Explosives</b>	<b>67</b>	<b>771</b>	<b>66</b>	<b>0</b>
<b>Anti-Aircraft rounds</b>	<b>0</b>	<b>491</b>	<b>96</b>	<b>0</b>
<b>Captured Weapons</b>				
<b>RPG Launchers</b>	<b>57</b>	<b>99</b>	<b>45</b>	<b>64</b>
<b>MANPAD Launchers</b>	<b>15</b>	<b>9</b>	<b>8</b>	<b>1</b>
<b>Small Arms</b>	<b>357</b>	<b>230</b>	<b>306</b>	<b>228</b>
<b>Crew Served Weapons</b>	<b>35</b>	<b>65</b>	<b>18</b>	<b>13</b>

Data as of 14 Mar



# Stability Contributors

## Countries with forces in Iraq

**34**

**TOTAL ~24K**

- Albania
- Australia
- Azerbaijan
- Bulgaria
- Czech Rep
- Denmark
- Dom Rep
- El Salvador
- Estonia
- Georgia
- Honduras
- Hungary
- Italy
- Japan
- Kazakhstan
- Korea
- Latvia

- Lithuania
- Macedonia
- Moldova
- Mongolia
- Netherlands
- New Zealand
- Norway
- Philippines
- Poland
- Portugal
- Romania
- Singapore
- Slovakia
- Spain
- Thailand
- Ukraine
- UK

## Countries considering decision to provide forces for Iraq

**6**

**TOTAL TBD**

**40 Countries**  
 Potentially Supporting  
 Iraqi Stability and  
 Humanitarian Relief

DEPARTMENT OF DEFENSE



# Governance – Democracy Building

- Transitional Administrative Law (TAL) signed 08 Mar after one-week delay
- TAL will serve as Iraq's supreme law between 30 Jun handover of sovereignty and election of a new government under a permanent constitution, no later than 31 Dec 05
- Transitional period defined by TAL
  - Phase I: Iraqi Interim Government assumes sovereignty, governing within limits of TAL (Interim Government structure to be defined in an annex to the TAL); CPA dissolves
  - Phase II: Iraqi Transitional Government, an elected body, takes office no later than 31 Jan 05, and governs until permanent constitutional government takes office
- TAL Fundamental Rights highlights
  - Equality regardless of gender, nationality, religion, or origin
  - Free expression and assembly protected
  - Right to security, education, health care
- TAL posted on internet at [www.cpa-iraq.org](http://www.cpa-iraq.org)

