
CROSS-REFERENCE OF REPORT TO STATUTORY REQUIREMENTS

This appendix cross-references the pages of this Report to the reporting requirements under the Emergency Supplemental Appropriations Act for Defense and for the Reconstruction of Iraq and Afghanistan, 2004 (P.L. 108-106) Sec. 3001, as amended by P.L. 108-375, Sec. 1203, P.L. 109-102, Sec. 599, P.L. 109-364, Sec. 1054, P.L. 109-440, Sec. 2, P.L. 110-28, Sec. 3801, and P.L. 110-181, Sec. 1221 (Table A.1).

APPENDIX A

TABLE A.1

CROSS-REFERENCE TO REPORTING REQUIREMENTS UNDER P.L. 108-106 SECTION 3001, AS AMENDED

SECTION	STATUTE (SIGIR PUBLIC LAW)	SIGIR ACTION	PAGE #
Supervision			
Section 3001(e)(1)	The Inspector General shall report directly to, and be under the general supervision of, the Secretary of State and the Secretary of Defense.	Report to the Secretary of State and the Secretary of Defense.	All
Duties			
Section 3001(f)(1)	It shall be the duty of the Inspector General to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated or otherwise made available for the reconstruction of Iraq and of the programs, operations, and contracts carried out utilizing such funds, including—	Review appropriated funds. Programs, operations, contracts using appropriated funds.	Sect. 2-3
Section 3001(f)(1)(A)	The oversight and accounting of the obligation and expenditure of such funds;	Obligations and expenditures of appropriated funds.	Sect. 2-3
Section 3001(f)(1)(B)	The monitoring and review of reconstruction activities funded by such funds;	Review reconstruction activities funded by appropriations.	1-132
Section 3001(f)(1)(C)	The monitoring and review of contracts funded by such funds;	Review contracts using appropriated funds.	See note
Section 3001(f)(1)(D)	The monitoring and review of the transfer of such funds and associated information between and among departments, agencies, and entities of the United States, and private and nongovernmental entities;	Review internal and external transfers of appropriated funds.	22-23
Section 3001(f)(1)(E)	The maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such funds.	Maintain audit records.	133-174
Section 3001(f)(2)	The Inspector General shall establish, maintain, and oversee such systems, procedures, and controls as the Inspector General considers appropriate to discharge the duty.	Establish, maintain, and oversee systems, procedures, and controls.	133-174
Section 3001(f)(3)	In addition, the Inspector General shall also have the duties and responsibilities of inspectors general under the Inspector General Act of 1978.	Duties as specified in IG Act.	All
Section 3001(f)(4)(A)(B)&(C)	The Inspector General shall coordinate with, and receive the cooperation of, each of the following: The Inspector General of the Department of State, The Inspector General of the Department of Defense, and The Inspector General of the United States Agency for International Development.	Coordination with IGs of State, DoD, & USAID.	175-184 App. G App. H
Personnel, Facilities, and Other Resources			
Section 3001(h)(4)(A)	Upon request of the Inspector General for information or assistance from any department, agency, or other entity of the Federal Government, the head of such entity shall, insofar as is practicable and not in contravention of any existing law, furnish such information or assistance to the Inspector General, or an authorized designee.	Expect support as requested.	All
Reports			
Section 3001(i)(1)	Not later than 30 days after the end of each fiscal-year quarter, the Inspector General shall submit to the appropriate committees of Congress a report summarizing for the period of that quarter and, to the extent possible, the period from the end of such quarter to the time of the submission of the report, the activities of the Inspector General and the activities under programs and operations funded with amounts appropriated or otherwise made available to the Iraq Relief and Reconstruction Fund. Each report shall include, for the period covered by such report, a detailed statement of all obligations, expenditures, and revenues associated with reconstruction and rehabilitation activities in Iraq, including the following –	Report – 30 days after the end of each calendar quarter. Summarize activities of IG. Detailed statement of all obligations, expenditures, and revenues.	All
Section 3001(i)(1)(A)	Obligations and expenditures of appropriated funds.	Obligations and expenditures of appropriated funds.	21-33; 42-43
Section 3001(i)(1)(B)	A project-by-project and program-by-program accounting of the costs incurred to date for the reconstruction of Iraq, together with the estimate of the Department of Defense, the Department of State, and the United States Agency for International Development, as applicable, of the costs to complete each project and each program.	Project-by-project and program-by-program accounting of costs. List unexpended funds for each project or program.	Sect. 2-3

CROSS-REFERENCE TO REPORTING REQUIREMENTS UNDER P.L. 108-106 SECTION 3001, AS AMENDED

SECTION	STATUTE (SIGIR PUBLIC LAW)	SIGIR ACTION	PAGE #
Section 3001(i)(1)(C)	Revenues attributable to or consisting of funds provided by foreign nations or international organizations, and any obligations or expenditures of such revenues.	Revenues, obligations, and expenditures of donor funds.	20 App. D
Section 3001(i)(1)(D)	Revenues attributable to or consisting of foreign assets seized or frozen, and any obligations or expenditures of such revenues.	Revenues, obligations, and expenditures of funds from seized or frozen assets.	20
Section 3001(i)(1)(E)	Operating expenses of agencies or entities receiving amounts appropriated or otherwise made available for the reconstruction of Iraq.	SIGIR has limited access to operating expense data of agencies and entities receiving appropriated funds.	22-23
Section 3001(i)(1)(F)	In the case of any contract described in paragraph (2): A contract described in this paragraph is any major contract or other agreement that is entered into by any department or agency of the United States Government that involves the use of amounts appropriated or otherwise made available for the reconstruction of Iraq with any public or private sector entity for any of the following purposes: To build or rebuild physical infrastructure of Iraq. To establish or reestablish a political or societal institution of Iraq. To provide products or services to the people of Iraq.	Describes a contract.	See note
Section 3001(i)(1)(F)(i)	The amount of the contract or other agreement;	Amount of each contract or other agreement.	See note
Section 3001(i)(1)(F)(ii)	A brief discussion of the scope of the contract or other agreement;	Brief discussion of each contract scope.	See note
Section 3001(i)(1)(F)(iii)	A discussion of how the contracting department or agency identified, and solicited offers from, potential contractors to perform the contract, together with a list of the potential contractors that were issued solicitations for the offers.	Discussion of how potential contractors were identified, how offers were solicited, and a list of contractors that were issued solicitations.	See note
Section 3001(i)(1)(F)(iv)	The justification and approval documents on which was based the determination to use procedures other than procedures that provide for full and open competition.	Justification and approval documents for contracts that were not full and open competitions.	See note
Section 3001(i)(3)	The Inspector General shall submit to the appropriate committees of Congress semiannual reports meeting the requirements of Section 5 of the Inspector General Act of 1978. The first such report for a year, covering the first six months of the year, shall be submitted not later than July 31 of that year, and the second such report, covering the second six months of the year, shall be submitted not later than January 31 of the following year.	Shall be submitted not later than July 31 of that year, and the second such report.	Complete
Section 3001(i)(4)	The Inspector General shall publish each report under this subsection in both English and Arabic on the Internet website of the Secretary of State and the Secretary of Defense.	SIGIR will take final written report in electronic format, translate and post to website; DoD and DoS websites link to SIGIR website.	www.sigir.mil
Section 3001(i)(5)	Each report under this subsection may include a classified annex if the Inspector General considers it necessary.	If necessary, develop a classified annex.	N/A
Report Coordination			
Section 3001(j)	The Inspector General shall also submit each report under subsection (i) to the Secretary of State and the Secretary of Defense.	Submit report to Secretary of State and Secretary of Defense.	Done 10/30/2009

Note: This information is not included in this quarterly report. The list of contracts is available at www.sigir.mil.

CROSS-REFERENCE OF SIGIR BUDGET TERMS

The Special Inspector General for Iraq Reconstruction (SIGIR) collects and presents funding data from different sources. This appendix cross-references budget terms associated with the Iraq Relief and Reconstruction Fund (IRRF), Iraq Security Forces Fund (ISFF), Economic Support Fund (ESF), Commander's Emergency Response Program (CERP), DoS Bureau of International Narcotics and Law Enforcement Affairs (INL), as well as international support for Iraq reconstruction.

FUND TYPE	SIGIR TERMINOLOGY				SOURCE
	Appropriation	Allocation	Obligation	Expenditure	
	SOURCE TERMINOLOGY				
IRRF 2	Appropriation	Allocation	Obligation	Disbursement	U.S. Embassy, response to SIGIR data call
ISFF FY 2005 - FY 2008	Appropriation	Available	Obligation	Disbursement	OSD, response to SIGIR data call
ESF FY 2003 Base and Supplemental, ESF FY 2006 Supplemental, ESF FY 2006 State, ESF FY 2007 Supplemental and Continuing Resolution, ESF FY 2008, ESF FY 2009	Appropriation	Allocation	Obligation	Expenditure	GRD, response to SIGIR data call; USAID, response to SIGIR data call; ITAO, response to SIGIR data call
	Appropriation	Amount	Commitment/Obligation	Disbursement	ITAO, <i>Essential Indicators Report</i>
CERP FY 2004 - FY 2009	Appropriation	Funding	Obligation	Disbursement	OSD, response to SIGIR data call
INL	N/A	Appropriation	Obligation	Liquidations	INL, response to SIGIR data call
International Support for Iraq Reconstruction	N/A	N/A	Commitment	Disbursement	Development Assistance Database (www.mop-iraq.org/dad)

SECTOR CROSS-REFERENCE

This appendix provides a cross-reference of how sectors funded by the Iraq Relief and Reconstruction Fund (IRRF 1 and IRRF 2), the Iraq Security Forces Fund (ISFF), the Economic Support Fund (ESF), and the Commander's Emergency Response Program (CERP) relate to the SIGIR-defined reconstruction areas and sectors.

TABLE C.1
SECTOR CROSS-REFERENCE

AREA	SECTOR	FUND	SOURCE CLASSIFICATION
Economy	Economic Governance	CERP	Economic, Financial, and Management Improvements
		ESF	Economic Governance II, Policy and Regulatory Reforms
		IRRF 1	Technical Assistance
		IRRF 2	Education, Refugees, Human Rights, Democracy, & Governance - Banking System Modernizations
		IRRF 2	Private Sector Development - Institutional Reforms
		IRRF 2	Private Sector Development - Iraq Debt Forgiveness
		IRRF 2	Private Sector Development - Market-Based Reforms
	Private Sector Development	CERP	Agriculture
		ESF	Inma Agribusiness Development
		ESF	Izdihar
		ESF	Provincial Economic Growth
		ESF	Targeted Development Program
		IRRF 1	Expand Economic Opportunity
		IRRF 2	Private Sector Development - Agriculture
		IRRF 2	Private Sector Development - Business Skills Training
		IRRF 2	Private Sector Development - Expand Network of Employment Centers
		IRRF 2	Private Sector Development - Micro-Small-Medium Enterprises
		IRRF 2	Private Sector Development - Vocational Training

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SECTOR CROSS-REFERENCE

AREA	SECTOR	FUND	SOURCE CLASSIFICATION
Governance	Capacity Development	CERP	Civic Support Vehicles
		ESF	Community Stabilization Program
			Ministerial Capacity Development
			National Capacity Development
			PRT Quick Response Fund
			PRT/PRDC Projects
		IRRF 1	Administrative Expenses
			Improve Efficiency and Accountability of Government
			Office of Transition Initiatives
			Program Support and Development of Gulf Region
		IRRF 2	Administrative Expenses - Administrative Expenses for U.S. Mission to Iraq
			Administrative Expenses - USAID
			Education, Refugees, Human Rights, Democracy, & Governance - Ministerial Capacity Building
			Recission
		Democracy and Civil Society	ESF
Democracy and Civil Society			
Local Governance Program			
IRRF 2	Education, Refugees, Human Rights, Democracy, & Governance - Civic Programs		
	Education, Refugees, Human Rights, Democracy, & Governance - Governance		
	Justice, Public Safety Infrastructure, & Civil Society - Democracy Building Activities		
Humanitarian Relief	CERP	Food Production & Distribution	
		Other Humanitarian and Reconstruction Projects	
	ESF	Iraqi Refugees (Jordan)	
		Iraqi Refugees (Jordan) - transferred to Migration and Refugee Assistance	
	IRRF 1	Humanitarian Demining	
		Relief Efforts	
		Relief: Office of Foreign Disaster Assistance	
	IRRF 2	Support Education, Health, and Social Services	
		Education, Refugees, Human Rights, Democracy, & Governance - Migration & Refugee Assistance	
		Justice, Public Safety Infrastructure, & Civil Society - Demining	
		Justice, Public Safety Infrastructure, & Civil Society - Marla Ruzicka WVF	
		Security & Law Enforcement - Commanders' Humanitarian Relief & Reconstruction	
Public Services	CERP	Civic Cleanup Activities	
		Civic Infrastructure Repair	
		Education	
		Health Care	
	ESF	O&M Sustainment - Health	
		Plant-Level Capacity Development & Technical Training - Health	
	IRRF 2	Education, Refugees, Human Rights, Democracy, & Governance - Education	
		Health Care - Equipment Procurement and Modernization	
		Health Care - Nationwide Hospital and Clinic Improvements	
		Health Care - Pediatric Facility in Basra	
		Health Care - Pediatric Facility in Basra II	
		Justice, Public Safety Infrastructure, & Civil Society - Fire Service	
		Justice, Public Safety Infrastructure, & Civil Society - Public Safety Training and Facilities	
		Water Resources & Sanitation - Other Solid Waste Management	

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APPENDIX C

SECTOR CROSS-REFERENCE

AREA	SECTOR	FUND	SOURCE CLASSIFICATION
Infrastructure	Electricity	CERP	Electricity
		ESF	Infrastructure Security Protection - Electricity
			O&M Sustainment - Electricity
			Plant-Level Capacity Development & Technical Training - Electrical
		IRRF 1	Restore Iraq Electricity (RIE)
		IRRF 2	Electric Sector - Automated Monitoring and Control
			System Electric Sector - Generation
			Electric Sector - Network Infrastructure
			Electric Sector - Security
		General Infrastructure	ESF
Infrastructure Security Protection - Planning and Development			
Infrastructure Security Protection - Private Sector Development			
O&M Sustainment - Buildings			
O&M Sustainment - Planning and Design			
Plant-Level Capacity Development & Technical Training - Other			
IRRF 1	Restore Economically Critical Infrastructure		
IRRF 2	Roads, Bridges, & Construction - Public Buildings Construction and Repair		
Oil and Gas	ESF	Infrastructure Security Protection - Oil	
		IRRF 1	Restore Iraq Oil (RIO)
		IRRF 2	Oil Infrastructure - Emergency Supplies of Refined Petroleum Products
			Oil Infrastructure - Infrastructure
Transportation and Communications	CERP	Telecommunications	
		Transportation	
	ESF	O&M Sustainment - Transportation & Communications	
		O&M Sustainment - Transportation and Communications	
		Plant-Level Capacity Development & Technical Training - Communication	
		Plant-Level Capacity Development & Technical Training - Transportation	
	IRRF 2	Justice, Public Safety Infrastructure, & Civil Society - National Security Communications Network	
		Roads, Bridges, & Construction - Roads & Bridges	
		Transportation & Telecommunications Projects - Civil Aviation	
		Transportation & Telecommunications Projects - Consolidated Fiber Network	
		Transportation & Telecommunications Projects - Iraqi Communications Operations	
		Transportation & Telecommunications Projects - Iraqi Communications Systems	
		Transportation & Telecommunications Projects - Iraqi Telecom and Postal Corporation	
Transportation & Telecommunications Projects - Railroad Rehab and Restoration			
Transportation & Telecommunications Projects - Umm Qasr Port Rehab			
Water and Sanitation	CERP	Water & Sanitation	
	ESF	Infrastructure Security Protection - PW & Water	
		O&M Sustainment - PW & Water	
		Plant-Level Capacity Development & Technical Training - PW & Water	
	IRRF 2	Water Resources & Sanitation - Basra Channel Flushing	
		Water Resources & Sanitation - Dam Repair, Rehab, and New Construction	
		Water Resources & Sanitation - Irrigation and Drainage Systems	
Water Resources & Sanitation - Major Irrigation Projects			
	Water Resources & Sanitation - Potable Water		

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SECTOR CROSS-REFERENCE

AREA	SECTOR	FUND	SOURCE CLASSIFICATION
Infrastructure	Water and Sanitation	IRRF 2	Water Resources & Sanitation - Pumping Stations and Generators
			Water Resources & Sanitation - Sewerage
			Water Resources & Sanitation - Umm Qasr to Basra Water Pipeline and Treatment Plant
			Water Resources & Sanitation - Water Conservation

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APPENDIX C

SECTOR CROSS-REFERENCE

AREA	SECTOR	FUND	SOURCE CLASSIFICATION	
Security	Iraqi Security Forces Equipment	IRRF 2	Security & Law Enforcement - Equipment	
			Security & Law Enforcement - IAF Equipment	
		ISFF	Equipment and Transportation	
			MoD - Equipment and transportation	
			Mol - Equipment and transportation	
		Iraqi Security Forces Infrastructure	IRRF 2	Security & Law Enforcement - Facilities
	Security & Law Enforcement - IAF Facilities			
	ISFF		Infrastructure	
			MoD - Infrastructure	
			Mol - Infrastructure	
	Iraqi Security Forces Sustainment		ISFF	MoD - Sustainment
		Mol - Sustainment		
		Sustainment		
	Iraqi Security Forces Training	IRRF 2	Security & Law Enforcement - Border Enforcement	
			Security & Law Enforcement - Facilities Protection Service	
			Security & Law Enforcement - IAF Training and Operations	
			Security & Law Enforcement - Operations and Personnel	
			Security & Law Enforcement - Police Training and Technical Assistance	
		ISFF	MoD - Training and Operations	
			Mol - Training and Operations	
			Training and Operations	
Related Activities			CERP	Battle Damage
				Condolence Payments
	IRRF 2	Security & Law Enforcement - Focused Stabilization		
		Security & Law Enforcement - Iraqi Security Forces Quick Response Program		
		Security & Law Enforcement - Nonproliferation and Export Control & Border Security		
		Security & Law Enforcement - Post Conflict Reintegration		
	ISFF	Detainee Ops		
		Detainment Centers Iraqi Corrections Officers		
		Disarmament, Demobilization, and Reintegration		
		Lift and Sustain		
		Other		
		Other - Related Activities		
		Prosthetics Clinic		
Quick Response Fund				
Rule of Law Complexes				
Theater Internment Facilities Reintegration Center				
Rule of Law	CERP	Detainee Release Payments		
		Law & Governance		
		Protective Measures		
	ESF	Regime Crimes Liaison Office		

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SECTOR CROSS-REFERENCE

AREA	SECTOR	FUND	SOURCE CLASSIFICATION
Security	Rule of Law	IRRF 1	First Response Network/DIILS
			Law Enforcement
			Police/Prison Programs
		IRRF 2	Education, Refugees, Human Rights, Democracy, & Governance - Human Rights
			Education, Refugees, Human Rights, Democracy, & Governance - Property Claims Tribunal
			Justice, Public Safety Infrastructure, & Civil Society - Facilities Repair
			Justice, Public Safety Infrastructure, & Civil Society - Investigations of Crimes Against Humanity
			Justice, Public Safety Infrastructure, & Civil Society - Judicial Security and Facilities
			Justice, Public Safety Infrastructure, & Civil Society - Other Technical Investigative Methods
			Justice, Public Safety Infrastructure, & Civil Society - Penal Facilities
			Justice, Public Safety Infrastructure, & Civil Society - Reconstruction and Modernization of Detenti
			Justice, Public Safety Infrastructure, & Civil Society - Reconstruction and Modernization of Detention Facilities
			Justice, Public Safety Infrastructure, & Civil Society - Rule of Law in Iraq
			Justice, Public Safety Infrastructure, & Civil Society - U.S. Institute of Peace (USIP)
			Justice, Public Safety Infrastructure, & Civil Society - Witness Protection Program

Note: This appendix provides a cross-reference of how sectors funded by the Iraq Relief and Reconstruction Funds (IRRF 1 and IRRF 2), the Iraq Security Forces Fund (ISFF), the Economic Support Fund (ESF), and the Commander's Emergency Response Program (CERP) relate to the SIGIR-defined reconstruction areas and sectors.

INTERNATIONAL DONOR ASSISTANCE TO THE GOVERNMENT OF IRAQ

Donor assistance to the GOI includes bilateral and multilateral development assistance in loans and grants. As of September 30, 2009, the Department of State (DoS) reported \$17.01 billion in total donor pledges, including \$5.26 billion in grants and \$11.75 billion in loans.¹ Donor commitments totaled \$10.64 billion—\$6.04 billion in grants and \$4.60 billion in loans.² For an overview of bilateral and multilateral development assistance, see Figure D.1.