## Provincial Councils Refreshment



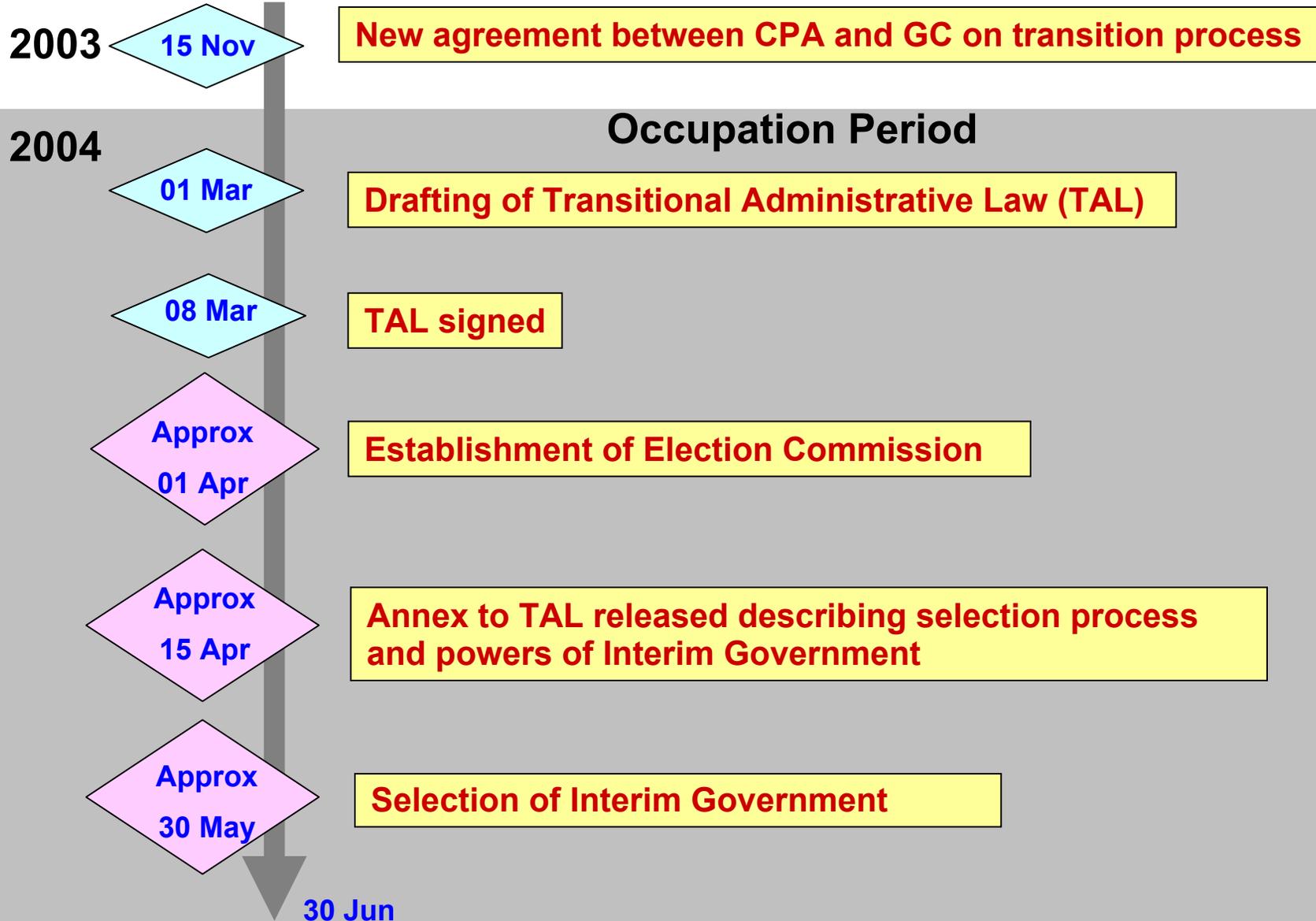
Refreshment to become more representative of population than when originally created

- Provincial Council refreshed
- Refreshment in process
- Not included



# Governance – National Transition

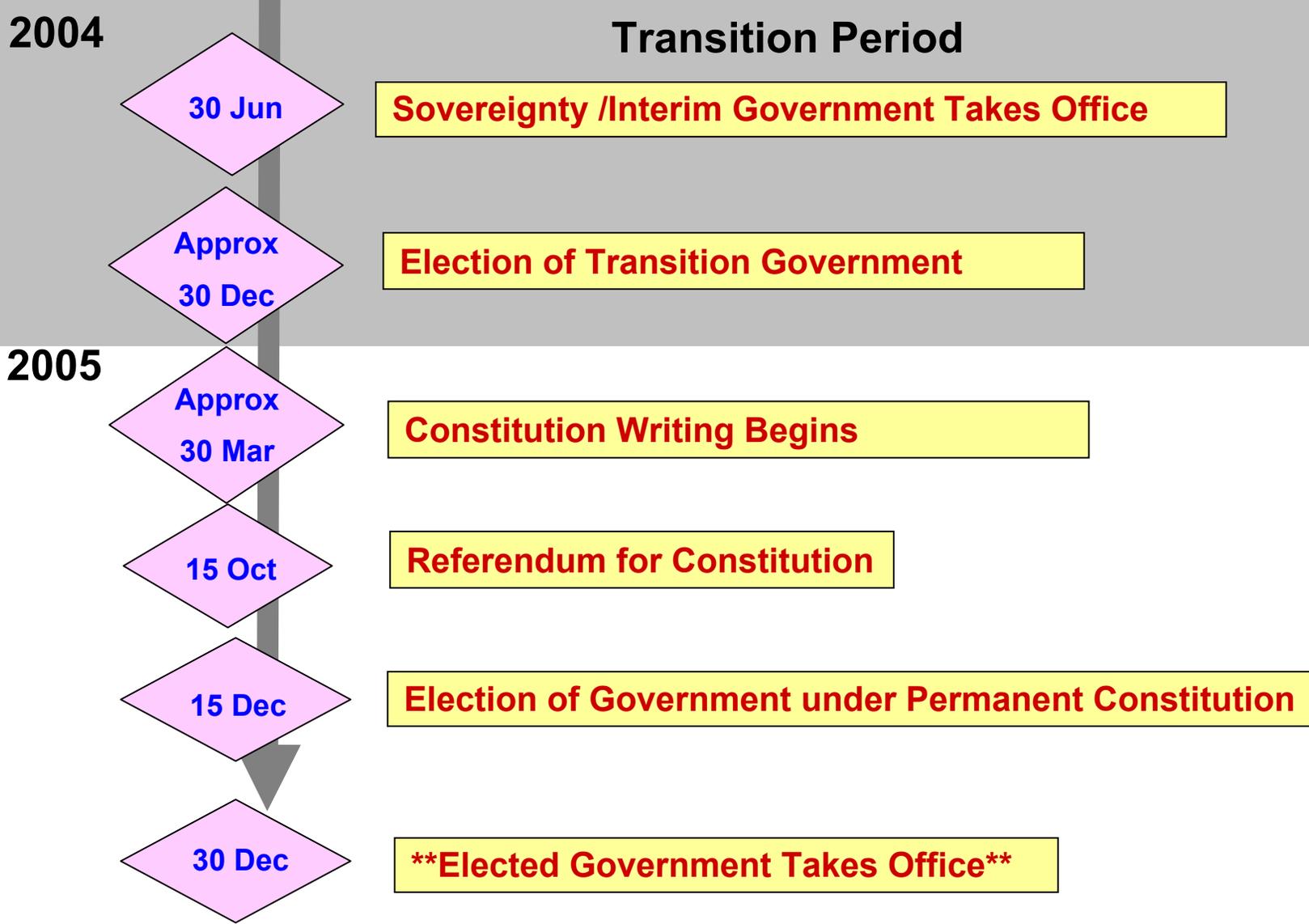
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# Governance – National Transition (cont)

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## Economics – Financial Market Structures

- CPA Administrator signed order for a new Central Bank Law aiming to achieve long-term growth and prosperity through
  - Maintaining domestic price stability
  - Fostering stable and competitive market-based financial system
- In collaboration with the CPA, USAID is assisting Rasheed and Rafidain banks to reconcile year-end financial statements according to International Accounting Standards
- Iraq Stock Exchange is expected to open next month

### CPA Economic Objective: Commence Reform of Tax System

- Reform tax rates



## Economics – Currency & Banking

- As of 07 Mar, the Operating Consortium has issued 109 letters of credit on behalf of the Trade Bank of Iraq totaling \$482 million
- As of 04 Mar, the balance in the Development Fund for Iraq (DFI) was \$8.1 billion
  - Total payments out of DFI amount to \$5.3 billion
  - DFI investment program has earned \$14 million in interest
- At a donor committee meeting in Abu Dhabi on 28 - 29 Feb over thirty countries committed to place more than one billion dollars of pledged funds into the UN and World Bank trust funds
- As of 20 Feb Iraq's two large state-owned commercial banks, Rafidain and Rasheed, have extended dollar loans totaling \$8.9 million, a 49% increase over the dollar loan amount extended as of 30 Nov 03
- CPA has issued over 1,400 micro and small business loans worth approximately \$3 million