Bilateral Development Assistance

As of September 30, 2009, \$7.93 billion in bilateral assistance had been committed to Iraq.³ The largest international (non-U.S.) donors include Japan, which has committed \$4.38 billion through grants and loans, and the European Commission, which has committed \$0.72 billion through grants.⁴ Japan committed an additional \$780 million of its total \$3.5 billion pledge for soft loan assistance. The funds will assist projects in Iraq's western region.⁵

Multilateral Development Assistance

As of September 30, 2009, \$2.72 billion in multilateral assistance has been committed to Iraq.⁶ Multilateral development assistance includes loan commitments and pledges from the World Bank, International Monetary Fund (IMF), and Islamic Development Bank (IDB), as well as International Reconstruction Fund Facility for Iraq (IRFFI) grants funded by donor deposits.⁷

International Reconstruction Fund Facility for Iraq

The IRFFI was launched in 2004 to help donor nations channel their resources and coordinate support for reconstruction and development in Iraq. The facility comprises the World Bank Iraq Trust Fund (WB ITF) and the United Nations Development Group Iraq Trust Fund (UNDG ITF). New IRFFI projects must start by the end of 2010; project completions and disbursements are to take place no later than December 31, 2013.⁸ As of September 30, 2009, 25 IRFFI donors, including the United States, had committed \$1.85 billion for Iraq reconstruction.⁹ The largest IRFFI contributors are the European Commission (\$772 million in IRFFI deposits), Japan (\$492 million), and the United Kingdom (\$127 million).

International Monetary Fund

The GOI met with the IMF in September and October 2009 to discuss details for a \$5.5 billion Stand-By Arrangement (SBA).¹⁰ The arrangement has not yet been finalized. If drawn upon by Iraq, the total would be well in excess of the \$2.55 billion in possible support initially pledged by the IMF in 2003.¹¹ According to U.S. Embassy-Baghdad, the IMF is also providing the GOI with an additional \$1.8 billion in assistance funds for infrastructure projects.¹²

World Bank

The World Bank funds several programs to support the GOI in addressing reconstruction needs:

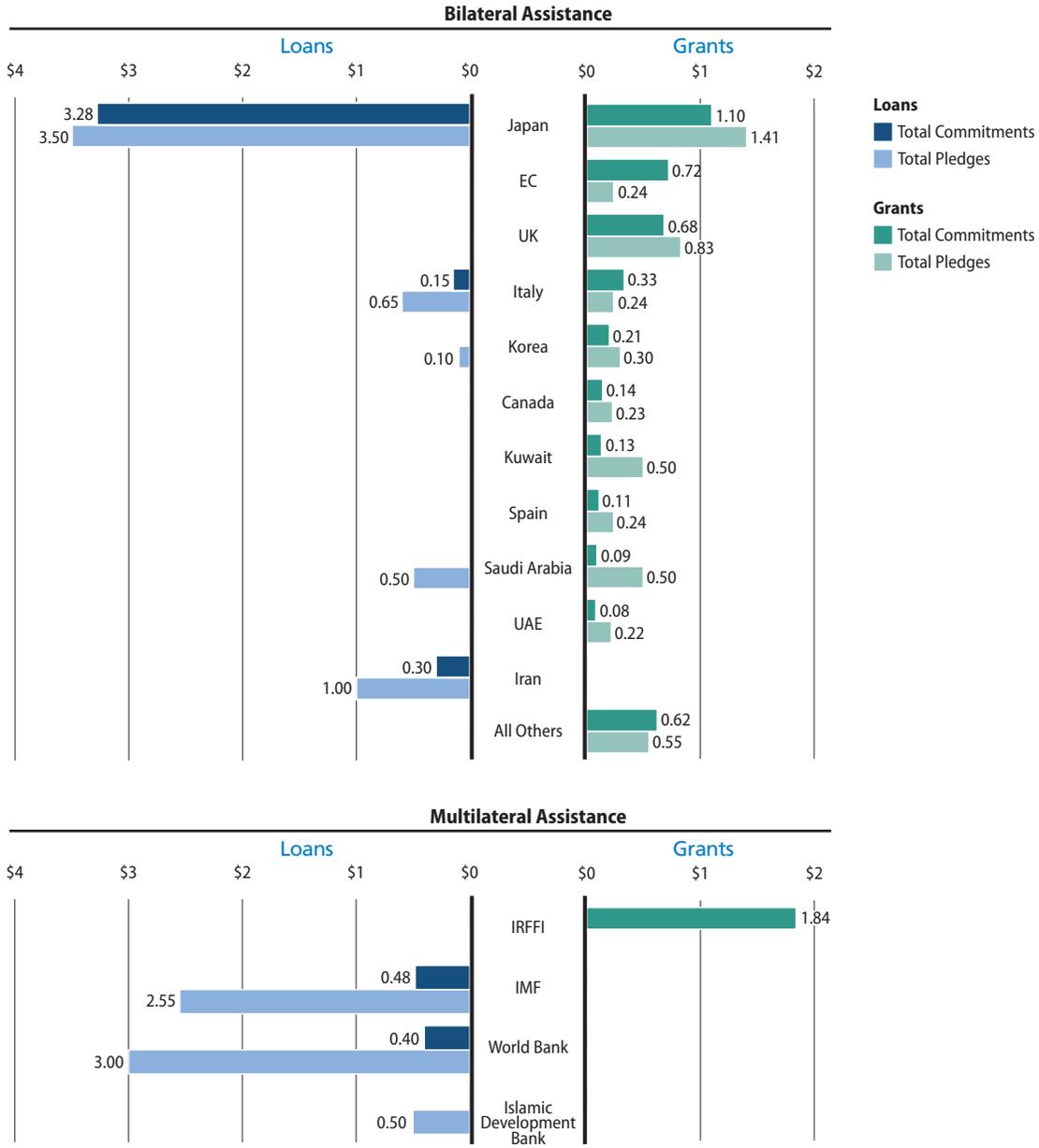
- restoring basic services
- supporting private-sector development
- enhancing social safety nets
- improving public-sector governance

APPENDIX D

FIGURE D.1

INTERNATIONAL GRANTS AND LOANS, PLEDGED VS. COMMITTED, BY DONOR

\$ Billions



Note: Bilateral commitments exclude IRFFI deposits. The European Commission (EC) remains the largest IRFFI contributor, with deposits totaling \$772 million, or 42% of total IRFFI deposits. Numbers affected by rounding.

Source: NEA-I, response to SIGIR data call, 10/5/2009.

This support for Iraq reconstruction is funded primarily through the World Bank Iraq Trust Fund. WB ITF commitments to the IRFFI total \$497 million.¹³ The cutoff date for donor’s

contributions for the WB ITF was December 31, 2008, and donors have agreed to terminate the fund on December 31, 2013. By this deadline, all WB ITF funding must be disbursed, and all

projects are expected to be completed. No new projects will be approved after the end of 2009.¹⁴

The World Bank has provided assistance to Iraq to develop social services through its International Development Association (IDA). Five IDA loans have been approved for Iraq, totaling \$508.5 million.¹⁵ For the status of these projects, see Table D.1.

United Nations

UNDG ITF commitments to the IRFFI total \$1.36 billion.¹⁶ The UNDG ITF has approved 158 joint projects totaling \$1.22 billion, leaving \$160 million to be committed to new projects.¹⁷ The cutoff date for donor contributions for the UNDG ITF was June 30, 2009; any funds that are not committed to a specific project by December 31, 2010, will be returned. To meet this requirement, the UN agreed that no new projects will be approved after the end

of 2009.¹⁸ Any remaining UN funds will be deposited in the UN's general Multilateral Donor Trust Fund and will go to support activities overseen by the UN Assistance Mission for Iraq (UNAMI).¹⁹

Sources for International Donor Information

SIGIR continues to note the challenges in reporting on international contributions to Iraq reconstruction. As Iraq shifts to normalized relations with the international community, the United States is less able to track international funding. Efforts by international donors now focus on helping Iraq sustain its progress. While some donors continue to support Iraq with technical assistance, economic development projects, and project financing, others

TABLE D.1
WORLD BANK IDA LOANS

\$ Millions

PROJECT NAME	PROJECT AMOUNT	APPROVAL DATE	IMPLEMENTING AGENCY	DESCRIPTION	STATUS
Third Emergency Education	\$100	11/2005	Ministry of Education	Assists the Ministry of Electricity through reducing school overcrowding and strengthening its capacity to improve the quality of teaching and curricula.	The Bank's Board approved project restructuring in June 2009. The project will now support educational infrastructure (school construction), institutional strengthening (teacher training and curricula development), and project management.
Emergency Road Rehabilitation	\$135	6/2006	Ministry of Construction	Assists in the rehabilitation of highways and village access roads in central and southern Iraq. Three floating bridges will also be replaced with permanent structures.	Rehabilitation in the KRG is underway and disbursing. Progress in central and southern Iraq is expected to move forward due to a large contract expected to be tendered soon.
Dokan and Derbandikhan Hydropower	\$40	12/2006	KRG Ministry of Electricity	The plan aims to upgrade electricity supply in the Kurdistan region and the national grid. The project will directly impact an estimated 490,000 households and benefit industrial consumers.	Three procurement packages have concluded with two contracts signed. Projects tendered under direct contracting are advanced, with one signed and two under negotiations. A draft report detailing the rehabilitation needs for Dokan and Derbandikhan is being finalized.
Emergency Electricity Reconstruction	\$124	3/2007	Ministry of Electricity	Aims to restore the base-load generating capacity of the Hartha power plant and build capacity at the Ministry of Electricity.	A contract to rehabilitate the Hartha power plant was signed in April 2009. The main contract commits the entire IDA credit. The financing agreement is being amended to facilitate the issuing of the Letter of Credit.
Emergency Water Supply	\$109.5	6/2008	Ministry of Water Resources	Intends to improve the quantity and quality of water availability in four high-priority provinces. Assists the GOI on developing a sustainable policy for the water sector.	The effectiveness deadline was extended to October 30, 2009, at the request of the Ministry of Finance. The MoF provided a copy of the legal opinion. The project will move forward once the original document is received.

Source: IRFFI, World Bank Operations in Iraq Data Sheet, 8/31/2009.

TABLE D.3
SNAPSHOT OF TOP DONOR-FUNDED PROJECTS

\$ Millions

DONOR	PROJECT COST (\$ MILLIONS)	TITLE	PROJECT IMPLEMENTATION STATUS	SECTOR (TRADITIONAL CLASSIFICATION)
Sweden, World Bank	150.00	Electricity reconstruction project (Rehabilitation of units 2 & 3 of HARTHA power station)	Ongoing	Infrastructure
Italy	128.84	Soft Loan for the Implementation of an Aid Program for Rebuilding Iraq's Agricultural Sector and Promoting the Related Services	Ongoing	Agriculture, Food, and Fishing
World Bank Iraq Trust Fund	120.00	Irrigation and Drainage Schemes Rehabilitation and Water Resources Management Program	Ongoing	Agriculture, Food, and Fishing
Japan	118.71	Construction of a Diesel Power Station (60 MW) in Al-Samawah	Ongoing	Infrastructure
World Bank Iraq Trust Fund	110.00	Emergency Water, Sanitation, and Urban Reconstruction Project	Ongoing	Environment; Housing, Labor, and Social Affairs
World Bank	100.00	Construction of New School Buildings	Not Started	Education, Science, and Culture
Japan	72.22	Supply and Installation of Units Mobile Substations.	Completed	Infrastructure
Japan	68.45	Project for Rehabilitation of Four General Hospitals in the Northern Region of Iraq	Completed	Health
Japan	66.17	Rehabilitation of Taji Gas Turbine Power Station	Completed	Infrastructure
Japan	65.04	Project for Improvement of Trunk Communications Network	Completed	Infrastructure

Note: Data not formally reviewed, audited, or verified. Numbers affected by rounding. Data excludes U.S.-funded projects. Committed and disbursed are the international terms used; this terminology is comparable to the SIGIR terms obligated and expended.

Source: GOI, Development Assistance Database, www.mop-iraq.org/dad, accessed 10/8/2009.

are focusing on building relationships based on conventional trade and investment.²⁰ The Department of State's Bureau of Near Eastern Affairs-Iraq (NEA-I) does not expect substantial additional increases in donor commitments for Iraq reconstruction.²¹

Department of State

NEA-I provides SIGIR with quarterly updates on international pledge and commitment figures from donor countries and international organizations.

Development Assistance Database

The Development Assistance Database (DAD) was established to serve as a reliable and credible source of information on donor contributions for the reconstruction, economic recovery, and socioeconomic development of Iraq.²² However, there is no standard reporting requirement for donors to

provide data and no set time frame for updates to the DAD to be completed. Thus, comparing DoS donor pledge figures to data available in the DAD does not provide an accurate or complete picture of the status of donor support.

As of October 8, 2009, the DAD reported total commitments of \$6.01 billion—an increase of approximately \$45 million since July 2009.²³ Disbursements increased by \$29,000 this quarter, totaling \$2.97 billion.²⁴ For an overview of Iraqi donor spending reported in the DAD, see Table D.3 and Table D.4.

The inconsistent nature of DAD reporting requirements has resulted in significant differences between figures reported by NEA-I and DAD. For a breakdown of these differences, including committed, pledged, and disbursed amounts, see Figure D.2.

TABLE D.4
DONOR PROJECTS BY SECTOR
\$ Millions

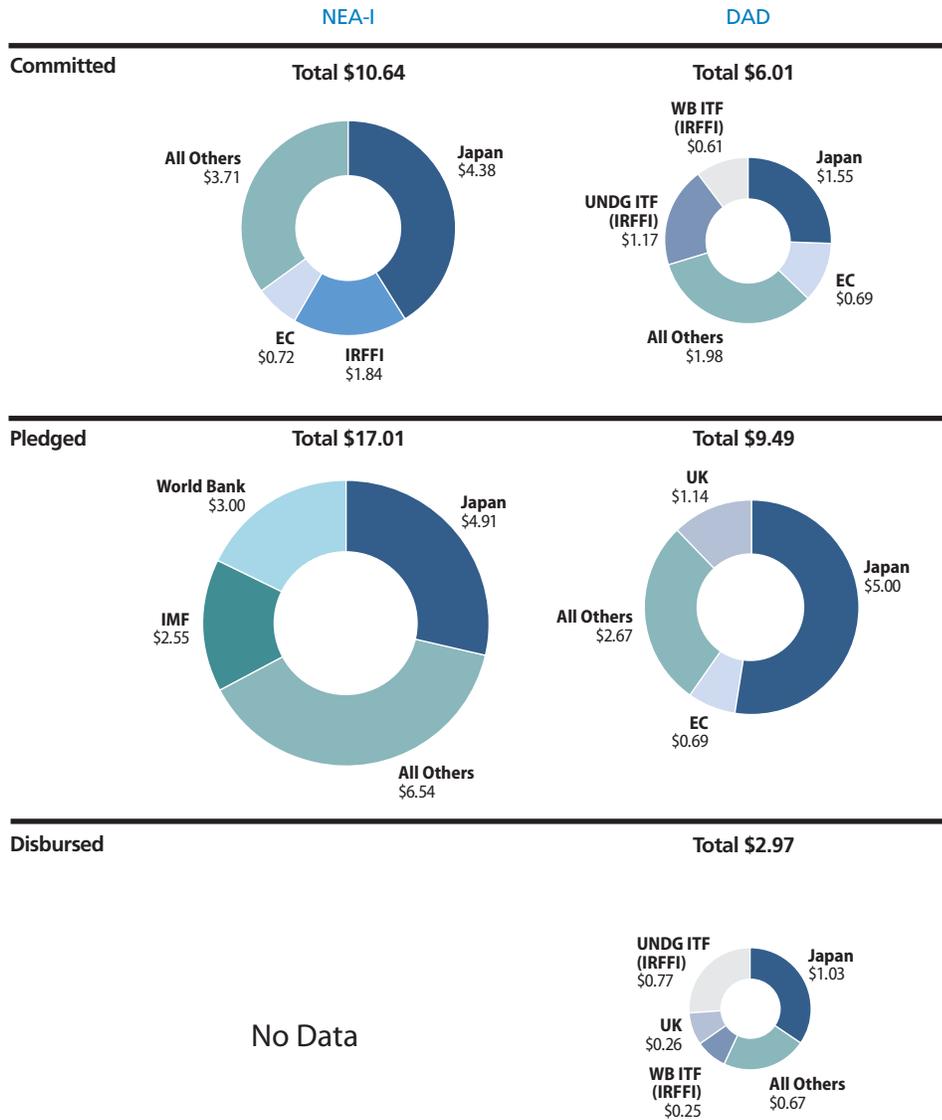
SECTOR	COMMITTED	DISBURSED
Agriculture, Food, and Fishing	406.83	200.42
Economic Development	203.07	67.71
Education, Science, and Culture	365.51	265.78
Energy	37.85	29.02
Enterprise and Industry	6.00	1.39
Environment	324.37	227.21
Governance and Democracy Development	611.52	420.63
Health	606.55	485.99
Housing, Labor, and Social Affairs	357.17	256.35
Infrastructure	1,075.27	742.80
Security	231.14	190.87
Unallocated	15.60	5.31
Unspecified/Unclassified	116.78	78.94
Total	4,239.97	2,945.90

Note: Data not formally reviewed, audited, or verified. Numbers affected by rounding.

Source: GOI, Development Assistance Database, www.mop-iraq.org/dad, accessed 10/8/2009.

- 1 NEA-I, response to SIGIR data call, 10/5/2009.
- 2 NEA-I, response to SIGIR data call, 10/5/2009.
- 3 NEA-I, response to SIGIR data call, 10/5/2009.
- 4 NEA-I, response to SIGIR data call, 10/5/2009.
- 5 NEA-I, response to SIGIR data call, 10/5/2009.
- 6 NEA-I, response to SIGIR data call, 10/5/2009.
- 7 NEA-I, response to SIGIR data call, 10/5/2009.
- 8 NEA-I, response to SIGIR data call, 4/2/2009.
- 9 NEA-I, response to SIGIR data call, 10/5/2009; IRFFI, "Donor Commitments to the World Bank Iraq Trust Fund and United Nations Development Group Iraq Trust Fund," 8/31/2009.
- 10 U.S. Treasury, response to SIGIR data call, 10/3/2009.
- 11 NEA-I, response to SIGIR data call, 10/5/2009.
- 12 DoS, Iraq Status Report, 9/20/2009, p. 15.
- 13 IRFFI, "Donor Commitments to the World Bank Iraq Trust Fund and United Nations Development Group Iraq Trust Fund," 8/31/2009.
- 14 IRFFI, "Final Statement of IRFFI Donor Committee Meeting," Naples, Italy, 2/18/2009.
- 15 IRFFI, "World Bank Operations in Iraq Data Sheet," 8/31/2009.
- 16 IRFFI, "Donor Commitments to the World Bank Iraq Trust Fund and United Nations Development Group Iraq Trust Fund," 8/31/2009.
- 17 NEA-I, response to SIGIR data call, 4/2/2009.
- 18 IRFFI, "Final Statement of IRFFI Donor Committee Meeting," Naples, Italy, 2/18/2009.
- 19 NEA-I, response to SIGIR data call, 4/2/2009.
- 20 NEA-I, response to SIGIR data call, 7/2/2009.
- 21 NEA-I, response to SIGIR data call, 7/13/2009.
- 22 GOI, Development Assistance Database, www.mop-iraq.org/dad/, accessed 7/10/2009.
- 23 GOI, Development Assistance Database, www.mop-iraq.org/dad/, accessed 10/8/2009.
- 24 GOI, Development Assistance Database, www.mop-iraq.org/dad/, accessed 10/8/2009.

FIGURE D.2
COMMITTED, PLEDGED, AND DISBURSED FUNDS, NEA-I VS. DAD REPORTING
 \$ Billions



Note: Numbers affected by rounding.

Source: NEA-I, response to SIGIR data call, 10/5/2009; GOI, Development Assistance Database, www.mop-iraq.org/dad, accessed 10/13/2009.

COMPLETED SIGIR INSPECTIONS

This appendix contains a list of completed inspections on Iraq reconstruction activities by the Special Inspector General for Iraq Reconstruction (SIGIR), as of September 30, 2009.

COMPLETED SIGIR INSPECTIONS (AS OF SEPTEMBER 30, 2009)

PROJECT NAME	PROVINCE	BUDGETED TOTAL COST (THOUSANDS)	EXECUTING AGENCY	CONTRACTOR	GRD REGION
Secure Document Storage Facility	Baghdad	\$1,916	GRC	SIMA International	GRC
Renovate and Expand Chamchamal Correctional Facility	Sulaymaniyah	\$28,691	GRN	Local	GRN
Orphanage and Senior Citizen Assisted Living Center in Erbil	Erbil	\$3,725	GRN	Local	GRN
Abattoir (Slaughterhouse) in Qaladze	Sulaymaniyah	\$1,100	GRN	Local	GRN
Al Kasik Location Command	Ninewa	\$6,311	GRN	Local	GRN
Basrah Children's Hospital	Basrah	\$37,682	GRS	Bechtel & MID Contracting	GRS
Roll-on/Roll-off Berth in the Port of Umm Qasr	Basrah	\$2,735	GRS	Local	GRS
4th Brigade, 10th Infantry Division Iraqi Army HQ Barracks	Missan	\$1,253	GRS	Local	GRS
Missan Surgical Hospital, Phase 1	Missan	\$5,900	GRS	Local	GRS
Missan Surgical Hospital, Phase 2	Missan	\$6,800	GRS	Local	GRS
Mujarrah Canal Bridge	Anbar	\$1,262	GRC	Local	GRC
Ammana Market Renovation	Baghdad	\$596	GRC	Local	GRC
Suroor Elementary School	Baghdad	\$246	GRC	Local	GRC
Khandek School	Baghdad	\$296	GRC	Local	GRC
Sagrah School	Anbar	\$399	MNF-West	Local	GRC
Shiqaq Hai Musalla PHC	Tameem	\$305	GRN	Parsons/Local	GRN
Hai Tiseen PHC	Tameem	\$465	GRN	Parsons/Local	GRN
Basrah Courthouse	Basrah	\$10,976	GRS	Local	GRS
Basrah Witness Protection Facility	Basrah	See above	GRS	Local	GRS
Haditha General Hospital	Anbar	\$5,034	GRC	Local	GRC
Heet PHC	Anbar	\$412	GRC	Parsons/Local	GRC
Haditha PHC	Anbar	\$538	GRC	Parsons/Local	GRC
Al Shurhabil School	Anbar	\$200	MNC-I	Local	GRC
Al Iqitadar School	Anbar	\$268	MNC-I	Local	GRC
Anbar Rule of Law Complex	Anbar	\$21,462	GRC	ALMCO Limited	GRC
Ramadi 132-kV Substation	Anbar	\$27,980	GRC	Symbion-Ozdil-Al Namarq Joint Venture	GRC
Plumbing Repairs at the Baghdad Police College	Baghdad	\$3,183	AFCEE & MNSTC-I	Laguna Construction	GRD
Sadr City R3 Water Treatment Plant	Baghdad	\$65,848	GRC	Washington International, Inc. /Black and Veatch	Central
Falluja WWTP	Al Anbar	\$29,558	GRC	FluorAMEC	Central
Falluja Sewer – Area A Construction and Repair	Al Anbar	\$2,906	GRC	Local	Central
Falluja Sewer – Pump Station 1&2	Al Anbar	\$7,223	GRC	Local	Central
Falluja Sewer – Force Main	Al Anbar	\$1,804	GRC	Local	Central
Falluja Sewer – Earthwork for the WWTP	Al Anbar	\$2,769	GRC	Local	Central
Al Quds High School	Baghdad	\$420	MNC-I	Local	Central

Continued on next page

APPENDIX E

COMPLETED SIGIR INSPECTIONS (AS OF SEPTEMBER 30, 2009)

PROJECT NAME	PROVINCE	BUDGETED TOTAL COST (THOUSANDS)	EXECUTING AGENCY	CONTRACTOR	GRD REGION
Al Mualameen High School	Baghdad	\$389	MNC-I	Local	Central
Al Faoo High School	Baghdad	\$441	MNC-I	Local	Central
Al Shofa Water Facility	Nassriya	\$349	GRS	Local	South
Al Kazim Water Supply	Nassriya	\$493	GRS	Local	South
Nassriya 33-kV Power line	Nassriya	\$1,538	GRS	Local	South
Al Ager Water Compact Unit	Nassriya	\$650	GRS	Local	South
Kirkuk to Baiji PEZ Phase 3	Kirkuk	\$3,838	GRN	Local	North
Kahn Bani-Sa'ad Correctional Facility	Kahn Bani Sa'ad	\$40,497	GRD	Parsons	Central
Project Assessment Review Through April 2008	Various	\$1,600,000	GRD	Various	Various
Nassriya Water Treatment Plant	Nassriya	\$277,000	GRD	FluorAMEC	South
Repair of the Al Ghazaliyah G-6 Sewage Lift Station	Baghdad	\$329	GRD	Local	Central
Kurdistan Ministry of Interior Complex	Erbil	\$7,400	GRN	Tigris (Turkey)	North
Sarwaran Primary School	Erbil	\$694	GRN	Local	North
Binaslawwa Middle School	Erbil	\$602	GRN	Local	North
Nassriya Prison Expansion	Nassriya	\$6,263	GRS	Local	South
Nassriya Prison Follow-up	Nassriya	\$15,523	GRS	Local	South
Al Escandrona School	Baghdad	\$ 86.6	GRD	Local	Central
Rehabilitation of the Mansour Pump Station	Baghdad	\$ 123	GRD	Local	Central
Mahalla 824 Sewer Collapse Project	Baghdad	\$ 629	GRD	Local	Central
Iraqi Army Facilities located in Diyanah and Debecha	Erbil	\$ 9,300	AFCEE	Toltest, Inc.	North
Erbil Police Academy	Erbil	\$ 10,000 (U.S.)	GRN	Tigris Company	North
Repair of the Ghazaliyah G-7 Sewage Lift Station	Baghdad	\$329	GRD	Local	Central
Bartilla Booster Pump Station Repair	Ninewa	\$417	GRN	Local	North
Bartilla New Road Paving	Ninewa	\$148	GRN	Local	North
Showairrej to Tak Harb Road Paving	Ninewa	\$1,439	GRN	Local	North
Right Bank Drinking Water Treatment Plant	Ninewa	\$1,714	GRN	Local	North
Mosul Dam	Ninewa	\$27,000	GRD	Washington International/ Black and Veatch	North
Qudas Power Plant Turbine Restoration Project and Qudas Power Plant Expansion Project	Baghdad	\$160,000	GRD	URUK Engineering Services and the Baghdad Company for Gas Turbines LTD Joint Venture/ FluorAMEC, LLC	Central
Al Qana'at Raw Water Pump Station	Baghdad	\$4,230	GRC	Comet Company	Central
Al Rasheed Brigade Set	Baghdad	\$64,010	AFCEE	Tetra Tech, Inc.	Central
Iraqi C-130 Base	Baghdad	\$30,800	AFCEE	Toltest, Inc.	Central
Iraqi Ministry of Defense Building	Babylon	\$31,460	MNSTC-I	Laguna Construction Company, Inc.	Central
Doura Power Station Units 5 and 6	Baghdad	\$90,800	GRD	Bechtel National, Inc.	Central
Al Basrah Oil Terminal (ABOT) (5 projects)	Basrah	\$3,045	GRC	Parsons	South
Military Base, Tallil	Thi-Qar	\$108,590	AFCEE	Weston	South
Military Base Upgrades, Tallil	Thi-Qar	\$10,511	AFCEE	Weston	South
Recruiting Center, Hillah	Babylon	\$1,824	AFCEE	Weston	South
Iraqi Civil Defense HQ, Baghdad	Baghdad	\$3,000	GRC	Parsons	Central
Bab Shams Police Station, Mosul	Ninewa	\$353	GRN	Local	North
Gaugli-Ashur Police Station, Mosul	Ninewa	\$881	GRN	Local	North
Maternity and Pediatric Hospital	Erbil	\$6,831	GRN	Local	North

Continued on next page

COMPLETED SIGIR INSPECTIONS (AS OF SEPTEMBER 30, 2009)

PROJECT NAME	PROVINCE	BUDGETED TOTAL COST (THOUSANDS)	EXECUTING AGENCY	CONTRACTOR	GRD REGION
BIAP 12 Standby 06 Power	Baghdad	\$11,792	USAID	Bechtel	Central
West BIAP Special Forces Barracks	Baghdad	\$5,205	GRC	Local	Central
Al Alwaiya Maternity Hospital	Baghdad	\$1,986	GRD	Parsons/Local	Central
Al Alwaiya Children's Hospital	Baghdad	\$1,288	GRD	Parsons/Local	Central
Dahuk Rehabilitation Center	Dahuk	\$5,634	GRD	Biltek	North
Al Kasik Water Storage Tanks	Ninewa	\$4,900	AFCEE	AMEC	North
Al Kasik Waste Water Treatment Plant	Ninewa	\$2,700	AFCEE	Shaw	North
51st Brigade Iraqi Army Barracks	Babylon	\$999	GRD	Local	South
Al Hillah Police Firing Range	Babylon	\$434	GRD	Local	South
402nd Battalion Iraqi Army Headquarters Barracks	Babylon	\$737	GRD	Local	South
Baghdad Police College, Task Order 06	Baghdad	\$42,909	GRD	Parsons	Central
Baghdad Police College, Task Order 29	Baghdad	\$29,345	GRD	Parsons	Central
Electrical Substation Sustainment-Al Hakamia	Basrah	\$5,677	GRD	Perini Corporation	South
Electrical Substation Sustainment-Hamdan	Basrah	\$5,719	GRD	Perini Corporation	South
Electrical Substation Sustainment-Al Kaffat	Basrah	\$5,438	GRD	Perini Corporation	South
Electrical Substation Sustainment-Al Serajji	Basrah	\$5,718	GRD	Perini Corporation	South
Electrical Substation Sustainment-Shat al Arab	Basrah	\$5,724	GRD	Perini Corporation	South
Courthouse – New Al Karkh-Baghdad	Baghdad	\$2,230	GRD	Foreign	Central
Thi-Qar Village Road Segment 3	Thi-Qar	\$1,440	GRD	Foreign	South
Ibn Al Bitar Hospital – Critical Care Unit	Baghdad	\$580	GRD	Foreign	Central
Baghdad Municipal Solid Waste Landfill Facility	Baghdad	\$28,800	GRD	FluorAMEC	Central
Police Training Academy – Al Kut	Wassit	\$22,900	GRD	ECCI	North
Ninewa Provincial Police Headquarters	Ninewa	\$1,000	GRD	Foreign	North
11 kVA Substation Feeder	Ninewa	\$1,220	GRD	Foreign	North
Baghdad Police College (Academy)	Baghdad	\$73,000	GRD	Parsons	Central
Kirkuk to Baiji Pipeline	Tameem	\$3,445	Multiple	Multiple	North
Baghdad Railway Station Rehabilitation	Baghdad	\$6,385	GRD-PCO	Foreign	Central
Military Base – 609th ING	Thi-Qar	\$7,634	GRD	Foreign	South
Muthanna Village Roads Segment 4	Muthanna	\$2,888	GRD-PCO	Foreign	South
Prison Facility – Nasiriyah	Thi-Qar	\$49,087	GRD-PCO	Parsons Global Services	South
Fire Station–Nasiriyah	Thi-Qar	\$627	GRD-PCO	Foreign	South
Police Station–Safwan - IHP 404	Basrah	\$2,472	GRD-PCO	Foreign	South
Basrah International Airport-Air Side Supply	Basrah	\$580.5	GRD-PCO	Reyam Ltd.	South
Basrah International Airport-Terminal and Tower	Basrah	\$5,045	GRD-PCO	NANA Pacific	South
Umm Qasr Water Supply Canal	Basrah	\$15,600	GRD-PCO	Washington International	South
Riyadh Canal Crossing	Tameem	\$635.5	GRD-PCO	PIJV	North
Zegeton Fatah Canal Crossing	Tameem	\$658.1	GRD-PCO	PIJV	North
Hillah SWAT Facility	Babylon	\$2,219	GRD	Foreign	South
Seif Sa'ad Police Station	Babylon	\$153	GRD	Foreign	South
Border Post-As Sul #37 – Bnawasuta-Issawa	Sulaymaniyah	\$272	GRD	Parsons Delaware, Inc.	North
Border Post-As Sul #29 – Kuralau Bnaw-Azmik	Sulaymaniyah	\$275	GRD	Parsons Delaware, Inc.	North
Border Post-As Sul #20 – Marwa	Sulaymaniyah	\$272	GRD	Parsons Delaware, Inc.	North
Border Post-As Sul #23 – Bargurd-Safrah	Sulaymaniyah	\$272	GRD	Parsons Delaware, Inc.	North
Military Base Umm Qasr – Ammo Supply Point	Basrah	\$253	GRD	Foreign	South
Operation Center and Security	Basrah	\$1,175	GRD	Foreign	South

Continued on next page

APPENDIX E

COMPLETED SIGIR INSPECTIONS (AS OF SEPTEMBER 30, 2009)

PROJECT NAME	PROVINCE	BUDGETED TOTAL COST (THOUSANDS)	EXECUTING AGENCY	CONTRACTOR	GRD REGION
Port of Umm Qasr Security Upgrades	Basrah	\$3,747	GRD	Foreign	South
Project Phoenix-Restore Qudas Gas Turbines	Baghdad	\$11,391	PCO	FluorAMEC	Central
Al Hillah Police Academy-CN-W914NS-04-C-9046	Babylon	\$9,135	JCC-I/A	SBIG Logistics & Technical Services	South
Karbala Library	Kerbala	\$1,294	CPA (South Central)	Global Business Group	South
Al Wahda Water Treatment Plant	Baghdad	\$4,712	PCO	FluorAMEC	Central
Al Wathba Water Treatment Plant	Baghdad	\$8,698	PCO	FluorAMEC	Central
Al Nahrwan Water Supply Project	Baghdad	\$348	GRD	Foreign	South
Al Sumelat Water Network	Baghdad	\$764	PCO	SIMA International	Central
Al Hakamia Substation	Basrah	\$5,934	PCO	Perini Corporation	South
Hamdan Substation	Basrah	\$5,001	PCO	Perini Corporation	South
Al Kaffat Substation	Basrah	\$5,934	PCO	Perini Corporation	South
Al Seraji Substation	Basrah	\$5,709	PCO	Perini Corporation	South
Shat al Arab Substation	Basrah	\$5,298	PCO	Perini Corporation	South
Al Fathah Pipe Crossing	Tameem	\$29,715	PCO	PIJV	North
Kirkuk Canal Crossing	Tameem	\$2,088	PCO	PIJV	North
Al Fathah River Crossing Tie-ins	Tameem	\$8,156	PCO	PIJV	North
Al Balda Police Station	Babylon	\$135	GRD	Foreign	South
Al Hillah Maternity and Children's Hospital	Babylon	\$7,414	PCO	Parsons Global Services	South
Al Imam Primary Care Center	Babylon	\$533	PCO	Parsons Delaware, Inc.	South
Babil Railway Station	Babylon	\$274	PCO	Foreign	South
Mosul Airport – ATC Tower Rehab	Ninewa	\$10,329	GRD	Foreign	North
Ninewa Village Roads Segment 3	Ninewa	\$920	GRD	Foreign	North
Ainkawa Fire Station	Erbil	\$1,392	GRD	Parsons Global Services, Inc.	North
Erbil City Transformers	Erbil	\$3,372	GRD	Washington International, Inc.	North
Sheile Primary School	Dahuk	\$401	GRD	Foreign	North
Zakho-Military Academy	Dahuk	\$5,591	GRD	Foreign	North
PHC Type A at Shiqaq Hai Musalla	Tameem	\$608	GRD	Parsons Delaware, Inc.	North
PHC Type A at Hai Alhajjaj	Tameem	\$608	GRD	Parsons Delaware, Inc.	North
PHC Type A at Hai Alasra Wa Al Mafqoodeen	Tameem	\$648	GRD	Parsons Delaware, Inc.	North
PHC Type A at Hai Al Wasity	Tameem	\$648	GRD	Parsons Delaware, Inc.	North
PHC Type B at Hai Tis'een	Tameem	\$734	GRD	Parsons Delaware, Inc.	North
New Second Brigade Base	Tameem	\$114,000	AFCEE	Environmental Chemical Corporation	North
Aviation Base Building	Tameem	\$13,200	AFCEE	Environmental Chemical Corporation	North
Al Fatah—Horizontal Directional Drilling	Salah al-Din	\$75,700	USACE	KBR and PIJV (Parsons)	North

SUSPENSIONS AND DEBARMENTS

This appendix presents a comprehensive list of suspensions and debarments related to Iraq reconstruction contracts or Army support contracts in Iraq and Kuwait.

TABLE F.1
SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Powell, Glenn Allen	LOGCAP Contractor Employee	Suspended, 9/17/2005; proposed for debarment, 12/13/2005; debarred, 2/16/2006	Bribery of Government Official	Awarded contract to KBR subcontractor under LOGCAP III contract in exchange for 20% kickback of contract price. Employer unaware of actions. On 8/19/2005, pled guilty to a two-count criminal information charging him with fraud. Case Closed.
DXB International	LOGCAP Subcontractor	Suspended, 7/25/2005; proposed for debarment, 7/25/2005; debarred, 9/29/2005	Allegations of Failure To Perform a Contract	Failure to perform a contract for the delivery of ice to Army troops in Iraq. Case Closed.
Name Withheld	Employee - DXB International	Suspended, 7/25/2005; proposed for debarment, 7/25/2005	Allegations of Failure To Perform a Contract	SDO determined that debarment was not appropriate based on lack of substantiation of allegations. Case Closed.
Ludwig, Steven	Employee - DXB International	Suspended, 7/25/2005; proposed for debarment, 7/25/2005; debarred, 9/29/2005	Allegations of Failure To Perform a Contract	Failure to perform a contract for the delivery of ice to Army troops in Iraq. Case Closed.
Jasmine International Trading and Service Company	Contractor - Area Support Group Kuwait	Proposed for debarment, 2/27/2006; debarred, 6/2/2006; proposed for debarment, 7/23/2008; debarred, 5/14/2009	Allegations of Bribery of Government Official	Provided payments to Army finance office personnel at Camp Arifjan, Kuwait, for expedition of payments due on Army contracts. Kuwaiti Company—no DoJ action. 9-month debarment. Proposed for debarment 7/23/2008. Debarred for a period of 10 years ending on 7/22/2018. Case Closed.
Salem, Diao Ahmen Abdul Latif	Director, Jasmine International Trading and Service Company	Proposed for debarment, 2/27/2006; debarred, 6/2/2006. Proposed for debarment, 7/23/2008; debarred, 5/14/2009	Allegations of Bribery of Government Official	Provided payments to Army finance office personnel at Camp Arifjan, Kuwait, for expedition of payments due on Army contracts. Kuwaiti National—no DoJ action. 9-month debarment. Proposed for debarment 7/23/2008. Debarred for a period of 10 years ending on 7/22/2018. Case Closed.
Eagle Global Logistics, Inc.	Subcontractor - LOGCAP III	Suspended, 2/27/2006; Administrative Compliance Agreement signed, 3/2/2006	Allegations of Violation of the False Claims Act; False Statements	Claimed surcharges for air-freight forwarding services of transport of U.S. military equipment between Dubai and Baghdad. Administrative compliance agreement signed with Army on 3/2/2006 extending until 3/23/2011.
Cahill, Christopher Joseph	Employee - Eagle Global Logistics, Inc.	Suspended, 2/27/2006; proposed for debarment, 9/15/2006; debarred, 11/9/2006	False Claims Act; False Statements	Pled guilty to adding an illegal surcharge to government contracts while employed by a shipping company in the Middle East. Sentenced by the U.S. District Court, Central District of Illinois, to 30 months confinement, 2 years supervised release, and a \$10,000 fine. Case Closed.
Global Business Group S.R.L.	Contractor - CPA-SC	Suspended, 11/28/2005; proposed for debarment, 6/26/2007; debarred, 8/8/2007	Money Laundering; Bribery; Wire Fraud; Interstate Transport of Stolen Property; Conspiracy	Contractor based in Romania used by Philip H. Bloom to procure fraudulent contracts. Also doing business as Global Business Group Logistics, GBG Holdings, and GBF Logistics Division. Debarred for approximately 7 years and 4 months, ending on 11/28/2014. Case Closed.