### CPA Economic Objective: Build Financial Market Structures

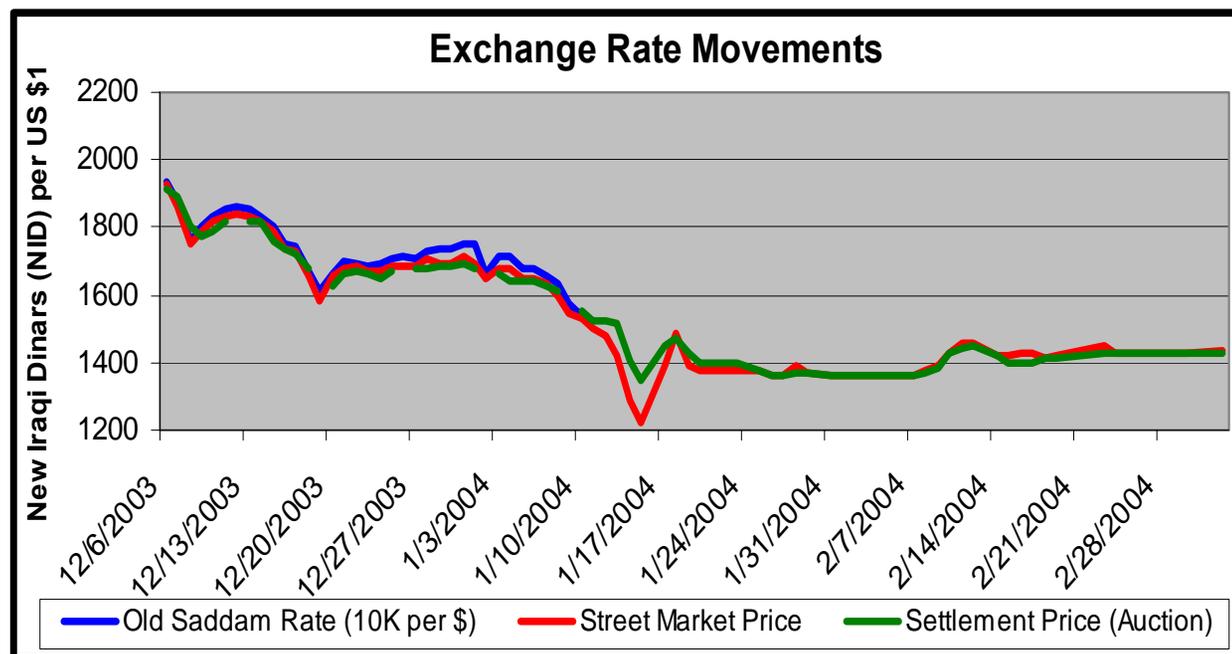
- Modernize the Central Bank
- Commercial Banking System
- Re-establish Baghdad Stock Exchange
- Restructure National Debt



# Economics – Currency & Banking (cont)

- The Currency Auction was developed and is operated by the Central Bank of Iraq (CBI)
- At the New Iraqi Dinar (NID) auction on 04 Mar, the settlement price was 1,425 dinars per dollar and the value of dollars sold at the auction was \$19.3 million
- From 28 Feb – 04 Mar, the NID depreciated 0.4 percent against the US dollar

Date	# banks participating	Amount sold or bought	Auction price (dinars per dollar)
16-Feb	13	\$7.735 million	1,400
17-Feb	14	\$11.310 million	1,425
19-Feb	14	\$13 million	1,415





## Economics – Other Developments

- **Ministry of Planning announced unemployment rate of 28 % and underemployment rate of 21.6 %, a total of 49.6 % affected**
- **The National Employment Program came to a close at the end of Feb after creating nearly 77,000 public works jobs**
  - **A new program will commence in Apr**
- **CJTF-7 C-9 estimates that CPA has created 378,333 jobs for Iraqis (45 percent of the CJTF-7 target of 850,000):**
  - **Security / National Defense: 218,194**
  - **CJTF-7: 51,673**
  - **Civilian contractors working under CPA contracts: 69,495**
  - **Governorate Teams: 1,550**