Continued on next page

APPENDIX F

SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Bloom, Philip H.	Owner, Global Business Group S.R.L.	Suspended, 11/28/2005; proposed for debarment, 6/26/2007; debarred, 8/8/2007	Money Laundering; Bribery; Wire Fraud; Interstate Transport of Stolen Property; Conspiracy	Pled guilty to conspiracy, bribery, and money laundering on 3/10/2006 in U.S. District Court for the District of Columbia. Sentenced 2/16/2007 to 46 months confinement, 24 months supervised release, and (with co-conspirators) forfeiture of \$3,600,000. Debarred for a period of approximately 7 years and 4 months, ending on 11/28/2014. Case Closed.
Merkes, Steven	Former Contracting Officer—SOCEUR	Suspended, 1/24/2007; proposed for debarment, 8/15/2007; debarred, 9/27/2007	Acceptance of Illegal Gratuities	Accepted position with Global Business Group S.R.L. while a U.S. government employee. Agreed to provide GBG with assistance in receiving SOCOM contracts in Eastern Europe and Iraq. Sentenced 6/1/2007 to 12 months of confinement.
Stein, Robert J., Jr.	CPA-SC Civilian Comptroller and Funding Officer	Suspended, 12/2/2005; proposed for debarment, 7/2/2007; debarred, 8/16/2007	Allegations of Money Laundering; Bribery; Wrongful Conversion; Possession of Machine Gun; Non Registration of Weapon; Wire Fraud; Interstate Transport of Stolen Property; Conspiracy	Pled guilty to conspiracy, money laundering, bribery, possession of a machine gun, and aiding/abetting in the U.S. District Court for D.C. Sentenced on 1/29/2007 to 9 years confinement, 36 months supervised release, and (with co-conspirators) forfeiture of \$3,600,000. Debarred for a period of approximately 7 years and 4 months, ending on 12/5/2014. Case Closed.
Wheeler, Michael B., LTC, USAR	Civil Affairs Team Leader, 432nd CA BN, USA	Suspended, 12/7/2005	Allegations of Money Laundering; Bribery; Wrongful Conversion; Possession of Machine Gun; Non Registration of Weapon; Wire Fraud; Interstate Transport of Stolen Property; Conspiracy	Indicted 2/7/2007 in the U.S. District Court for the District of New Jersey. Awaiting final criminal judgment.
Harrison, Debra M., LTC, USAR	Assistant CPA-SC Comptroller and Funding Officer, 358th CA BDE, USA	Suspended, 12/20/2005; proposed for debarment, 6/17/2009; debarred, 8/7/2009	Allegations of Money Laundering; Bribery; Wrongful Conversion; Possession of Machine Gun; Non Registration of Weapon; Wire Fraud; Interstate Transport of Stolen Property; Conspiracy	Indicted 2/7/2007 in the U.S. District Court for the District of New Jersey. Sentenced 6/4/2009. Debarred until 12/12/2014. Case Closed.
Hopfengardner, Bruce D., LTC, USAR	Civil Affairs Advisor, Iraqi Police, Hilla, Iraq	Suspended, 7/19/2006; proposed for debarment, 8/8/2007; debarred 9/20/2007	Wire Fraud, Money Laundering, Interstate Transport of Stolen Property, Conspiracy, Wrongful Conversion	Pled guilty to conspiracy to commit money laundering and wire fraud 6/26/2007. Sentenced to 21 months of confinement, 36 months supervised release, \$144,500 fine, and (with co-conspirators) forfeiture of \$3,600,000. Case Closed.
Zahed, Mohamed Abdel Latif	Contractor employee (linguist) Titan Corp, Camp Victory, Iraq	Proposed for Debarment 2/9/2007; debarred 9/10/2009	False claims	Former linguist for MNF-I, Camp victory, Iraq, Submitted false claims for \$31,895 in work which was never completed. Debarred until 3/29/2012. Case closed.
Salam, Faheem Mousa	Contractor - MNSTC-I	Suspended, 4/13/2006; proposed for debarment, 4/13/2007; debarred, 6/28/2007	Attempted Bribery of Government Official	Accused of offering cash payments to Iraqi police officials in exchange for contracts to supply the Civilian Police Assistance Training Team, Multi-National Security Transition Command-Iraq, with 1,000 protective vests and other equipment. Sentenced 2/2/2007 to 36 months confinement, 24 months supervised release, 250 hours community service, and \$100 special assessment. Case Closed.
Faiq, Alwan	Contractor Employee - GRD Baghdad	Proposed for debarment, 6/20/2006; debarred, 12/28/2006	Allegations of Bribery, False Claims	Alleged attempted bribery of a USACE-GRD contracting officer in exchange for procurement-sensitive information and favorable treatment on future contracts. Case Closed.

Continued on next page

SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Danube Engineering and General Contracting	Contractor - GRD Baghdad	Proposed for debarment, 6/20/2006; debarred, 12/28/2006	Allegations of Bribery, False Claims	Debarred based on unauthorized delegation of contract performance to a subcontractor resulting in attempted bribery of a contracting officer for procurement-sensitive information. Debarred for one year. Case Closed.
Qussay, Abdullah Hady	President, QAH Mechanical and Electrical Works	Proposed for debarment, 3/8/2007; debarred, 6/27/2007	Allegations of Bribery, False Claims	Accused of misrepresenting to USACE-GRD that he was a director of Danube Engineering and General Contracting. Case Closed.
QAH Mechanical and Electrical Works	Subcontractor, Baghdad, Iraq	Proposed for debarment, 3/8/2007; debarred, 6/27/2007	Allegations of Bribery, False Claims	Debarred based on affiliation with Mr. Abdullah H. Qussay. Case Closed.
Dan Trading and Contracting	Contractor, Kuwait	Proposed for debarment, 11/1/2005; debarred 1/12/2006	Allegations of Bribery and Illegal Gratuities	Debarred based on allegations of providing illegal gratuities to military personnel and overall lack of present responsibility. Exact date of Proposal for Debarment not known. Case Closed.
Amro Al Khadra	Manager and Director of Sales, Dan Trading	Proposed for debarment, 11/1/2005; debarred 1/12/2006	Allegations of Bribery and Illegal Gratuities	Debarred based on allegations of providing illegal gratuities to military personnel and overall lack of present responsibility. Exact date of Proposal for Debarment not known. Case Closed.
Wiesemann, Robert CW2, USA	Contracting Officer, Camp Arifjan, Kuwait	Proposed for debarment, 12/6/2005; debarred 3/6/2006	Allegations of Bribery and Illegal Gratuities	Debarred based on allegations of providing illegal gratuities to military personnel and overall lack of present responsibility. Exact date of Proposal for Debarment not known. Case Closed.
Name Withheld	Mayor, FOB Union III, Iraq, HUB, 2-77 FA	Proposed for debarment, 3/30/2007	Allegations of Bribery, Theft, Extortion	Allegedly accepted cash payments from contractors at FOB Union III in exchange for cash and personal items. Threatened to have contractors removed from FOB for failure to provide payments demanded. Proposal for debarment terminated. Case Closed.
Name Withheld	Provost, FOB Union III, Iraq, HHB, 2-77 FA	Proposed for debarment, 3/30/2007	Allegations of Bribery, Theft, Extortion	Allegedly accepted cash payments from contractors at FOB Union III in exchange for cash and personal items. Threatened to have contractors removed from FOB for failure to provide payments demanded. Proposal for debarment terminated. Case Closed.
Whiteford, Curtis G., COL (Retired) USA	Chief of Staff and Deputy Regional Advisor, CPA-SC, Al-Hillah, Iraq	Suspended, 3/30/2007	Allegations of Money Laundering; Bribery; Wrongful Conversion; Possession of Machine Gun; Non Registration of Weapon; Wire Fraud; Interstate Transport of Stolen Property; Conspiracy	Indicted 2/7/2007 in the U.S. District Court for the District of New Jersey. Awaiting final criminal judgment prior to debarment.
Pappen, Gheevarghese	Contracting Officer - ASG Kuwait	Suspended, 3/30/2006; proposed for debarment, 5/1/2007; debarred, 6/28/2007	Bribery of Government Official; Racketeering	Allegedly received approximately \$28,900 and attempted to receive an additional payment of approximately \$19,000 from a Kuwaiti national, for contracts to provide apartments in Kuwait City for Army personnel. Case Closed.
Seamans, Stephen Lowell	Employee, LOGCAP III Contractor	Suspended, 4/27/2006; proposed for debarment, 1/5/2007; debarred, 7/27/2007	Wire Fraud, Money Laundering	Sentenced 12/1/2006 to 12 months and 1 day confinement, 3 years supervised release, \$380,130 restitution, \$200 special assessment. Case Closed.
Name Withheld	Contractor, Camp Arifjan, KU	Proposed for debarment, 12/1/2006; proposed debarment terminated, 5/18/2007	Allegations of False Claims, False Statements	SDO determined that debarment was not appropriate based on lack of substantiation of allegations. Case Closed.
Green Valley Company	Contractor, Camp Arifjan, Kuwait	Proposed for debarment, 12/1/2006; debarred, 5/18/2007; proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of False Claims, False Statements	Fact-based debarment action based on substantiated allegations that contractor willfully caused the submission of false claims related to the removal of black/gray water from Camp Arifjan, Kuwait. Debarment for a period of 10 years ending 12/1/2019. Case closed.

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APPENDIX F

SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Name Withheld	Active Duty Army Officer	Suspended, 9/5/2006	Allegations of Offering To Disclose Contractor Bid, Proposal, and Source Selection Information and Soliciting Contractors for Illegal Gratuities	Arrested 8/18/2006. Died 9/5/2006. Suspension terminated. Case Closed.
Barnes, Thomas Nelson	Contractor Employee, MNF-I	Suspended, 11/4/2005; proposed for debarment, 11/16/2006; debarred, 1/24/2007	Theft	Pled guilty to one count of theft of public property for improperly issuing badges to contractor employees resulting in unauthorized access to the International Zone, Baghdad. Sentenced 5/11/2006 to 30 days home confinement, 1 year supervised probation, and \$25 special assessment. Debarred for 4 years. Case Closed.
Lee Dynamics International	MNSTC-I Contractor	Suspended, 7/9/2007; proposed for debarment, 7/1/2008; debarred, 6/17/2009	Allegations of Bribery of Government Official and Money Laundering	Fact-based proposal for debarment action based on information received from the International Contract Corruption Task Force and guilty plea of Col. Levonda Selph, USA. Alleged to have made payments to two contracting officers in exchange for the award of warehouse management contracts in support of the Iraqi police and armed forces. Debarred for a period of 10 years ending on 7/8/2017. Case Closed.
Lee Defense Services Corporation	Subcontractor and affiliated company, Lee Dynamics International	Suspended, 7/9/2007; proposed for debarment, 7/1/2008; debarred 6/17/2009	Allegations of Bribery of Government Official and Money Laundering	Fact-based proposal for debarment action based on information received from the International Contract Corruption Task Force, and guilty plea of Col. Levonda Selph, USA. Suspended as an affiliated company of Lee Dynamics International and Justin W. Lee. Debarred for a period of 10 years ending 7/8/2017. Case Closed.
Lee, George H.	Owner, Lee Dynamics International; CEO Starcon, Ltd., LLC	Suspended, 7/9/2007; proposed for debarment, 7/1/2008; debarred 6/17/2009	Allegations of Bribery of Government Official and Money Laundering	Fact-based proposal for debarment action based on information received from the International Contract Corruption Task Force and guilty plea of Col. Levonda Selph, USA. Debarred for a period of 10 years ending 7/8/2017. Case Closed.
Lee, Justin W.	Consultant and Former Marketing Manager, Lee Dynamics International	Suspended, 7/9/2007; proposed for debarment, 7/1/2008; debarred 6/17/2009	Allegations of Bribery of Government Official and Money Laundering	Fact-based proposal for debarment action based on information received from the International Contract Corruption Task Force, and guilty plea of Col. Levonda Selph, USA. Debarred for a period of 10 years ending 7/8/2017. Case Closed.
Lee, Oai	Agent, Lee Dynamics International	Suspended, 7/9/2007; proposed for debarment, 7/1/2008; debarred 6/17/2009	Allegations of Bribery of Government Official and Money Laundering	Fact-based proposal for debarment action based on information received from the International Contract Corruption Task Force, and guilty plea of Col. Levonda Selph, USA. Debarred for a period of 10 years ending 7/8/2017. Case Closed.
Selph, Levonda J., Colonel, USA (Ret)	Former Contracting Officer, MNSTC-I	Proposed for debarment, 7/1/2008; debarred, 6/17/2009	Allegations of Conspiracy and Bribery	Allegedly awarded contracts to Lee Dynamics International for warehouse services in Iraq in exchange for monetary payments. Debarred for a period of 9 years ending on 7/1/2017. Case Closed.
Starcon Ltd LLC	Contractor, Camp Arifjan, Kuwait	Proposed for debarment, 7/1/2008; debarred, 6/17/2009	Affiliate of George H. Lee	See entry for George H. Lee. Debarred for a period of 9 years ending on 7/1/2017. Case Closed.
Guyon, Stephen	Managing Partner, Starcon, Ltd LLC	Proposed for debarment, 7/1/2008	Affiliate of George H. Lee	See entry for George H. Lee.
Rivard, John Allen, MAJ, USAR	Contracting Officer, LSA Anaconda, Iraq	Suspended, 8/10/2007; proposed for debarment, 11/27/2007; debarred, 1/14/2008	Allegations of Conflicts of Interest, Bribery, Bid-rigging, and False Official Statements	Allegedly awarded \$8,000,000 in contracts for housing trailers at LSA Anaconda in return for gratuities from contractors. Case Closed.
Name Withheld	Former Finance Manager, Joint ASG, Baghdad, Iraq and President, RMI	Proposed for debarment, 9/10/2007	Allegations of Conflicts of Interest	Fact-based proposal for debarment resulting from allegations that post-government employment restrictions for federal employees may have been violated. On 1/31/2008, the Army Suspension and Debarment Official determined that these allegations were unfounded, and the suspension was terminated. Case Closed.

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SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Name Withheld	Contractor, JCC-I/A, Baghdad, Iraq	Proposed for debarment, 9/10/2007	Allegations of Conflicts of Interest	Fact-based proposal for debarment resulting from allegations that contractor received a government contract as a result of a violation of restrictions on post-government employment of federal employees. On 1/31/2008, the Army Suspension and Debarment Official determined that these allegations were unfounded, and the suspension was terminated. Case Closed.
Key, Austin, CPT, USA	COR, 10th Mountain Division, Victory Base Complex Regional Contracting Center, Iraq	Suspended, 8/27/2007	Allegations of Bribery	Allegedly requested a \$125,000 payment from a contractor in return for safeguarding the company's interests and a \$50,000 payment from an informant in exchange for a promise of procurement-sensitive information. Taken into custody in New York, NY, and currently awaiting trial.
Cockerham, John L., MAJ, USA	Former Contracting Officer, Camp Arifjan, Kuwait	Suspended, 8/15/2007	Allegations of Bribery, Conspiracy and Money Laundering	Indicted in the Western District of Texas on 7/27/2007 on allegations of bribery, money laundering, and wire fraud based on the award of multiple contracts for goods and services while deployed to Kuwait. Entered a plea of guilty to bribery, conspiracy, and money laundering on 1/31/2008. Plea unsealed on 6/24/2008.
Cockerham, Melissa	Spouse, MAJ John Cockerham	Suspended, 8/15/2007	Allegations of Conspiracy and Money Laundering	Indicted in the Western District of Texas on 7/27/2007 on charges of money laundering and wire fraud. Allegedly received and concealed payments from contractors in Kuwait made as a result of the fraudulent contracts awarded by MAJ Cockerham. Entered a plea of guilty to conspiracy, and money laundering on 1/31/2008. Plea unsealed on 6/24/2008.
Blake, Carolyn	Sister, MAJ John Cockerham	Suspended, 8/15/2007	Allegations of Wire Fraud and Money Laundering	Indicted in the Western District of Texas on 7/27/2007 on charges of money laundering and wire fraud. Allegedly received and concealed payments from contractors in Kuwait made as a result of the fraudulent contracts awarded by MAJ Cockerham. Currently awaiting trial.
Name Withheld	Contractor Employee, IZ Baghdad	Proposed for debarment, 9/14/2007; proposal for debarment terminated, 12/19/2007	Allegations of Violations of the Procurement Integrity Act	Accused of obtaining information in violation of the Procurement Integrity Act to influence the award of convoy-security delivery orders. Determined to be presently responsible by Suspension and Debarment Official as a result of materials submitted for consideration in writing and at an oral hearing. Case Closed.
Crenshaw, Joseph, CW2, USA	COR, 10th Mountain Division, Victory Base Complex Regional Contracting Center, Iraq	Suspended, 12/3/2007	Allegations of Larceny and Bribery	Allegedly received cash payments in return for escorting contractor fuel tankers into Camp Victory, Iraq, for the purpose of stealing the fuel.
Insaat, Yuksel	JCC-I/A Prime Contractor and LOGCAP III Subcontractor	Proposed for debarment, 11/8/2007; Administrative Compliance Agreement, 2/6/2008	Allegations of False Claims	Contractor for construction of a barracks in Taji, Iraq, valued at \$19,800,000. After contract was terminated, contractor was accused of submitting numerous claims to the government in the amount of \$2,598,941, of which it is alleged that \$2,497,440 were false. Proposed for debarment by USAREUR Suspension and Debarment Official. Administrative Compliance Agreement entered on 2/6/2008.
Peleti, Peleti "Pete," CWO, USA	HHC 25th ID, Camp Victory, Iraq	Suspended, 1/31/2007; proposed for debarment, 3/4/2008; debarred 6/15/2008	Allegations of Bribery and Illegal Gratuities	Allegedly received cash payments in exchange for the award of food service supply contracts to a Kuwaiti-based company. Case Closed.
Lane, Jesse D., Jr.	223rd Finance Detachment, CANG, Camp Victory, Iraq	Suspended, 12/29/2007; proposed for Debarment 9/30/2008; debarred 1/30/2009	Allegations of Wire Fraud and Money Laundering and Theft	While deployed to Iraq, allegedly used civilian employment at USPO, California, to use the identities of military personnel to receive unauthorized payments from DFAS. Debarred until 12/28/2012. Case closed.
Anjakos, Jennifer	223rd Finance Detachment, CANG, Camp Victory, Iraq	Suspended, 7/2/2007; proposed for Debarment 9/30/2008; debarred 1/30/2009	Allegations of Wire Fraud and Money Laundering and Theft	While deployed to Iraq, allegedly used civilian employment at USPO, California, to use the identities of military personnel to receive unauthorized payments from DFAS. Debarred until 9/10/2012. Case closed.
Chavez, Carlos L.	223rd Finance Detachment, CANG, Camp Victory, Iraq	Suspended, 7/2/2007; proposed for Debarment 9/30/2008; debarred 1/30/2009	Allegations of Wire Fraud and Money Laundering and Theft	While deployed to Iraq, allegedly used civilian employment at USPO, California, to use the identities of military personnel to receive unauthorized payments from DFAS. Debarred until 9/10/2012. Case closed.

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SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Hollier, Darryl	223rd Finance Detachment, CANG, Camp Victory, Iraq	Suspended, 7/2/2007; proposed for Debarment 9/30/2008; debarred 1/30/2009	Allegations of Wire Fraud and Money Laundering and Theft	While deployed to Iraq, allegedly used civilian employment at USPO, California, to use the identities of military personnel to receive unauthorized payments from DFAS. Debarred until 9/10/2012. Case closed.
Lopez, Luis A.	223rd Finance Detachment, CANG, Camp Victory, Iraq	Suspended, 7/2/2007; proposed for Debarment 9/30/2008; debarred 1/30/2009	Allegations of Wire Fraud and Money Laundering and Theft	While deployed to Iraq, allegedly used civilian employment at USPO, California, to use the identities of military personnel to receive unauthorized payments from DFAS. Debarred until 9/10/2012. Case closed.
Al Sawari General Trading and Contracting Company	Contractor, ASG Kuwait	Proposed for debarment, 1/18/2008; debarred, 3/13/2008	Allegations of Non-Performance of Contract Terms and Trafficking in Counterfeit Goods and Services	Attempted to deliver 571 counterfeit Nokia cell phones to ASG-KU as part of 3 separate contracts. Phones determined to be counterfeit after DD 250 issues but before issue to units and payment to contractor. Case Closed.
Martin, Anthony J.	Subcontract Manager, KBR, Kuwait	Suspended, 7/19/2007; proposed for debarment, 9/29/2008; debarred, 6/3/2009	Allegations of Bribery, Illegal Gratuities, and Receipt of Kickbacks	Allegedly awarded a subcontract to a Kuwaiti company after agreeing with its managing partner that he was going to receive \$50,240 for the award of the contract. Case Closed.
Smoot, Kevin Andre	Managing Director, Eagle Global Logistics Services	Suspended, 8/8/2007; proposed for debarment, 3/10/2008; debarred, 9/30/2008	Allegations of False Statements, False Claims	Allegedly falsely stated that \$1,141,097 in war risk surcharges billed by Eagle Global Logistics to KBR as part of a subcontract were legitimate. Case Closed.
Hall, Terry	Owner/Manager, Freedom Consulting and Catering, U.S. Eagles Services and Total Government Allegiance	Suspended, 3/7/2008	Allegations of Bribery in Obtaining a Government Contract	Indicted on 11/20/2007 in the U.S. District Court for the District of Columbia on one count of Bribery, in violation of 18 U.S.C. § 201(b)(1)(A), as a result of alleged participation in a scheme to fraudulently award U.S. government contracts to supply bottled water and other supplies and services to the U.S. military in Iraq and Kuwait.
Freedom Consulting and Catering Company WLL	Contractor, ACA, Camp Arifjan, Kuwait	Suspended, 3/7/2008		Affiliate and Imputee of Terry Hall.
U.S. Eagles Services Corporation	Contractor, ACA, Camp Arifjan, Kuwait	Suspended, 3/7/2008		Affiliate and Imputee of Terry Hall.
Total Government Allegiance	Contractor, ACA, Camp Arifjan, Kuwait	Suspended, 3/7/2008		Affiliate and Imputee of Terry Hall.
Bluebridge International Trading and Contracting Company, WLL	Contractor, ACA, Camp Arifjan, Kuwait	Suspended, 3/7/2008		Affiliate and Imputee of Terry Hall, U.S. Eagles Services Corporation, Freedom Consulting and Catering, WLL, and Total Government Allegiance.
Finbar, J. Charles	Owner/manager, Freedom Consulting and Catering Company WLL, U.S. Eagles Services Corporation, Total Government Allegiance, Bluebridge International General Trading and Contracting Company, WLL and Trimedpro Project Management	Suspended, 3/7/2008		Affiliate and Imputee of Terry Hall.

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SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Trimedpro Project Management	Contractor, ACA, Camp Arifjan, Kuwait	Suspended, 3/7/2008		Affiliate of Freedom Consulting and Catering Company WLL, U.S. Eagles Services Corporation, Total Government Allegiance, Bluebridge International General Trading and Contracting Company, WLL, and Trimedpro Project Management.
Ellis, Dorothy	Contractor Employee, U.S. Eagles Services	Suspended, 3/7/2008		Affiliate of U.S. Eagles Services.
Bruce, Richard	Contractor Employee, Total Government Allegiance	Suspended, 3/7/2008		Affiliate of Total Government Allegiance.
Charles, Maria Rosario	Contractor Employee, U.S. Eagles Services	Suspended, 3/7/2008		Affiliate of U.S. Eagles Services.
Pressley, Eddie	Contractor Employee, U.S. Eagles Services	Suspended, 3/7/2008		Affiliate of U.S. Eagles Services.
Gayfield, Tina	Contractor Employee, Total Government Allegiance	Suspended, 3/7/2008		Affiliate of Total Government Allegiance.
Naji, Monther "Mike" Majeed	Sales Manager, Phoenix Construction, Camp Liberty, Iraq	Suspended, 3/13/2008	Allegations of False Statements	Allegedly submitted fraudulent applications for Common Access Cards, in violation of 18 U.S.C. § 1001(a)(3), False Statement.
Al Jabawi, Harith "Harry" Naji	Regional Coordinator, Joshua Construction, Camp Liberty, Iraq	Suspended, 3/13/2008	Allegations of False Statements	Allegedly submitted fraudulent applications for Common Access Cards, in violation of 18 U.S.C. § 1001(a)(3), False Statement.
Jabak, Liberty A.	Spouse of Ali N. Jabak	Suspended 5/23/2008; Suspension terminated 12/11/2008; proposed for debarment 7/16/2009; debarred 9/30/2009		Alleged to have fraudulently claimed a payment of \$595,000 for work completed by another contractor. Found not guilty at trial. Debarred until 7/15/2014 as an affiliate and imputee of Ali N. Jabak
Liberty's Construction Company	Former contractor, Camp Victory, Iraq	Suspended 5/23/2008; Suspension terminated 12/11/2008; proposed for debarment 7/16/2009; debarred 9/30/2009		Alleged to have fraudulently claimed a payment of \$595,000 for work completed by another contractor. Found not guilty at trial. Debarred until 7/15/2014 as an affiliate and imputee of Ali N. Jabak
Taresh, Tharwat	Employee, Dourat Al-Arab and Dourat Al-Arab, Baghdad, Iraq	Proposed for debarment 7/16/2009; debarred 9/30/2009	False statements	Made multiple false and misleading statements to Government investigators regarding his relationship with Ali N. Jabak, the ownership of Courat Al-Arab. Debarred until 7/15/2014.
Al-Arab, Babwat Dourat	Contractor, JCC-I/A, Baghdad, Iraq	Proposed for debarment 7/16/2009; debarred 9/30/2009		Debarred until 7/15/2014 as an affiliate and imputee of Ali N. Jabak and Tharwat Taresh.
Al-Arab, Dourat	Contractor, JCC-I/A, Baghdad, Iraq	Proposed for debarment 7/16/2009; debarred 9/30/2009		Debarred until 7/15/2014 as an affiliate and imputee of Ali N. Jabak and Tharwat Taresh.
Yehia, Hussein Ali	Corporate Officer, Dourat Al-Arab, Beirut Lebanon	Proposed for debarment 7/16/2009; debarred 9/30/2009		Debarred until 7/15/2014 as an affiliate and imputee of Ali N. Jabak.

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SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Issa, Amina Ali	Corporate Officer, Dourat Al-Arab, Beirut Lebanon	Proposed for debarment 7/16/2009; debarred 9/30/2009		Debarred until 7/15/2014 as an affiliate and imputee of Ali N. Jabak.
Yehia, Adel Ali	Corporate Officer, Dourat Al-Arab, Beirut Lebanon	Proposed for debarment 7/16/2009; debarred 9/30/2009		Debarred 7/15/2014 as an affiliate and imputee of Ali N. Jabak.
Phoenix Construction	Contractor, VBC RCC, Iraq	Suspended, 3/13/2008		Affiliate and Imputee of Naji.
Joshua Construction	Contractor, VBC RCC, Iraq	Suspended, 3/13/2008		Affiliate and Imputee of Naji.
Jabak, Ali N.	Former Contractor (Interpreter), Baghdad, Iraq	Suspended 5/23/2008; Suspension terminated 12/11/2008; proposed for debarment 7/16/2009; debarred 9/30/2009	False statements and conflicts of interest	Alleged to have fraudulently claimed a payment of \$595,000 for work completed by another contractor. Found not guilty at trial. Debarred until 7/15/2014 based on a finding that he made multiple statements to conceal his ownership of Dourat Al-Arab from contracting officers to prevent disclosure of conflicts of interest resulting from his employment as a translator at the Camp Victory Contracting Center, Camp Victory, Iraq.
Newcon International Ltd.	NVG Supplier, TACOM Contract for Iraqi Army Battalion Equipment Sets	Suspended, 6/9/2008	Allegations of Wire Fraud, Conspiracy and Money Laundering	Allegedly attempted to obtain a TACOM subcontract for Night Vision Goggles for the Iraqi Armed Forces by making payments to another NVG supplier to halt deliveries, resulting in Newcon becoming the default supplier. Company does business as "Newcon Optik."
Beker, Mendel	President, Newcon International	Suspended, 6/9/2008	Allegations of Wire Fraud, Conspiracy and Money Laundering	Allegedly attempted to obtain a TACOM subcontract for Night Vision Goggles for the Iraqi Armed Forces by making payments to another NVG supplier to halt deliveries, resulting in Newcon becoming the default supplier. Also known as "Mikhail Lvovich" and "Michael Beker."
Prilik, Arie	Vice President, Newcon International	Suspended, 6/9/2008	Allegations of Wire Fraud, Conspiracy and Money Laundering	Allegedly attempted to obtain a TACOM subcontract for Night Vision Goggles for the Iraqi Armed Forces by making payments to another NVG supplier to halt deliveries, resulting in Newcon becoming the default supplier.
Name Withheld	Employee, Newcon International	Suspended, 6/9/2008; suspension terminated 8/21/2008.	Affiliate of Newcon International	See entry for Newcon International.
Stins Coman International	Minority owner, Newcon International, LTD	Suspended, 6/9/2008	Affiliate of Newcon International	See entry for Newcon International.
Raman International, Inc.	Contractor, Victory Base Complex RCC, Iraq	Suspended, 6/14/2008	Allegations of Conspiracy to Commit Bribery	Allegedly provided between \$40,000 and \$370,000 in payments and a Harley Davidson Motorcycle to an Army Contracting Officer in exchange for the award of bottled water contracts in Iraq and Kuwait. Indicted 1/23/2008 in the Western District of Oklahoma.
Chidiac, Elie Samir	Iraq Country Manager, Raman International, Inc.	Suspended, 6/14/2008	Allegations of Conspiracy to Commit Bribery	Allegedly provided between \$40,000 and \$370,000 in payments and a Harley Davidson Motorcycle to an Army Contracting Officer in exchange for the award of bottled water contracts in Iraq and Kuwait. Indicted 1/23/2008 in the Western District of Oklahoma.
Atallah, Mike	CEO, Raman International, Inc.	Suspended, 6/14/2008	Allegations of Conspiracy to Commit Bribery (Accessory)	Affiliate and Imputee of Raman International and Elie Chidiac.
Atallah, Marta	Treasurer, Raman International, Inc.	Suspended, 6/14/2008	Allegations of Conspiracy to Commit Bribery (Accessory)	Affiliate and Imputee of Raman International and Elie Chidiac.
Atilan, Metin	Owner, PMA Services Supply and Construction	Suspended, 6/23/2008	Allegations of Conspiracy to Commit Contract Fraud, Wire Fraud	Allegedly offered to pay a contracting officer for the award of contracts to PMA Services Supply and Construction for the supply of residential trailers. Arrested 6/08/2008 in Las Vegas and released on bail. Fugitive as of 6/12/2008.

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SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
PMA Services Supply and Construction LLC	Construction Equipment Supplier, MNF-I	Suspended, 6/23/2008	Allegations of Conspiracy to Commit Contract Fraud, Wire Fraud	See entry for Metin Atilan.
Kayteks General Services Co.	Turkish Subsidiary, PMA Services Supply and Construction	Suspended, 6/23/2008	Allegations of Conspiracy to Commit Contract Fraud, Wire Fraud	See entry for Metin Atilan.
Williams, Theodore Q.	Vice President, PMA Services Supply and Construction	Suspended, 6/23/2008	Allegations of Conspiracy to Commit Contract Fraud, Wire Fraud	See entry for Metin Atilan. Allegedly assisted in delivering payments as part of scheme to fraudulently obtain contracts for residential trailer supply.
Kacar, Ozgen	Affiliate, Metin Atilan	Suspended, 6/23/2008	Allegations of Conspiracy to Commit Contract Fraud, Wire Fraud	See entry for Metin Atilan. Allegedly assisted in delivering payments as part of scheme to fraudulently obtain contracts for residential trailer supply.
Kacar, Mezin	Affiliate, Metin Atilan	Suspended, 6/23/2008	Allegations of Conspiracy to Commit Contract Fraud, Wire Fraud	See entry for Metin Atilan. Allegedly assisted in delivering payments as part of scheme to fraudulently obtain contracts for residential trailer supply.
Atilan, Ayfer	Manager and Part-owner, PMA Services Supply and Construction	Suspended, 6/23/2008	Allegations of Conspiracy to Commit Contract Fraud, Wire Fraud	See entry for Metin Atilan.
Kahn, Mohammad Shabbir	Employee - Tamimi Global Logistics, Kuwait	Suspended, 4/27/2006; proposed for debarment, 9/15/2006; debarred, 10/10/2007	Allegations of Wire Fraud, Conspiracy, Money Laundering and False Statements	Pled guilty to 12 counts of wire fraud, 1 count of conspiracy to launder money, and 1 count of making a false statement. Sentenced to 51 months confinement, 2 years supervised release, ordered to pay an assessment of \$1,400, a fine of \$10,000, and restitution in the amount of \$133,860 payable to U.S. Army Operations Support Command. Case Closed.
Momon, James, Jr., Major, USA	Former Contracting Officer, Camp Arifjan, Kuwait	Suspended, 8/21/2008	Allegations of Conspiracy and Bribery	Allegedly received payments for the award of contracts from Kuwaiti contractors. Currently awaiting trial.
Omega Construction and Support Services	Contractor, ACA, Camp Arifjan, Kuwait	Suspended, 8/21/2008	See entry for MAJ James Momon	Company established by MAJ James Momon to facilitate the receipt of kickbacks associated with the award of contracts to Kuwaiti contractors.
Allied Arms Company, Ltd.	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008	Allegations of bribery in obtaining a Government contract.	Proposed for debarment on 7/23/2008 based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Response to proposed debarment pending.
Jireh Springs General Trading and Contracting Establishment	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008	Allegations of bribery in obtaining a Government contract.	Proposed for debarment on 7/23/2008 based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Response to proposed debarment pending.
Zenith Enterprises Ltd.	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	d/b/a "Zenith for General Trading and Contracting" and "Zenith Enterprises, Ltd.;" Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Case Closed.
Trans Orient General Trading	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Case Closed.
Fawzi, Shahir	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008	Allegations of bribery in obtaining a Government contract.	a.k.a. "Shaher Nabih Fawzi Audah;" Proposed for debarment on 7/23/2008 based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Response to proposed debarment pending.

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APPENDIX F

SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Al-Ajmi, Falah	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Case Closed.
Gopal, K. V.	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Case Closed.
Nair, Vasantha	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Case Closed.
Defense Consulting and Contracting Group, L.L.C.	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008	Allegations of bribery in obtaining a Government contract.	Proposed for debarment on 7/23/2008 based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Response to proposed debarment. Submitted and under review.
Allied Arms Company, W.L.L.	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008	Allegations of bribery in obtaining a Government contract.	Proposed for debarment on 7/23/2008 based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Response to proposed debarment. Submitted and under review.
Jireh Ventures USA	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008	Allegations of bribery in obtaining a Government contract.	Company established by MAJ Cockerham to facilitate the receipt of kickbacks associated with the award of contracts to Kuwaiti contractors. Response to proposed debarment. Submitted and under review.
D and J Trading Company	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred 5/14/2009	Allegations of bribery in obtaining a Government contract.	Company established by MAJ Cockerham to facilitate the receipt of kickbacks associated with the award of contracts to Kuwaiti contractors. Debarred for a period of 10 years ending 7/23/2018. Case Closed.
First AIM Trading and Contracting	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Debarred for a period of 10 years ending 7/22/2018. Case Closed.
Future AIM United	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Debarred for a period of 10 years ending 7/22/2018. Case Closed.
Dewa Projects (Private) Ltd.	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Debarred for a period of 10 years ending 7/22/2018. Case Closed.
Al Ghannom and Nair General Trading Company	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Debarred for a period of 10 years ending 7/22/2018. Case Closed.
Dewa Trading Establishment	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Debarred for a period of 10 years ending 7/22/2018. Case Closed.
Dewa Europe	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Debarred for a period of 10 years ending 7/22/2018. Case Closed.
Triad United Technologies, L.L.C.	Contractor, ACA, Camp Arifjan, Kuwait	Proposed for debarment, 7/23/2008; debarred, 9/17/2008	Allegations of bribery in obtaining a Government contract.	Debarred for a period of 10 years based on allegations of payments made to MAJ John Cockerham in exchange for bottled water BPA calls. Debarred for a period of 10 years ending 7/22/2018. Case Closed.
Lanmon, Cedar J. CPT, USA	Former COR, LSA Anaconda, assigned to I Corps and Ft. Lewis, WA	Suspended, 6/17/2008; proposed for debarment, 4/9/2009; debarred, 6/3/2009	Allegations of Bribery	Allegedly facilitated the award of contracts to a contractor in exchange for 10% of contract awards valued at \$250,000 during 2 deployments. Also allegedly provided short-term loans to other contractors he was responsible for overseeing. Debarred for a period of 6 years ending 6/16/2014. Case Closed.

Continued on next page

SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Dubois, Lee W.	Former Contractor, Camp Victory, Baghdad, Iraq	Suspended, 9/23/2008	Allegations of False Statement and Theft	Alleged to have participated in a scheme to steal jet fuel and diesel fuel from the Camp Victory Bulk Fuel Storage Facility using fraudulent identification cards and authorization letters.
Jeffrey, Robert	Former Contractor, Camp Victory, Baghdad, Iraq	Suspended, 9/23/2008	Allegations of False Statement and Theft	Alleged to have participated in a scheme to steal jet fuel and diesel fuel from the Camp Victory Bulk Fuel Storage Facility using fraudulent identification cards and authorization letters.
Shrewtha, Bal Ram	Former Contractor, Camp Victory, Baghdad, Iraq	Suspended, 9/23/2008	Allegations of False Statement and Theft	Alleged to have participated in a scheme to steal jet fuel and diesel fuel from the Camp Victory Bulk Fuel Storage Facility using fraudulent identification cards and authorization letters.
Maalouf, Elias	Former Contractor, Camp Victory, Baghdad, Iraq	Suspended, 9/23/2008	Allegations of False Statement and Theft	Alleged to have participated in a scheme to steal jet fuel and diesel fuel from the Camp Victory Bulk Fuel Storage Facility using fraudulent identification cards and authorization letters.
Young, Robert	Former Contractor, Camp Victory, Baghdad, Iraq	Suspended, 9/23/2008	Allegations of False Statement and Theft	Alleged to have participated in a scheme to steal jet fuel and diesel fuel from the Camp Victory Bulk Fuel Storage Facility using fraudulent identification cards and authorization letters.
Davis, Kevin Arthis, COL, USA (Ret.)	Former Chief, Technical Evaluation Team, CPA Office of Security Cooperation	Proposed for debarment 11/25/2008; debarred 8/20/2009	Allegations of Bribery and Bid-rigging	Provided improper assistance to Lee Dynamics International and other contractors in the award of warehouse contracts by the CPA. Debarred until 11/24/2017. Case closed.
Krage, Gerald Thomas, SGM, USAR	Task Force Dragon, MNC-I, Camp Victory	Proposed for debarment 3/19/2009; debarred 9/4/2009	Failure to obey a lawful general order and false official statement	On multiple occasions between January and October 2008, Krage attempted to use his official position to obtain Government contracts for Alrafidane by making false representations to contracting officers, inserting requirements for Alrafidane products into contract specifications and providing information to Alrafidane to assist in the preparation statements of work for MNF-I projects. Debarred until 3/18/2012. Case closed.
Castro, Andrew John, SSG, USAR	President, Alrafidane, LLC	Proposed for debarment 3/19/2009; debarred 9/4/2009		Affiliate and imputee of Gerald Krage. Debarred until 3/18/2012. Case closed.
Alrafidane, LLC	Water purification system manufacturer, Lagrangeville, NY	Proposed for debarment 3/19/2009; debarred 9/4/2009		Affiliate and imputee of Gerald Krage. Debarred until 3/18/2012. Case closed.
Alama, Nazar Abd	Director, San Juan Co.	Proposed for debarment, 4/9/2009; debarred, 7/1/2009	Allegations of attempted bribery	Allegedly solicited a JCC-I/A contracting officer to award contracts to San Juan Co., in exchange for a \$250,000 cash payment. Debarred for a period of 5 years ending on 4/8/2014. Case Closed.
San Juan Co.	Construction materials supplier and general support contractor, Baghdad, Iraq	Proposed for debarment, 4/9/2009; debarred, 7/1/2009		Affiliate of Nazar Abd Alama. Debarred for a period of 5 years ending on 4/8/2014. Case Closed.
Mississippi Company for the General Contract	Construction materials supplier and general support contractor, Baghdad, Iraq	Proposed for debarment, 4/9/2009; debarred, 7/1/2009		Affiliate of Nazar Abd Alama. Debarred for a period of 5 years ending on 4/8/2014. Case Closed.
Murray, Christopher H., MAJ, USA (Ret.)	Contracting Officer, Camp Arifjan, Kuwait	Suspended, 1/19/2009	Allegations of Bribery and Bid-rigging	Allegedly received cash payments totaling \$225,000 from three contractors providing goods and services to camp Arifjan.