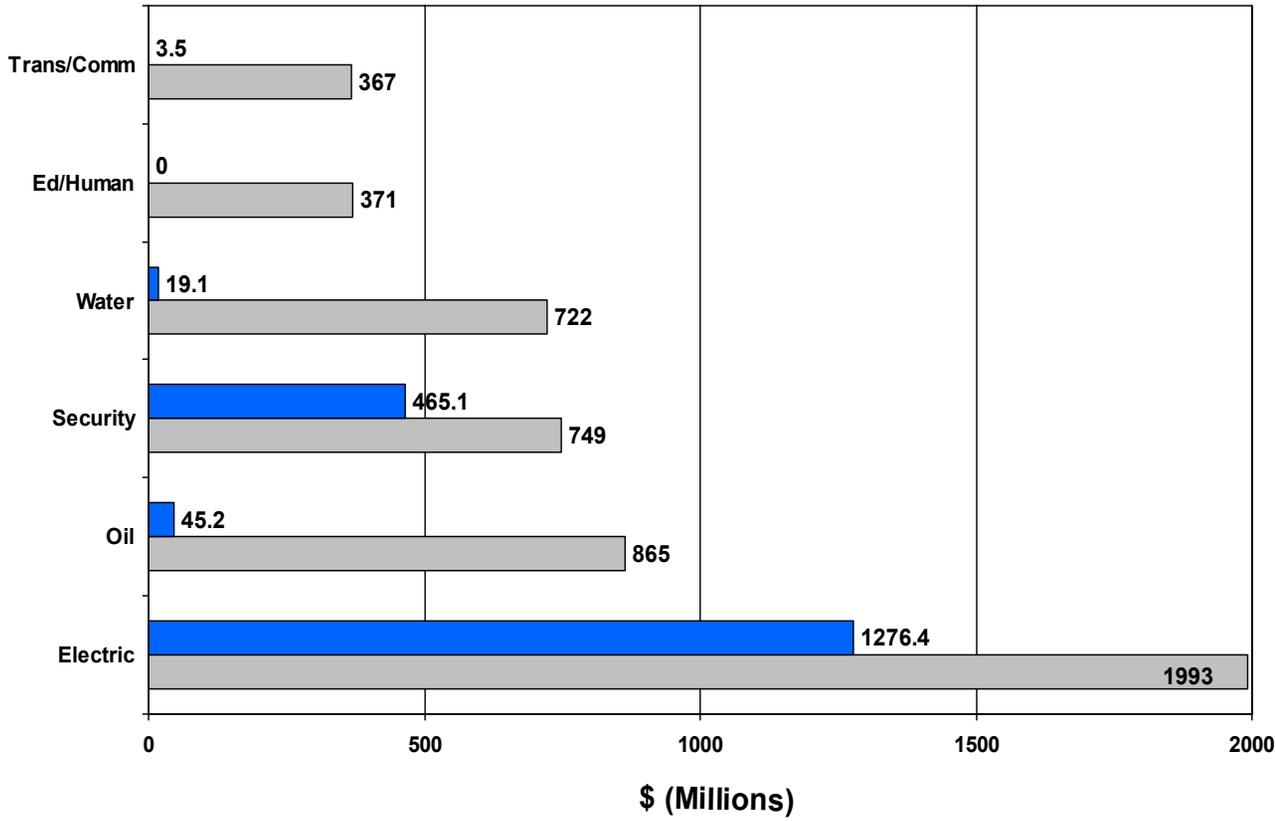
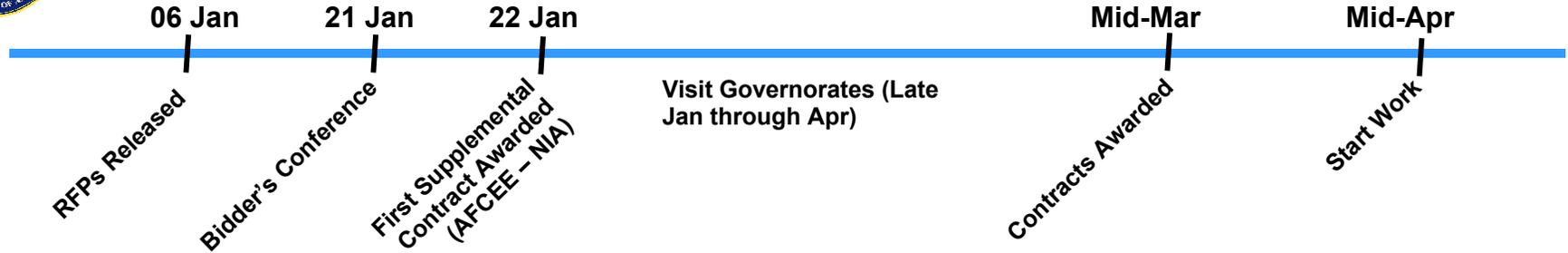
### **CPA Economic Objective: Pursue National Strategy for Human Resources Development**