Continued on next page

APPENDIX F

SUSPENSIONS AND DEBARMENTS (ARMY)

NAME	POSITION	SUSPENSION AND DEBARMENT ACTION TAKEN	REASON FOR ACTION	CASE STATUS
Nguyen, Michael Dung, CPT, USA	Civil Affairs Officer, 4th Stryker Brigade Combat Team, 2nd Battalion, 23rd Infantry	Suspended, 3/13/2009	Allegations of Theft	Allegedly diverted approximately \$690,000 in CERP funds to his personal use during the deployment to Iraq. Awaiting trial.
Fankhauser, Jacqueline S.	Family member, JCC-I/A Contracting Officer	Proposed for debarment 4/17/2009; debarred 8/7/2009	Receipt of Stolen Property	Received cash payments and personnel property valued at approximately \$370,000 from a contractor on behalf of a deployed family member as part of a scheme to fraudulently award multiple Government contracts. Sentenced on 2/19/2009 to 1 year probation, 180 days home confinement, 104 hours of community service, a \$10,000 fine, and \$100 special assessment.
Westley, George Elbert III, CPT, USA	Logistics Officer, 413th Military Transition Team, Forward Operating Base Paliwoda, Iraq	Suspended 6/17/2009; Proposed for debarment 9/4/2009	Allegations of Conspiracy to Steal Government Property	Allegedly sold 1 bus, 8 trucks, 19 generators, 5 trailers, and other miscellaneous items from Joint Base Balad DRMO lot to local Iraqis in exchange for cash payments. Entered guilty plea on 3/13/2009 and was sentenced to two years probation and joint restitution of \$52,288 on 6/22/2009.
Greene, Roy Jr., SFC, USA	Logistics NCOIC, 413th Military Transition Team, Forward Operating Base Paliwoda, Iraq	Suspended 6/17/2009; Proposed for debarment 9/4/2009	Allegations of Conspiracy to Steal Government Property	Allegedly sold 1 bus, 8 trucks, 19 generators, 5 trailers, and other miscellaneous items from Joint Base Balad DRMO lot to local Iraqis in exchange for cash payments. Entered guilty plea on 3/13/2009 and was sentenced to three years probation and joint restitution of \$52,288 on 6/22/2009.
Webb, Ofelia, CSM, USA	HHC 94th BSB, Camp Rustamiyah, Iraq	Proposed for debarment 8/7/2009	Allegations of extortion, receipt of Kickbacks	Allegedly engaged in a scheme to extort kickbacks from contractors on FOB Rustamiyah, Iraq
Faust, Patrick Arness, 1SG, USA	B CO 94th BSB, Camp Rustamiyah, Iraq	Proposed for debarment 8/7/2009	Allegations of extortion, receipt of Kickbacks	Allegedly engaged in a scheme to extort kickbacks from contractors on FOB Rustamiyah, Iraq

TABLE H.2
SUSPENSIONS AND DEBARMENTS (AIR FORCE AND NAVY)

NAME	POSITION	ACTION TAKEN
AIR FORCE		
Custer Battles	Custer Battles, LLC	Debarred, 03/17/2006
Dayekh, Ahmed Hassan	Al Riyadh Laboratories and Electricity Company	Debarred, 09/26/2006 – 09/28/2009
Al Riyadh Laboratories and Electricity Company		Debarred, 1/26/2007
Grove, Robert, COL, USA (Ret)	Contractor	Debarred, 10/30/2007
Mahmoud, Samir	Western Solutions	Debarred, 11/29/2007
Remington, Sherrie Lynn, CPT		Proposed for debarment, 01/25/2008
Ramirez, David Ricardo	Contractor	Suspended, 03/27/2009
Grove, Robert Jr., Al-Sishra Group	Contractor	Proposed for debarment 4/14/2009, proposed debarment terminated 4/30/2009
Thompson, Jeff	Contractor	Suspended 8/31/2009
NAVY		
Medina, Gloria		Proposed for debarment, 4/11/2008
Walton, Terrance O.	MSGT, USMC	Suspended 7/15/2009

DETAILED SUMMARY OF OTHER AGENCY OVERSIGHT

This appendix provides summaries of the audits listed in Section 5. All information provided is current, as of September 30, 2009.

Department of Defense Office of Inspector General

The Department of Defense continues to face many challenges in executing its Overseas Contingency Operations (OCO). The Department of Defense Office of Inspector General (DoD OIG) has identified priorities based on those challenges and has responded by expanding coverage of OCO operations and its presence in Southwest Asia (SWA). As the Department continues its OCO, such as Operations Iraqi Freedom and Enduring Freedom, DoD OIG will stay focused on issues important to accomplish the mission and ensure that the Department makes efficient use of its resources to support the warfighter.

In May 2009, DoD OIG, on behalf of the member DoD and federal oversight agencies, issued an update to the statutorily required Comprehensive Oversight Plan for Southwest Asia, including the additional FY 2009 statutorily required oversight. The Comprehensive Oversight Plan for Southwest Asia includes the individual oversight plans of the Inspectors General for DoD, Department of State, and the U.S. Agency for International Development; the Special Inspector General for Iraq Reconstruction; and the Special Inspector General for Afghanistan Reconstruction. It also includes the oversight work of the Army Audit Agency, Naval Audit Service, Air Force Audit Agency, and Defense Contract Audit Agency because of the major contributions they make to improve the efficiency and effectiveness of support to the military.

The comprehensive plan was expanded beyond the statutorily required mandates to include other functional areas that DoD OIG believes are germane to supporting Operations Enduring Freedom and Iraqi Freedom, as well as the Overseas Contingency Operations—such as contract administration and management, reset of equipment, financial management, and reconstruction support effort. In addition, update issued in May 2009 includes the request of the Commander, U.S. Central Command, for DoD OIG and the Service Audit Agencies to review asset accountability within Southwest Asia.

DoD OIG led Southwest Asia Joint Planning Group coordinates and deconflicts federal and DoD OCO-related oversight activities. The Group held its tenth meeting in August 2009.

During FY 2009, DoD OIG expanded its SWA presence, deploying additional auditors and investigators to Iraq and Afghanistan. The additional staff support the increased oversight workload required by statutory requirements, congressional requests, senior DoD and military officials requests, and as DoD OIG determines based on high risks and challenges in the operations. DoD OIG's field offices in Qatar, Iraq, Kuwait, and Afghanistan enhance DoD OIG's ability to provide audit, inspection, and investigative support to DoD operations in support to OCO.

Defense Criminal Investigative Service

The DCIS continues to conduct criminal investigations in support of DoD GWOT efforts through investigative resources in Southwest Asia; Wiesbaden, Germany; and continental United States task force investigations focusing on public corruption and fraud in the Southwest Asia Theater. In conjunction with the DoD OIG's renewed

TABLE G-1
DCIS INVESTIGATIONS

INVESTIGATIVE STATUS	PROCUREMENT FRAUD	PUBLIC CORRUPTION	THEFT/TECH PROTECT/OTHER	TOTAL
Open	10	16	5	31
Closed	1	2	0	3
Totals	11	18	5	34

emphasis upon oversight of spending related to Iraqi development, DCIS has continued to deploy special agents on a rotational basis to Iraq, Kuwait, and Afghanistan to conduct criminal investigations in support of DoD operations. Six month rotational details to Iraq and Kuwait commenced in September 2006. Additionally, six month rotations to Afghanistan commenced in 2008. Since October 2009, DCIS has deployed 8 special agents and one administrative personnel to Iraq, 2 special agents to Kuwait, and 6 special agents to Afghanistan

Open Cases

DCIS currently has 31 open investigations being worked jointly with SIGIR, including two projects. No case was closed during this period.

Completed Audits/Reviews

Medical Equipment Used to Support Operations in Southwest Asia

(D-2009-113, ISSUED SEPTEMBER 30, 2009)

The theater lead agent for medical logistics supports military treatment facilities and medical logistics activities; however, the lead agent cannot effectively perform life-cycle management because it does not have visibility or oversight of all theater-wide medical equipment and repair part procurements. The theater lead agent, Army, Marine Corps military treatment facilities, and logistics activities do not use a standard data system for tracking medical equipment procurements, inventory, and maintenance information. DoD OIG identified an internal control weakness in the recording and reporting of medical equipment

procurement, accountability, and maintenance transactions. Several management initiatives should improve the medical equipment accountability and procurement process.

Internal Controls Over Naval Special Warfare Command Comptroller Operations in Support of Contingency Operations

(D-2009-118, ISSUED SEPTEMBER 29, 2009)

The Command components had internal controls in place to provide justification for obligating and expending Global War on Terror (GWOT) funds for all 45 line items tested. The components also accounted for all 14 line items tested for existence. However, the Command did not implement adequate internal controls. DoD OIG identified the following internal control weaknesses in the reporting of obligations and expenditures in the FY 2008 GWOT Report. Components did not:

- report obligations and expenditures under the correct operation (3 of 45 line items) or cost code (6 of 45 line items)
- accurately report obligations and expenditures (7 of 45 line items)
- capture actual expenditures monthly (6 of 45 line items)
- provide supporting documentation for obligations and expenditures (6 of 45 line items)

Controls Over Air Combat Command and Pacific Air Forces Unliquidated Obligations from Department of the Air Force Contracts Supporting Contingency Operations

(D-2009-117, ISSUED SEPTEMBER 29, 2009)
 Defense Finance and Accounting Service (DFAS) Limestone and Japan incorrectly coded 30 of 115 unliquidated obligations (ULOs) as “valid.” DFAS Limestone and Japan did not adequately review the period of performance and did not coordinate with contracting officers or fundholders to resolve the cause of the unliquidated balances. As a result, the Air Force needs to deobligate approximately \$1.7 million. Since the audit began, the Air Force has deobligated over \$524,000. The Office of the Assistant Secretary of the Air Force for Financial Management and Comptroller (SAF/FM) failed to identify the fundholders that did not validate all ULOs during the FY 2009 first period tri-annual review. It did not support its confirmation statement either with the progress and management reports or with the subordinate commands’ review. As a result, the Air Force is at an increased risk of losing funds that are not deobligated in a timely manner. DFAS and Air Force internal controls were not effective. DFAS Limestone and Japan did not provide adequate oversight over the validation of ULOs. In addition, the SAF/FM did not have adequate controls over the preparation of the Air Force confirmation statements.

Summary of Information Operations Contracts in Iraq

(D-2009-115, ISSUED SEPTEMBER 29, 2009)
 As requested by the Commander, U.S. Central Command, this report identifies and summarizes IO contracts in Iraq from FY 2006 through FY 2008. U.S. Central Command provided us with copies of the IO contracts, which DoD OIG examined to identify award value and any contract modifications. This report provides a summary of the contract data and does not attest to the validity or completeness of the data.

Transition Planning for the Logistics Civil Augmentation Program IV Contract

(D-2009-114, ISSUED SEPTEMBER 25, 2009)
 Although the Logistics Civil Augmentation Program (LOGCAP) office planned for the transfer of 11 task orders, which accounted for \$5 billion of \$31 billion spent on the LOGCAP III contract, to new performance contractors, planning efforts still needed improvement. DoD OIG identified internal control weaknesses in planning, controlling costs, and overseeing property and contractors. The LOGCAP office did not validate that all organizations involved in the transfer process had the most up-to-date version of the transition plan. Despite spending \$31 billion on LOGCAP III work, the LOGCAP procuring contracting officer did not develop a standard performance work statement or identify goods and services that could be acquired under firm-fixed-price task orders, both of which would have reduced costs to the Army. The LOGCAP office did not properly oversee \$4 billion in LOGCAP property and has no assurance that all government property will transfer to new performance contractors. The quality assurance representative and contracting officer’s representatives in Kuwait did not evaluate contractor performance on a consistent and routine basis.

Deferred Maintenance on the Air Force C-130 Aircraft

(D-2009-112, ISSUED SEPTEMBER 25, 2009)
 Air Force Materiel Command (AFMC) did not have an adequate internal control process for managing deferred C-130 aircraft Programmed Depot Maintenance (PDM). Specifically, AFMC did not ensure that: PDM was performed by the scheduled date, C-130 aircraft obtained and retained the required PDM extensions, and a reliable process was in place for reviewing PDM extensions. In addition, partially deferred maintenance was not accurately recorded and reported. AFMC process for deferring PDM was inadequate because AFMC either did not have procedures or did not follow

established procedures to comply with federal and DoD regulations and Air Force instructions, including Air Force Technical Orders 00-25-107 and 00-25-4. As a result, the Air Force may have flown 36 C-130 aircraft that were considered unsafe, and 11 of these may have flown over the course of 365 days or more.

For FYs 2005-2007, the Air Force reported approximately \$135.45 million in C-130 aircraft deferred maintenance. However, that amount was unreliable because the Air Force did not validate its maintenance requirements at the end of the fiscal year. Thus, the Air Force did not comply with established guidance. As a result, the Air Force inaccurately reported deferred maintenance for the C-130 aircraft in the Required Supplementary Information of the FYs 2005-2007 financial statements. Implementing these recommendations would help resolve the internal control weaknesses in the AFMC process for deferring C-130 aircraft PDM and in accurately reporting the amount of deferred maintenance in the financial statements.

Contracts Supporting the DoD Counter Narcoterrorism Technology Program Office

(D-2009-109, ISSUED SEPTEMBER 25, 2009)

The Counter Narcoterrorism Technology Program Office (CNTPO) internal controls were not adequate. DoD OIG identified weaknesses in the management, surveillance, and billing processes of the contracting officials and the CNTPO. U.S. Army Space and Missile Defense Command/Army Forces Strategic Command (SMDC) contracting officials and contracting officer's representatives (CORs) did not perform proper contract management for the 35 task orders reviewed valued at \$98.8 million, which could waste \$439,000 on fees to acquire commercial items. SMDC contracting officials and CORs did not develop surveillance plans, use receiving reports to formally accept goods and services, or review expenses charged by contractors. DoD officials did not ensure that the contractors were entitled to the \$47.9 million paid

on the CNTPO indefinite-delivery, indefinite-quality task orders. CNTPO officials had four potential Purpose Statute violations, which could result in potential Antideficiency Act violations amounting to approximately \$20.5 million.

U.S. Air Forces Central War Reserve Materiel Contract

(D-2009-108, ISSUED SEPTEMBER 23, 2009)

U.S. Air Forces Central officials did not effectively manage or administer the war reserve materiel contract in accordance with federal or DoD policies or provide sufficient oversight of contract administration actions and decisions. The contracting officer:

- could not provide basic, general information and documentation on the contract
- executed 75 of the 120 contract modifications that did not completely define or specify the work to be accomplished by DynCorp
- did not effectively monitor or track \$161.1 million in costs incurred on the contract and frequently failed to document key decisions
- inappropriately authorized \$893,160 in award fees after actual costs were determined, resulting in a prohibited cost-plus-a-percentage-of-cost system of contracting
- improperly obligated \$6 million and as much as \$56 million in Operations and Maintenance funds for minor military construction projects

DoD OIG identified potential monetary benefits totaling approximately \$273,000 because contracting personnel did not adequately oversee the sale of government property. As a result of issues raised during the audit, Air Force officials revoked the contracting officer's warrant in September 2008.

Investigation of Allegations of the Use of Mind-Altering Drugs to Facilitate Interrogations of Detainees

(09-INTEL-13, ISSUED SEPTEMBER 23, 2009)

This investigation responded to a request from members of the U.S. Senate. The objective of this investigation was to determine the facts surrounding reports that detainees and prisoners captured in Southwest Asia may have been administered mind-altering drugs to facilitate interrogations. Allegations made by or on behalf of present and former detainees that they had been administered mind-altering drugs for interrogation purposes while at DoD interrogation facilities were not substantiated.

Price Reasonableness Determinations for Contracts Awarded by the U.S. Special Operations Command

(D-2009-102, ISSUED SEPTEMBER 18, 2009)

On 4 of 15 contracts, contracting officials of the U.S. Special Operations Command (USSOCOM) did not perform or document the price reasonableness determination in accordance with the Federal Acquisition Regulation. In total, USSOCOM did not adequately document \$721 million in negotiated prices on the four contracts. Of the \$721 million, USSOCOM did not adequately support \$356 million on one contract for commercial hardware items. On two contracts, DoD OIG was unable to fully evaluate the contracting officers' price reasonableness decisions because essential documentation was not retained as part of the contract file. As a result, DoD OIG was unable to verify USSOCOM's analyses of the \$360 million not-to-exceed amount for time-and-materials and firm-fixed-price direct labor costs. On the final contract, USSOCOM did not completely translate the supporting documentation for \$5.0 million into English. USSOCOM internal controls were not adequate to ensure that USSOCOM contracting officials approved required contracting documentation or that the contract files contained the

supporting documentation of the price reasonableness decisions. In addition, USSOCOM policies and procedures did not address the alpha contracting methods being used to negotiate the contracts.

Information Operations Contracts in Iraq
(D-2009-091, ISSUED JULY 31, 2009)

The Joint Contracting Command-Iraq/Afghanistan awarded indefinite-delivery, indefinite-quantity contracts to four contractors in accordance with the Federal Acquisition Regulation. However, the Joint Contracting Command-Iraq/Afghanistan combined psychological operations and public affairs requirements in one contract. Although DoD OIG did not obtain any evidence that psychological operations were intended for a U.S. audience, the contract language did not clearly differentiate between psychological operations and public affairs, as required by doctrine, creating the appearance that psychological operations were associated with a U.S. audience.

Overall, the contracting process resulted in a contract vehicle that was not optimal and may not meet initial psychological operations requirements or user needs. In addition, DoD OIG determined that an internal control weakness exists in the oversight of the media services contracts. Specifically, the Joint Contracting Command-Iraq/Afghanistan did not prepare a Quality Assurance Surveillance Plan for these contracts, and the review of contract documentation did not find evidence that a Contracting Officer's Representative was appointed.

Status of the Defense Emergency Response Fund in Support of the Global War on Terror

(D-2009-098, ISSUED JULY 30, 2009)

On April 15, 2004, DoD transferred more than \$126 million from Defense Emergency Response Fund (DERF) to Iraq Freedom Fund (IFF) as required by the public laws. However, the DoD components' internal controls were not adequate to

ensure that DoD transferred all DERF for GWOT balances to IFF. The components did not review their obligations to ensure that they were valid and accurate and that unliquidated obligations were deobligated. As a result, five years and six months after the public law has mandated the transfer of balance in DERF to IFF, there is still about \$108.9 million, classified as unpaid obligations, and an additional \$151.1 million, classified as unobligated, for a total of \$260 million in DERF for GWOT that DoD needs to transfer to the Department of Treasury because the law to transfer the funds to IFF had expired. In addition, there is \$15.3 million in DERF for disaster and humanitarian assistance that DoD needs to transfer to the Department of Treasury. Because it has been more than five years and six months since the public law mandated the transfer of balance in DERF to IFF, the Defense Finance and Accounting Service should not make any more disbursements from DERF.

Contracting for Transportation Services for U.S. Army Corps of Engineers, Gulf Region Division

(D-2009-095, ISSUED JULY 29, 2009)

DoD OIG found that the Gulf Region Division (GRD) of the U.S. Army Corps of Engineers did not effectively administer the task order for transportation services because it did not establish or implement processes for invoice and inventory reconciliation and discrepancy resolution. In addition, it did not establish standard policies for justification and utilization of nontactical vehicles. As a result, discrepancies in vehicle inventories could cost GRD at least \$1.2 million a year for vehicles it may not currently have.

DoD OIG also found that GRD could not provide assurance that the contractor complied with all contract requirements for transportation services under task order 17. This occurred because GRD did not develop and implement a quality assurance surveillance plan or ensure that contracting officer's representatives adequately documented

contractor performance. As a result, GRD cannot validate that it received transportation services totaling approximately \$69.9 million. These deficiencies in administration and oversight of contracts for transportation services constitute a weakness in the internal controls. GRD can improve these deficiencies by implementing these recommendations.

Contracts for the U.S. Army's Heavy-Lift VI Program in Kuwait

(D-2009-096, ISSUED JULY 28, 2009)

DoD OIG identified internal control weaknesses regarding contract oversight of the Heavy-Lift VI program. The U.S. Army Contracting Command (ACC), Southwest Asia-Kuwait did not develop appropriate surveillance methods to assess contractors' compliance to performance objectives, approve contractors' quality control plans at the time of award, or perform legal reviews timely, and key contracting documents were not always available. Since procuring contracting office responsibilities were later transferred to ACC Rock Island Contracting Center and administrative contracting office responsibilities were delegated to the Defense Contract Management Agency-Kuwait, contract oversight has improved. However, clear guidance was not in place to assist in the oversight process and a memorandum of agreement between the procuring contracting office and the administrative contracting office was needed to detail contract administration responsibilities. Although ACC Rock Island Contracting Center and Defense Contract Management Agency- Kuwait have taken corrective actions to strengthen the administration and oversight of the Heavy-Lift VI program, DoD did not have reasonable assurance that contractors were complying with requirements, achieved standards, and that the \$522 million spent for contractors' services represented the best value to the government.

Review of Electrocution Deaths in Iraq: Part II—Seventeen Incidents Apart from Staff Sergeant Ryan D. Maseth, U.S. Army
(IPO-2009-E001, ISSUED JULY 27, 2009)

Based on preliminary work conducted in support of Part I, and growing congressional interest, DoD OIG sought information on all electrocutions that occurred in Iraq since Operation Iraqi Freedom began in March 2003. DoD OIG identified 17 other electrocutions involving U.S. military or contractor personnel. Nine of the 17 electrocutions involved accidental deaths from the victims touching or coming into contact with live electrical power lines. The circumstances surrounding these deaths were straightforward, and the respective investigations laid out the relevant facts surrounding these incidents and established responsibility for the deaths. The remaining eight electrocutions involved equipment malfunctions that could have related to whether equipment maintenance complied with proper electrical standards or whether the respective chain of command acted responsibly in protecting Service members. DoD OIG's examination of the eight cases at issue determined four cases warranted further investigation. DoD OIG referred those four cases for additional investigation by the Army or Navy.

Review of Electrocution Deaths in Iraq: Part I—Electrocution of Staff Sergeant Ryan D. Maseth, U.S. Army
(IPO-2009-006, ISSUED JULY 24, 2009)

DoD OIG reported that multiple systems and organizations failed, leaving SSG Maseth and other U.S. service members exposed to unacceptable risk of injury or death. The report contains various recommendations to the Commander, Multi-National Force-Iraq; Director, Joint Staff; the Commander, U.S. Central Command; the Army Chief of Engineers; and Director, Defense Contracting Management Agency relating to DoD OIG's process review of the circumstances surrounding the electrocution of SSG Maseth.

Ship Utilization in Support of the Global War on Terror

(D-2009-093, ISSUED JULY 15, 2009)

USTRANSCOM's commercial vessel selection process does not evaluate whether a liner or charter vessel is the most cost-effective alternative. Also, USTRANSCOM officials do not document South-west Asia vessel selection rationale when selecting from Surface Deployment and Distribution Command (SDDC) recommendations. SDDC does not enforce penalties for late delivery of cargo under the Universal Service Contract-5. USTRANSCOM has no formal process to implement or document DoD requirements to annually size the United States sealift fleet in order to meet peacetime, contingency, and projected wartime requirements. DoD OIG identified internal control weaknesses for the USTRANSCOM vessel selection process and for SDDC relating to the absence of contract management controls to enforce penalties for late deliveries of cargo.

Ongoing Audits

Ministerial Capacity Development of the Iraqi Ministries of Defense and Interior Inspectors General

(PROJECT NO. NOT REPORTED, DATE INITIATED NOT REPORTED)

DoD OIG has embedded a senior level liaison with the Multi-National Security Transition Command-Iraq to: 1) develop and strengthen the Iraqi Ministry of Interior and Ministry of Defense IG oversight capabilities, 2) provide support to the U.S. Mission's Interagency Anti-Corruption Program, and 3) institutionalize MOI IG Weapons Accountability Inspections Program.

Commercial Vendor Services Compliance With Federal Tax Reporting Requirements for Contractors Supporting Operations in Southwest Asia

(PROJECT NO. D2009-D000FH-0292.000, INITIATED SEPTEMBER 23, 2009)

DoD OIG is determining whether the Commercial Vendor Services in Iraq complied with federal tax reporting requirements for payments to contractors in support of operations in Southwest Asia for calendar years 2006 through 2008.

Controls Over the Accountability and Disposition of Government Furnished Property in Iraq

(PROJECT NO. D2009-D000JB-0307.000, INITIATED SEPTEMBER 16, 2009)

DoD OIG is conducting this audit in response to a U.S. Central Command request to focus oversight on asset accountability to ensure that U.S.-funded assets are properly accounted for and that there is a process for the proper transfer, reset, or disposal of assets. The objective is to determine whether DoD has adequate controls over government-furnished property as it prepares to drawdown forces from Iraq. Specifically, DoD OIG will determine whether DoD properly accounted for government-furnished property, whether policies and procedures exist for the proper transfer, reset, or disposal of government-furnished property, and whether those policies and procedures are being executed adequately.

Review of Inter-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq

(PROJECT NO. D2009-D000LC-0240.002, INITIATED SEPTEMBER 10, 2009)

The inter-theater portion is the second in a series of reviews related to the relocation of personnel and assets during the Iraq drawdown. The first review focused on U.S. Transportation Command's planning process. This review will track

the execution of the drawdown plan. The objective is to determine if the transportation plan will meet the withdrawal goals of August 31, 2010, for combat troops and December 31, 2011, for remaining troops and assets. Specifically, DoD OIG will evaluate the plan's assumptions, transportation methods, disposition of equipment, and timeframe limitations. This project is being performed in conjunction with D2009-D00SPO-0310.000.

Assessment of Intra-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq

(PROJECT NO. D2009-D00SPO-0310.000, INITIATED SEPTEMBER 10, 2009)

DoD OIG is conducting this assessment to determine whether U.S. Central Command and its subordinate and supporting organizations' intra-theater logistical planning, selected capabilities, and execution are adequate to support and manage the movement of cargo and equipment being drawdown from Iraq.

Contract Audit Follow-Up Review on Incurred Cost Audits Related to Iraq Reconstruction Activities

(PROJECT NO. D2009-DIPOAI-0305, INITIATED SEPTEMBER 1, 2009)

This is the second in a series of reviews specific to the funding of Iraq reconstruction activities. Contracting official actions will be evaluated for compliance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports," August 22, 2008.

Assessment of U.S. Government Efforts to Develop the Logistics Sustainment Capability of the Iraq Security Forces
(PROJECT NO. D2009-D00SPO-0286.000, INITIATED AUGUST 11, 2009)

DoD OIG is conducting this assessment to determine: 1) whether the planning and operational implementation of efforts by U.S. forces to train, advise, and assist in the development of an enduring logistics sustainability capability for the Iraqi Security Forces are effective; 2) whether the plans, training, preparations, and designated missions of the inbound U.S. advisory and assistance brigades and sustainment brigades to train, advise, and assist in the development of an enduring logistics sustainability capability for Iraqi Security Forces are synchronized with in-country plans and operational assumptions and needs; and 3) whether the planning and operational implementation of efforts by U.S. forces to train, advise, and assist in the development of a casualty evacuation capability for ISF are effective.

DoD's Plans for the Drawdown and Reset of Property in Iraq
(PROJECT NO. D2009-D000JB-0280.000, INITIATED AUGUST 10, 2009)

DoD OIG is conducting this audit in response to a U.S. Central Command request to focus oversight on asset accountability to ensure that U.S.-funded assets are properly accounted for and that there is a process for the proper transfer, reset, or disposal of assets. The objective is to evaluate DoD's plans for the drawdown and reset of the DoD property in Iraq. Specifically, DoD OIG will determine whether roles, responsibilities, and lines of reporting are clearly defined and documented; whether the plans comprehensively address issues including property accountability, visibility, reset, and return; and whether realistic milestones have been established for the initiation and completion of drawdown and reset activities.

Contracts Supporting Base Operation in Kuwait

(PROJECT NO. D2009-D000AS-0266.000, INITIATED JULY 31, 2009)

DoD OIG will determine whether DoD properly managed and administered contracts supporting base operations in Kuwait. Specifically, DoD OIG will determine whether the contract management and administration complied with federal and DoD policies.

Air Forces Central War Reserve Materiel Contract

(PROJECT NO. D2009-D000AS-0264.000, INITIATED JULY 20, 2009)

DoD OIG will determine whether Air Force officials awarded and are providing oversight of the Air Forces Central War Reserve Materiel contract (FA4890-08-C-0004) in accordance with federal and DoD policies.

Contracting for Organizational and Direct Support Maintenance at Joint Base Balad, Iraq

(PROJECT NO. D2009-D000JB-0254.000, INITIATED JULY 13, 2009)

DoD OIG will determine whether DoD is efficiently and effectively contracting for organizational and direct support maintenance at Joint Base Balad, Iraq. Specifically, DoD OIG will evaluate the contract requirements and statement of work, contractor workload and utilization data, and whether organic assets are available to perform the maintenance.

Contracts Supporting the Broad Area Maritime Surveillance Program

(PROJECT NO. D2009-D000AS-0247.000, INITIATED JULY 10, 2009)

DoD OIG will determine whether DoD officials properly managed and administered the contracts

supporting the Broad Area Maritime Surveillance Program. Specifically, DoD OIG will determine whether the contract management and administration complied with federal and DoD policies.

International Oil Trading Company Contracts to Supply Fuel to U.S. Troops in Iraq

(PROJECT NO. D2009-D000CH-0244.000, INITIATED JULY 7, 2009)

This audit was initiated in response to a request from Congress. The objective of the audit will be to review the Defense Energy Support Center's decision to award the International Oil Trading Company a series of contracts for the delivery of fuel through Jordan to U.S. troops in Iraq. Specifically, the audit will determine whether prices paid were fair and reasonable, whether an exclusive supply arrangement had an impact on prices, and whether the fuel needs to be supplied through Jordan.

Transportation for DoD Personnel and Cargo Relocation from Iraq Drawdown

(PROJECT NO. D2009-D000LC-0240.001, INITIATED JUNE 18, 2009)

DoD OIG plans to conduct a series of audits that will address the transportation of troops, support personnel, and equipment during the relocation efforts. DoD OIG will evaluate the planning process used by the U.S. Transportation Command in determining how to meet the combat troop withdraw goals by August 31, 2010. Specifically, DoD OIG will evaluate the timeline of transportation needs, the identification of transportation methods, and the timeline for relocating combat troops and their support equipment.

Air Cargo Transportation Contracts in Support of Operation Iraqi Freedom and Operation Enduring Freedom

(PROJECT NO. D2009-D000LC-0237.000, INITIATED JUNE 11, 2009)

DoD OIG will determine whether air cargo transportation contracts in support of Operation Iraqi Freedom and Operation Enduring Freedom are administered in accordance with applicable federal and DoD regulations. Specifically, DoD OIG will determine whether the decision to use air transportation was justified, whether delivery orders were awarded in accordance with vendor selection criteria, and whether the cargo transported by air was delivered within required time frames.

Assessment of the Defense Hotline Allegations Concerning Traumatic Brain Injury Research Integrity in Iraq

(PROJECT NO. D2009-D00SPO-0242.00, INITIATED JUNE 11, 2009)

DoD OIG is conducting an inquiry of Research Integrity Misconduct in Iraq at the request of the DoD Hotline to determine if clinical research using an unapproved medication was conducted on U.S. service members with Traumatic Brain Injury in Iraq.

Review of the Joint Task Force Guantanamo Inclusion of Detainee Mental Health Information in Intelligence Information Reports

(PROJECT NO. D2009-DINT01-0203.000, INITIATED JUNE 10, 2009)

This project was developed as a result of information obtained during the Investigation of Allegations of the Use of Mind Altering Drugs to Facilitate Interrogations (09-INTEL-13, Issued September 23, 2009). The objectives of the review are to determine if DoD intelligence reports published by Joint Task Force Guantanamo considered detainee mental health status as an indicator of reliability

and if such information was made available for use by intelligence consumers for their determination of source reliability and accuracy of information. The project will also review DoD guidance pertaining to the inclusion of source mental health information in intelligence reports and if DoD policy regarding privacy of medical information for intelligence purposes complies with existing law and DoD regulations.

Assessment Research on the Case Management Processes for Combat Amputees

(PROJECT NO. D2009-D000IG-D00SPO.0225.000, INITIATED MAY 15, 2009)

DoD OIG is researching the Case Management of Combat Amputees to determine whether the Military Health System is achieving its stated performance measures in delivering ongoing care to disabled service members eligible for Title 10-funded medical programs.

Assessment Research on Enlisted Administrative Separations

(PROJECT NO. D2009-D000IG-D00SPO.0226.000, INITIATED MAY 15, 2009)

DoD OIG is conducting a research focused on Enlisted Administrative Separations for service members who served in Iraq or Afghanistan. This project resulted from concern expressed by a member of Congress that U.S. marines with multiple combat tours in Iraq were being administratively separated under Other than Honorable Conditions, making them ineligible for benefits from the Department of Veterans Affairs. In addition, the project is assessing whether service members were evaluated for combat-related mental health conditions before being separated.

Marine Corps Fulfillment of Urgent Need Statements for Laser Dazzlers

(PROJECT NO. D2009-D000AE-0210.000, INITIATED APRIL 28, 2009)

This audit is in response to the Assistant Commandant of the Marine Corps addressing allegations of mismanagement in fulfilling Urgent Universal Need Statements for laser dazzlers. DoD OIG's objective is to determine whether acquisition managers in the U.S. Marine Corps effectively responded to the urgent needs of deployed Marines in acquiring a nonlethal laser dazzler capability in accordance with federal and Defense acquisition regulations.

Allowances and Differentials Paid to DoD Civilian Employees Supporting the Global War on Terror

(PROJECT NO. D2009-D000FC-0199.000, INITIATED APRIL 17, 2009)

DoD OIG's overall objective is to determine whether civilian pay disbursed in support of the Global War on Terror is paid in accordance with established laws and regulations. Specifically, DoD OIG will determine whether eligible DoD civilian employees properly received authorized allowances and differentials.

FY 2008 Marine Corps Global War on Terror-Related Costs Processed Through the Standard Accounting, Budgeting and Reporting System

(PROJECT NO. D2009-D000FG-0183.000, INITIATED APRIL 7, 2009)

DoD OIG will determine whether the Marine Corps accurately reported FY 2008 costs related to the Global War on Terror. DoD OIG will review whether Marine Corps documentation substantiates operation and maintenance obligations processed through the Standard Accounting, Budgeting and Reporting System.

Multi-National Force-Iraq/Multi-National Corps-Iraq Fire Services Inspection and Training Program

(PROJECT NO. D2009-D000JB-0181.000, INITIATED APRIL 3, 2009)

DoD OIG will assess whether Multi-National Force-Iraq is meeting requirements for fire services inspection and training through the use of contracted services. Specifically, DoD OIG will review fire inspection reports to determine whether fire inspection personnel are properly performing fire safety reviews. DoD OIG will identify contracted personnel assigned as expeditionary fire fighters and review their training records to determine whether the training they completed met contract, DoD, and Army requirements. In addition, DoD OIG will assess the effectiveness of quality control and quality assurance provisions of contracts and task orders related to the Fire Services Inspection and Training Program.

Information Operations in Iraq

(PROJECT NO. D2009-D000JA-0182.002, INITIATED APRIL 2, 2009)

DoD OIG is conducting this audit at the request of the Commander, U.S. Central Command. DoD OIG will evaluate Information Operations activities in support of Operation Iraqi Freedom. Specifically, DoD OIG will determine the process for establishing Psychological Operations requirements, and identify the resources applied against those requirements.

Controls over Unliquidated Obligations for Department of the Army Contracts Supporting the Global War on Terror

(PROJECT NO. D2009-D000FC-0176.000, INITIATED MARCH 19, 2009)

DoD OIG is determining whether the Department of the Army has established adequate controls over unliquidated obligations on Department of the Army contracts supporting the Global War

on Terror. Specifically, DoD OIG will determine whether unliquidated obligations are being properly accounted for and deobligated in a timely manner.

Controls over Department of the Navy Military Payroll Processed in Support of the Global War on Terror at San Diego-Area Disbursing Centers

(PROJECT NO. D2009-D000FC-0165.000, INITIATED MARCH 4, 2009)

The Commander, Naval Installations Command, requested this audit. DoD OIG is determining whether Department of the Navy (DoN) military payroll processed in support of the Global War on Terror is performed in accordance with established laws and regulations. Specifically, DoD OIG will determine whether DoN San Diego-area disbursing centers and the Defense Finance and Accounting Service efficiently obtain and maintain adequate supporting documentation for combat zone entitlements related to Global War on Terror deployments.

Army and Navy Small Boats Maintenance Contracts

(PROJECT NO. D2009-D000AS-0163.000, INITIATED MARCH 2, 2009)

DoD OIG is determining whether contracts providing ship repair and maintenance to the U.S. Army operations in Bahrain and Navy operations in Bahrain, Qatar, and United Arab Emirates were properly managed and administered. Specifically, DoD OIG will review competition, contract type, and contract oversight.

Material Purchases Made Through Partnership Agreements at Corpus Christi Army Depot

(PROJECT NO. D2009-D000FI-0150.000, INITIATED FEBRUARY 13, 2009)

DoD OIG is evaluating material purchases made at Corpus Christi Army Depot through partnership agreements with private-sector firms. Specifically, DoD OIG will determine whether the partnership agreements in place with original equipment manufacturers are effective in minimizing the cost of direct materials to the depot.

Controls over the Common Access Card in Non-Department of Defense Agencies

(PROJECT NO. D2009-D000JA-0136.000, INITIATED JANUARY 30, 2009)

This audit is the fourth in a series of audits relating to contractor Common Access Cards (CAC). DoD OIG is determining whether controls over CACs provided to civilians and contractors working for Non-Department of Defense agencies were in place and worked as intended.

Air Force Depot Maintenance Public-Private Partnership

(PROJECT NO. D2009-D000LD-0110.000, INITIATED JANUARY 29, 2009)

DoD OIG is examining the management of the public-private partnership arrangements entered into by Air Force depots. Specifically, DoD OIG will determine whether the Air Force depots have established baselines and metrics to measure public-private partnership benefits.

Review of Army Decision Not to Withhold Funds on the Logistics Civil Augmentation Program (LOGCAP) III Contract

(PROJECT NO. D2009-DIPoAI-0141, INITIATED JANUARY 29, 2009)

In response to a request from the Senate Committee on Armed Services, DoD OIG is performing a review of the Army's decision not to withhold funds on the LOGCAP III contract after the Defense Contract Audit Agency had questioned certain contract costs. As part of the review, DoD OIG will determine the appropriateness of related Army official decisions, including compliance with the Federal Acquisition Regulation. In addition, DoD OIG will review the Army's use of Resource Consultants, Inc. to perform price and cost analyses on the LOGCAP III contract. DoD OIG recently completed a site visit at the Rock Island Arsenal, Rock Island, Illinois, where they obtained sworn testimony from several active and retired Army contracting personnel. DoD OIG anticipates issuing a draft report in June 2009.

Body Armor Acquisition Life Cycle Management

(PROJECT NO. D2009-D000JA-0106.000, INITIATED JANUARY 13, 2009)

DoD OIG is determining whether DoD is effectively managing the operations and support phase of the acquisition process for body armor components. This audit will be performed in coordination with DoD OIG Audits D2008-D000CD-0256.000, "DoD Body Armor Contracts," and D2008-D000JA-0263.000, "DoD Testing Requirements for Body Armor."

Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan

(PROJECT NO. D2009-D000FB-0112.000, INITIATED JANUARY 5, 2009)

DoD OIG is determining whether the deployment of the Standard Procurement System (to include the Standard Procurement System-Contingency configuration) in the Joint Contracting Command Iraq/Afghanistan, was properly planned and executed.

DoD Countermine and Improvised Explosive Device Defeat Systems Contracts

(PROJECT NO. D2009-D000AE-0102.000, INITIATED DECEMBER 9, 2008)

DoD OIG is determining whether DoD procurement efforts for countermine and improvised explosive device defeat systems for use in Iraq and Afghanistan were developed, awarded, and managed in accordance with federal and Defense acquisition regulations.

Maintenance and Support of the Mine Resistant Ambush Protected Vehicle

(PROJECT NO. D2009-D000CK-0100.000, INITIATED DECEMBER 9, 2008)

DoD OIG is determining whether MRAP vehicle program and contracting officials are adequately supporting MRAP vehicle maintenance requirements and appropriately awarding and administering maintenance contracts.

DoD's Use of Time and Materials Contracts

(PROJECT NO. D2009-D000CF-0095.000, INITIATED DECEMBER 1, 2008)

DoD OIG is determining whether time and material contracts for Southwest Asia were awarded and administered in accordance with the Federal Acquisition Regulation.

Counter Radio-Controlled Improvised Explosive Device Electronic Warfare Program

(PROJECT NO. D2009-D000AS-0092.000, INITIATED DECEMBER 1, 2008)

DoD OIG is reviewing the award and administration of contracts under the Navy's Counter Radio-Controlled Improvised Explosive Device Electronic Warfare (CREW) program. Specifically, DoD OIG will determine whether the Navy effectively transitioned from CREW developmental contracts to production contracts and whether CREW contracts are consistent with federal and DoD acquisition and contract policy.

Implementation of Predator/Sky Warrior Acquisition Decision Memorandum Dated May 19, 2008

(PROJECT NO. D2009-D000CD-0071.000, INITIATED NOVEMBER 12, 2008)

DoD OIG is determining the implementation status of the Acquisition Decision Memorandum. The objective is to evaluate whether implementation by the Air Force and the Army complies with the Acquisition Decision Memorandum, and whether alternatives were considered, such as the Reaper program.

Reannouncement of the Audit of Funds Appropriated for Afghanistan and Iraq Processed Through the Foreign Military Sales Trust Fund

(PROJECT NO. D2007-D000FD-0198.001, INITIATED OCTOBER 10, 2008)

Based on DoD OIG observations during its audit fieldwork under the originally announced project (D2007-D000FD-0198.000), DoD OIG determined an additional project was required to separately discuss relevant issues identified during its fieldwork. Accordingly, under the original project number, DoD OIG is addressing the transfer of

funds to the FMS Trust Fund and the collection of administrative fees from these funds.

Under the second announced project (D2007-D000FD-0198.001), DoD OIG will sustain the originally announced overall audit objective to determine whether the funds appropriated for the security, reconstruction, and assistance of Afghanistan and Iraq and processed through the Foreign Military Sales Trust Fund, are being properly managed. However, DoD OIG has reduced the sub-objectives to determining whether the appropriated funds transferred into the Foreign Military Sales Trust Fund are properly accounted for, used for the intended purpose, and properly reported in DoD financial reports.