- **Begin work to employ 100,000 workers in Public Works programs around the country**



# Program Management Office (PMO)

DEPARTMENT OF DEFENSE



**Construction Tasks Committed by 01 Jul**

**PMO Web-site Portal: [www.rebuilding-iraq.net](http://www.rebuilding-iraq.net)**

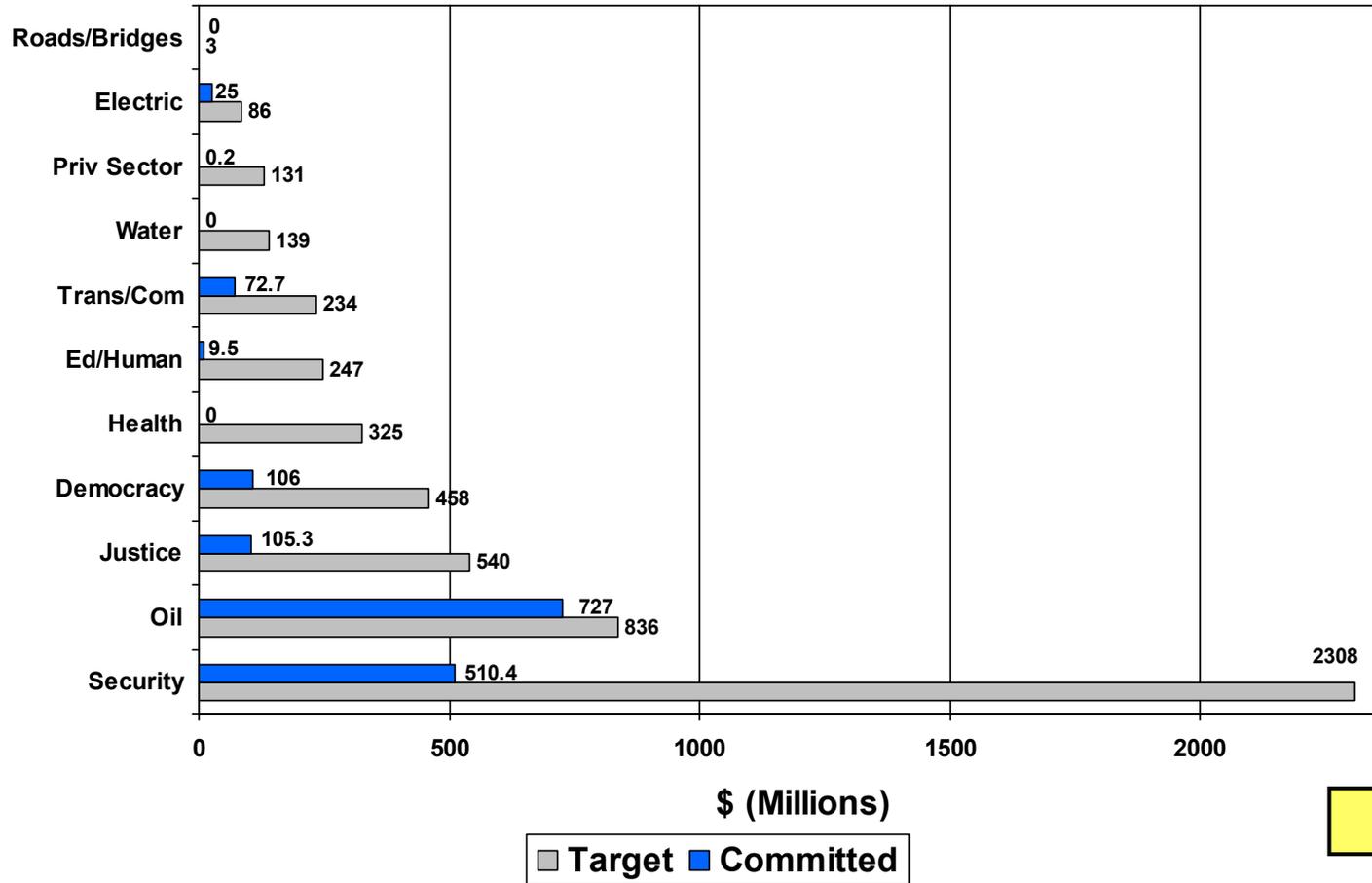
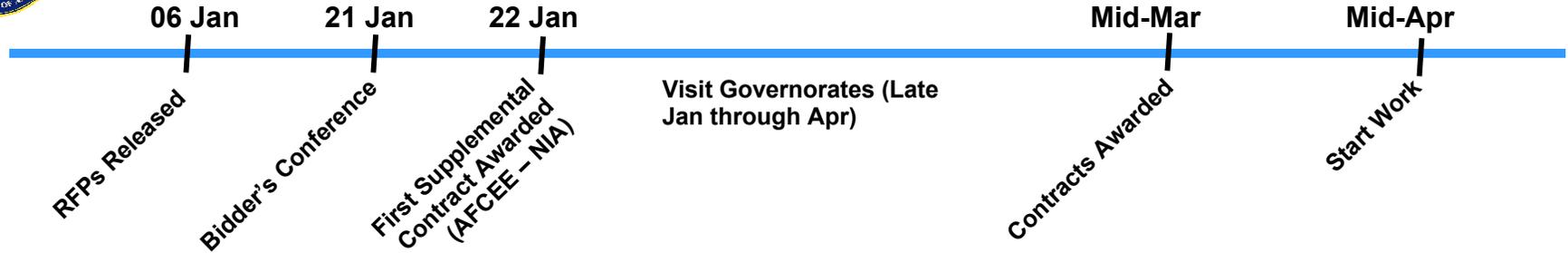
**10 Mar - Status**

Legend:  Target  Committed



# Program Management Office (PMO) (cont)

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Non-Construction Procurement by 01 Jul

10 Mar - Status

# Program Management Office (PMO) (cont)



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Sector	2207 Report	Apportioned	10 Mar - Status	
			Committed	Obligated
* Security and Law Enforcement	3,243	2,332.7	950.5	249
Electricity Sector	5,560	1,683.1	1,301.4	428.2
Oil Infrastructure	1,701	1,600.0	772.2	443.4
Justice, Public Safety, and Civil Society (less democracy)	560	560.9	130.3	25
Democracy	458	458.0	106	106
Education, Refugees, Human Rights, Governance	280	138.5	9.5	9.5
Roads, Bridges, and Construction	370	119.3	0	0
Health Care	793	330.0	0	0
Transportation and Telecommunications	500	164.0	76.2	0
Water Resources and Sanitation	4,332	496.2	19.1	18
Private Sector Development	184	64.5	.2	0
<b>TOTAL</b>	<b>18,439</b>	<b>7,947.2</b>	<b>3,365.4</b>	<b>1,279.1</b>
<b>CONSTRUCTION</b>	<b>12,611</b>	<b>3,950</b>	<b>1,809.3</b>	<b>665.1</b>
<b>NON-CONSTRUCTION</b>	<b>5,370</b>	<b>3,539.2</b>	<b>1,449.1</b>	<b>508.0</b>
<b>DEMOCRACY</b>	<b>458</b>	<b>458</b>	<b>106</b>	<b>106</b>
<b>Total</b>	<b>18,439</b>	<b>7,947.2</b>	<b>3,365.4</b>	<b>1,279.1</b>

In Millions

33

\* Cancellation of Battalion Sets

UNCLASSIFIED

N/P



# Strategic Communication

- CPA conducted a short 'civics test' in Feb poll in 7 cities
  - Results indicate that Iraqis in general are not well-informed on transition political issues
  - USAID is funding independent Iraqi filmmaker, Evini Films, to produce public service messages and documentaries to inform Iraqis on benefits and challenges of democracy in anticipation of June transition
  - On 26 Feb, CPA Iraqi Women in Local Government Program and Iraq Foundation for Development and Democracy co-sponsored conference on role of women in new Iraq, focusing on
    - Representation of women in National Transitional Assembly and in government positions
    - Women's legal rights, including a focus on current laws and how they affect women, and Islamic law
  - On 26 Feb, Basra Governor convened his first 150-participant conference on federalism & decentralization
- 23 – 29 Feb Poll Findings:
  - Fewer than half (42%) of survey respondents understand purpose of TAL or who writes / approves it
  - Approx. half as many respondents understood that a constitution is a nation's fundamental law
  - Approx. one-third of respondents correctly identify federalism with election of local officials, and views diverge widely on concerns about federalism

## **Appendix N**

This appendix contains endnotes for the body of the report.

## Endnotes

- 1) 108<sup>th</sup> Congress. (2003, November 6). Public Law 108-106.
- 2) Coalition Provisional Authority. (2004). Overview. Retrieved March 1, 2004, from <http://www.iraqcoalition.org/bremerbio.html>
- 3) Coalition Provisional Authority. (2003). Retrieved March 1, 2004, from <http://www.cpa-iraq.org>
- 4) The World Factbook. (2003, December 18). Population of Iraq July 2003 est. Retrieved March 1, 2004, from <http://www.cia.gov/cia/publications/factbook/geos/iz.html>
- 5) U.S. Department of Defense Inspector General. (2003, December 19). Draft Report, Contracts Awarded for the Coalition Provisional Authority by the Defense Contracting Command – Washington. (Project No. D2003CF-0152).
- 6) DoD Memorandum, P. Wolfowitz OSD 00626-04
- 7) Wolfowitz, Paul. U.S. Department of Defense. (2003, March 22). Defense officials testimony before the Senate.
- 8) Ibid. Endnote 3.
- 9) Wolfowitz, Paul. U.S. Department of Defense. (2004, January 16). Department of Defense Memorandum, OSD00777-04.
- 10) Ibid. Endnote 1.
- 11) Ibid. Endnote 1.
- 12) United States Agency for International Development (USAID). (2004, March 9). Iraq Reconstruction Accomplishments: A Brief Overview. Retrieved March 18, 2004, from <http://www.usaid.gov/iraq/accomplishments/>
- 13) Coalition Provisional Authority. (2004, March 11). The Development Fund for Iraq. Retrieved March 18, 2004, from [http://www.cpa-iraq.org/budget/DFI\\_11mar2004.xls](http://www.cpa-iraq.org/budget/DFI_11mar2004.xls)
- 14) Coalition Provisional Authority. (2004, March 14). Excerpts of March 11, 2004 written testimony submitted for hearings before the House Government Reform Committee.
- 15) Kicklighter, Gen. Mick. (2004, March 9). CPA-IG interview.
- 16) Ballard, Tina. Deputy Assistant Secretary Army, Policy & Procurement. (2004, March 11). Excerpts of testimony submitted for hearings before the House Government Reform Committee.