Army Acquisition Actions in Response to the Threat to Light Tactical Wheeled Vehicles

(PROJECT NO. D2009-D0000AE-0007.000, INITIATED SEPTEMBER 29, 2008)

DoD OIG is determining whether the Army effectively managed efforts to develop, test, and acquire armor solutions for light tactical wheeled vehicles. These solutions are needed in response to the threat to High Mobility Multi-Purpose Wheeled Vehicle (HMMWW) variants and use in developing the next-generation vehicle for the Global War on Terror. In addition, DoD OIG will determine whether DoD exercised adequate operational test and live-fire test oversight of the Army's High Mobility Multi-Purpose Wheeled Vehicle program. A series of reports are planned for this project. The first report will discuss HMMWV variants. The remaining reports will discuss the testing procedures used on light tactical vehicle variants and the requirements for developing a joint Army and Marine Corps tactical vehicle management strategy.

Using System Threat Assessments in the Acquisition of Tactical Wheeled Vehicles

(PROJECT NO. D2008-D000AE-0287.000, INITIATED SEPTEMBER 23, 2008)

DoD OIG is determining whether the Army and Marine Corps program offices have obtained updated system threat assessments for acquisitions of selected tactical wheeled vehicles in support of the Global War on Terror. The objective is to determine whether the Army and Marine Corps updated program documentation for selected tactical wheeled vehicles, including system capability documents, test plans, and contract statements of work, in response to the threats identified in current system threat assessments.

Defense Contract Management Agency Acquisition Workforce for Southwest Asia

(PROJECT NO. D2008-D000AB-0266.000, INITIATED SEPTEMBER 18, 2008)

DoD OIG is determining Defense Contract Management Agency (DCMA) requirements to support Southwest Asia (SWA) contracting operations and the number of available DCMA civilian, military, foreign national, and support contractors supporting such operations. They will also evaluate whether the DCMA Acquisition workforce for SWA is adequately trained and certified.

Medical/Surgical Prime Vendor Contracts Supporting Coalition Forces in Iraq and Afghanistan

(PROJECT NO. D2008-D000LF-0267.000, INITIATED SEPTEMBER 12, 2008)

DoD OIG is determining whether terms and conditions for the Medical/Surgical Prime Vendor contracts were adequately developed and whether the administration of the contracts and delivery orders was effective.

Department of the Army Deferred Maintenance on the Bradley Fighting Vehicle as a Result of the Global War on Terror

(PROJECT NO. D2008-D000FL-0253.000, INITIATED SEPTEMBER 3, 2008)

DoD OIG is determining the extent and cause of deferred maintenance on the Army Bradley Fighting Vehicle used in the Global War on Terror. DoD OIG will also evaluate compliance with applicable laws and regulations as they relate to the audit objective.

Information Assurance Controls over the Outside the Continental United States Navy Enterprise Network as related to the Global War on Terror

(PROJECT NO. D2008-D000FN-0230.000, INITIATED AUGUST 28, 2008)

DoD OIG is assessing the integrity, confidentiality, and availability of the Outside the Continental United States Navy Enterprise Network (ONE-NET) as it relates to the Global War on Terror. The objective is to determine whether the controls over ONE-NET have been implemented and are operating effectively as prescribed by DoD Instruction 8500.2, "Information Assurance Implementation," February 6, 2003.

Central Issue Facilities

(PROJECT NO. D2008-D000LD-0245.000, INITIATED AUGUST 27, 2008)

DoD OIG is determining whether central issue facilities are providing the required clothing and equipment to deploying personnel, and whether those personnel are returning the clothing and equipment when their deployments are complete.

DoD Body Armor Contracts

(PROJECT NO. D2008-D000CD-0256.000, INITIATED AUGUST 7, 2008)

DoD OIG is examining the contracts and contracting process for body armor and related test facilities. Specific objectives will include evaluating the background and qualifications of the contractors, the criteria for awarding the contracts, the quality assurance process, and any relationships that may exist between the contractors and Government officials. DoD OIG's review of the quality assurance process will include reviewing the results of First Article Testing and Lot acceptance Testing for the body armor contracts.

Department of the Air Force Military Pay in Support of the Global War on Terror

(PROJECT NO. D2008-D000FP-0252.000, INITIATED AUGUST 1, 2008)

DoD OIG is determining whether the Department of the Air Force military payroll disbursed in support of the Global War on Terror is paid in accordance with established laws and regulations. Specifically, DoD OIG will review DoD military pay disbursements to determine whether U.S. Air Force military personnel on Active Duty status are paid accurately and timely.

Army's Use of Award Fees on Contracts That Support the Global War on Terror

(PROJECT NO. D2008-D000AE-0251.000, INITIATED JULY 21, 2008)

DoD OIG is determining whether Army award fees paid to contractors in support of the Global War on Terror are justified. Specifically, they will review the procedures for awarding the fees and proper allocation of award fees on the contracts.

Rapid Acquisition and Fielding of Materiel Solutions Within the Navy

(PROJECT NO. D2008-D000AE-0247.000, INITIATED JULY 18, 2008)

DoD OIG is evaluating the overall management of the Navy's processes for rapidly acquiring and fielding materiel solutions to meet urgent needs in support of the Global War on Terror and to ensure safe operation of naval forces. Specifically, they will evaluate the effectiveness of Navy procedures for identifying and validating urgent capability needs, contracting for and acquiring materiel solutions to meet those needs, and complying with DoD requirements and acquisition policies once materiel solutions are fielded.

Equipment Repair and Maintenance Contracts for Aircraft and Aircraft Components Supporting Coalition Forces in Iraq and Afghanistan

(PROJECT NO. D2008-D000LH-0249.000, INITIATED JULY 14, 2008)

DoD OIG is determining whether equipment repair and maintenance contracts for aircraft and aircraft components supporting Coalition forces in Iraq and Afghanistan are effective.

Contracting for Purchased and Leased Nontactical Vehicles in Support of Operation Iraqi Freedom and Operation Enduring Freedom

(PROJECT NO. D2008-D000LH-0235.000, INITIATED JUNE 26, 2008)

DoD OIG is determining whether contracting for nontactical vehicles in support of Operation Iraqi Freedom and Operation Enduring Freedom was effective. A series of reports are planned. Report D-2009-085, issued during the third quarter of FY 2009, addresses contracting for nontactical vehicles in support of Operation Enduring Freedom. Report D-2009-095 addresses contracting for transportation services for U.S. Army Corps of Engineers, Gulf Region Division.

DoD and DoD Contractor Efforts To Prevent Sexual Assault/Harassment Involving Contractor Employees within Operations Enduring Freedom and Iraqi Freedom Areas of Operation

(PROJECT NO. D2008-D000CE-0221.000, INITIATED JUNE 9, 2008)

DoD OIG is performing this audit as a result of a congressional inquiry. DoD OIG is reviewing whether contracts that support Operations Enduring Freedom and Iraqi Freedom (OEF/OIF) Areas of Operation contain clauses that adequately address DoD policies regarding sexual assault/harassment of and by contractor personnel. DoD OIG will also determine whether either DoD or DoD contractors, or both, provided sexual assault/harassment awareness, prevention, and reporting training to DoD and contractor employees prior to their deployment to OEF/OIF Areas of Operation. This project is being performed in coordination with the project "Evaluation of DoD Sexual Assault Response in Operations Enduring and Iraqi Freedom Areas of Operation," Project No. 2008C003.

Department of the Army Deferred Maintenance on the Abrams Tank Fleet as a Result of the Global War on Terror

(PROJECT NO. D2008-D000FJ-0210.000, INITIATED MAY 30, 2008)

DoD OIG is determining the extent and causes of deferred maintenance on the Army Abrams tanks that were used in the Global War on Terror.

Contracts for Spare Parts for Vehicle-Mounted Small Arms in Support of the Global War on Terror

(PROJECT NO. D2008-D000FD-0214.000, INITIATED MAY 20, 2008)

As a result of initial research, DoD OIG reannounced the Audit of Defense Logistics Agency Contracts for Combat Vehicle Parts in Support of the Global War on Terror, May 20, 2008, to specify

a more detailed focus area. The new audit, “Contracts for Spare Parts for Vehicle-mounted Small Arms in Support of the Global War on Terror,” was announced August 14, 2008. DoD OIG is determining whether DoD organizations used appropriate and effective contracting procedures to provide customers with the vehicle-mounted small arms spare parts needed to support the Global War on Terror.

Assignment and Training of Contracting Officer’s Representatives at Joint Contracting Command-Iraq/Afghanistan
(PROJECT NO. D2008-D000JC-0203.000, INITIATED MAY 12, 2008)

DoD OIG is determining whether personnel assigned as Contracting Officer’s Representatives to the Joint Contracting Command-Iraq/Afghanistan have proper training and expertise to perform their duties.

Acquisition of Ballistic Glass for the High-Mobility Multipurpose Wheeled Vehicle
(PROJECT NO. D2008-D000CE-0187.000, INITIATED APRIL 23, 2008)

The audit is the result of an audit suggestion filed with the Office of the Deputy Inspector General for Audit. The objective is to determine whether the award and administration of the High Mobility Multipurpose Wheeled Vehicle ballistic glass contracts comply with the Federal Acquisition Regulation.

Class III Fuel Procurement and Distribution in Southwest Asia
(PROJECT NO. D2008-D000JC-0186.000, INITIATED APRIL 23, 2008)

DoD OIG is determining whether fuel used for ground operations in Southwest Asia to support Operations Iraqi Freedom and Enduring Freedom is procured and distributed efficiently and

effectively. Specifically, DoD OIG will determine whether fuel is procured at fair and reasonable prices, whether fuel is distributed economically and efficiently to operational commands, and whether fuel supply points maintain accurate inventories.

Internal Controls over Army, General Fund, Cash, and Other Monetary Assets Held in Southwest Asia

(PROJECT NO. D2008-D000FP-0132.000, INITIATED FEBRUARY 25, 2008)

DoD OIG is reviewing whether internal controls for Army, General Fund, Cash, and Other Monetary Assets held in Southwest Asia are effectively designed and are operating to adequately safeguard, account, document, and report cash and other monetary assets.

Evaluation of DoD Sexual Assault Response in Operations Enduring Freedom and Iraqi Freedom Areas of Operation

(PROJECT NO. 2008C003, INITIATED FEBRUARY 7, 2008)

In response to the concerns of more than 100 members of the Congress, this project evaluates policies and practices for reporting and referring for investigation sexual assault complaints by contractor employees in combat areas. Based on new congressional interest, DoD OIG expanded the scope to DoD’s oversight of contractors regarding sexual assault/harassment deployment training and contractor accountability for employee misconduct in combat areas. DoD OIG is in the process of completing a draft report which will be distributed for comment in July 2009.

Controls over the Contractor Common Access Card Life Cycle in Southwest Asia
(PROJECT NO. D2007-D000LA-0199.002, INITIATED JANUARY 24, 2008)

DoD OIG is determining whether controls over Common Access Cards provided to contractors are in place and work as intended. Specifically, DoD OIG will determine whether DoD officials verify the continued need for contractors to possess CACs; revoke or recover CACs from contractors in accordance with DoD policies and procedures; and ensure the proper use of the CAC by contractors.

Internal Controls and Data Reliability in the Deployable Disbursing System
(PROJECT NO. D2007-D000FL-0252.000, INITIATED AUGUST 31, 2007)

DoD OIG plans to issue a series of reports in this project. The overall objective is to determine whether the internal controls over transactions processed through the Deployable Disbursing System are adequate to ensure the reliability of the data processed. The audit series will include financial information processed by disbursing stations supporting the Global War on Terror and will also follow up on “Internal Controls Over Out-of-Country Payments,” (Project No. D2006-D000FL-0208.000). The first report, D-2009-054, addresses U.S. Marine Corps (USMC) processed disbursement transactions that contain classified information into unclassified DoD systems.

Operations and Maintenance Funds Used for Global War on Terror Military Construction Contracts
(PROJECT NO. D2007-D000CK-0201.000, INITIATED JUNE 18, 2007)

DoD OIG is reviewing whether DoD Components followed requirements for using operations and maintenance funds for GWOT military construction. Specifically, DoD OIG will evaluate whether DoD followed proper procedures for

administering, executing, and reporting the use of operations and maintenance funds on GWOT military construction contracts.

Department of State Office of Inspector General

Completed Audits

The Department of State Office of Inspector General (DoS OIG) completed three audits related to Iraq for the quarter ending September 30, 2009.

Review of the Roles, Staffing, and Effectiveness of Regional Embassy Offices in Iraq

(MERO-IQO-09-09, ISSUED AUGUST 25, 2009)

The objectives were to determine the role of the Regional Embassy Offices and were they effective; were sufficient financial and human resources provided to support the REO mission; were there any security concerns that affected REO operations; and did participating organizations effectively coordinate their programs and operational support with the REOs. The report issued these findings:

- Four Regional Embassy Offices were established in Iraq in the summer of 2004, and three were in operation at the start of this audit in December 2008. However, currently, only one Regional Embassy Office is operating in Hillah.
- Regional Embassy Offices were effective bases for conducting engagements with Iraqis, reported firsthand on political and economic developments in the provinces, and served as vital nearby staging areas for personal protection operations.
- Operating and supporting a forward-deployed U.S. government civilian presence in Iraq is challenging and expensive. For example, the Department of State spends more than \$75 million annually and has employed as many as

700 people to secure, operate, and maintain the Regional Embassy Office in Hillah.

- Total U.S. government staffing for the Regional Embassy Office in Basrah, the Regional Reconstruction Team in Erbil, and the Regional Embassy Office in Hillah was 265. A total of 1,027 contractors provided life support and personal protective services. These numbers resulted in a ratio of nearly four life support and personal security contractors to every one U.S. government staff member at these Regional Embassy Offices and Regional Reconstruction Team.
- A 2004 agreement on the exchange and use of diplomatic and consular properties between the U.S. government and the Iraqi Interim Government transferred to the United States title to properties for future consulate sites in Basrah and Mosul. No decisions have been made as to the number and location of consulates in Iraq, and no steps have been taken to plan or budget for the eventual opening of consulates. Current buildings and facilities used by Department of State personnel in the provinces are inadequate platforms to support consular operations.

The report issued one recommendation:

- Upon receiving policy direction from the Administration to proceed forward in the normalization of relations with the Government of Iraq, Embassy Baghdad should develop a detailed plan for establishing consulates in Iraq. The plan should include an analysis of the security situation, life support, and other logistical requirements, including associated costs and future funding requirements at proposed sites.

Performance Audit of Embassy Baghdad's Transition Planning for a Reduced United States Military Presence in Iraq

(MERO-A-09-10, ISSUED AUGUST 25, 2009)

The objectives were to review what transition planning mechanisms are in place within the Department and between the Department and the U.S.

military and the Government of Iraq; what are the key transition issues facing Department planners, including the provision of security, logistical support, transportation, and the status of regional offices and Provincial Reconstruction Teams; and what are the expected costs associated with increased Department roles and responsibilities.

The report issued these findings:

- Planning for the relocation to the new embassy compound and the movement toward normal diplomatic relations with the Government of Iraq have taken precedence over Embassy Baghdad planning for downsizing of the U.S. military.
- Although the U.S. military drawdown will have a significant impact on Embassy Baghdad, at the time of OIG's evaluation (December 2008-June 2009), the Embassy had not formulated a unified transition plan to anticipate the military's departure, and there was no single office or point of contact to direct these efforts. However, in mid-July 2009, the Embassy reported that a transition plan had been developed and was under final review. The Embassy had also created a central planning cell in the political/military section.
- The security situation in Iraq remains unstable, and U.S. military drawdown will affect protection of the new embassy compound in Baghdad, as well as convoy security provided by the military for goods brought into Iraq to support embassy operations.
- The Iraq Transition Assistance Office (ITAO) is managing 216 infrastructure projects valued at more than \$700 million. Only 25 of 46 authorized positions have been filled in ITAO, and nine of 11 military staff members have been reassigned. This staffing shortage has already affected ITAO's effectiveness in carrying out these projects, and will need to be addressed in the transition.
- Embassy Baghdad has relied heavily on the Logistics Civil Augmentation Program managed by the U.S. Army to provide services such as food, fuel, and transportation. The Embassy will need

to examine other potentially more costly options for service provision.

- Department budget officials are identifying costs associated with the U.S. military drawdown as requirements are identified, and they believe sufficient funds have been budgeted through FY 2011 to meet projected embassy operational requirements as currently defined. However, OIG has identified several areas in which the military drawdown may result in additional costs. These areas include requirements for: (1) enhanced security around the new embassy compound; (2) convoy security for fuel, food, and other supplies; (3) commercial air travel as an alternative to military transport; and (4) private sector design, contract preparation, and contract oversight to replace U.S. Army Corps of Engineers' support services.

The report issued four recommendations:

- Embassy Baghdad should develop a unified transition plan in anticipation of the U.S. military drawdown in Iraq and assign a senior level official to serve as overall transition planning coordinator.
- Embassy Baghdad should develop a workforce plan for the Iraq Transition Assistance Office to provide effective management and oversight of contractors and ensure the timely completion of projects.
- Embassy Baghdad should develop plans to determine what Logistics Civil Augmentation Program (LOGCAP) services will be required and ensure adequate qualified contract management personnel are available to manage and oversee the LOGCAP contract.
- Embassy Baghdad should verify resource needs to meet the expected increase of logistical and program support requirements stemming from the downsizing and departure of U.S. military resources and should request additional funds as necessary to efficiently and effectively manage embassy operations and Department programs in Iraq.

Inspection of Embassy Baghdad

(09-ISP-3020, ISSUED JULY 2009)

The objectives were to evaluate the Embassy's implementation of policy, management of resources, adequacy of management controls, and measures to protect people, information, and facilities.

Ongoing Audits

De-mining Programs in Iraq

(PROJECT NO. 10-ISP-3013, INITIATED SEPTEMBER 2009)

The objectives are to evaluate the implementation and effectiveness of de-mining programs in Iraq. This review is in the fieldwork stage.

Baghdad Embassy Security Force (BESF)

(PROJECT NO. 09MERO3021, INITIATED AUGUST 2009)

The objectives are to provide an overall review and summary of the requirements and provisions of the Baghdad Embassy Security Force contract; objectives of the contracts and task orders, what indicators have been established to measure performance; and how the Department administered the contract to oversee Triple Canopy's performance and costs of the contract. The report will assess the status of contract records, management controls, cost, and value of this contract to the mission of the U.S. Department of State. This review is in the fieldwork stage.

Property Inventory and Accountability at Embassy Baghdad

(PROJECT NO. 09MERO3017, INITIATED JUNE 2009)

The objectives are to evaluate DoS's controls for inventorying, recording, and safeguarding U.S. government equipment and property in Iraq. This review is in the fieldwork stage.

LOGCAP Task Order for Embassy Baghdad
(PROJECT NO. 09MERO3016,
INITIATED JUNE 2009)

The objectives are to evaluate and assess the contractor's compliance with contract terms and conditions, task order terms and conditions, and applicable laws and regulations. This review is in the fieldwork stage.

**Personal Security Detail (WPPS)
Contract—DynCorp (Iraq)**
(Procurement and Financial Related)

(PROJECT NO. 09MERO3013,
INITIATED APRIL 2009)

The objectives are to evaluate the procurement process to include the methods for determining the contract requirements and the contract award decisions; and the funding sources and allowability, allocability, and reasonableness of costs claimed under the contract. As of August 2009, this review has been suspended until further notice due to inadequate documentation available by the Bureau and DoS.

**Personal Security Detail (WPPS)
Contract—Triple Canopy (Iraq)**
(Procurement and Financial Related)

(PROJECT NO. 09MERO3012,
INITIATED APRIL 2009)

The objectives are to evaluate the procurement process to include the methods for determining the contract requirements and the contract award decisions; and the funding sources and allowability, allocability, and reasonableness of costs claimed under the contract. As of August 2009, this review has been suspended until further notice due to inadequate documentation available by the Bureau and DoS.

**Audit of Contract Administration,
Commissioning and Accreditation of the
NEC Baghdad**

(PROJECT NO. 08AUD3023,
INITIATED JULY 2008)

The objectives are to determine whether OBO and its Emergency Project Coordination Office (EPCO) effectively oversaw and certified the construction of the NEC Baghdad in accordance with applicable requirements and standards. This review is in the draft report stage.

U.S. Agency for International Development Office of Inspector General

Completed Audits

This quarter, the USAID Office of Inspector General (USAID OIG) issued one performance audit related to Iraq reconstruction. In addition, USAID OIG issued four financial audits covering \$85.2 million in costs incurred under USAID contracts and cooperative agreements, which were performed for USAID OIG by DCAA. These four audits identified questioned costs totaling \$88.9 million. (The total amount questioned exceeded the total amount audited because USAID OIG also questioned a fixed fee of \$3.7 million, which DCAA had not included in their audit report.) Seventeen other financial audits are in process. USAID/Iraq also conducted work in support of USAID OIG's "Audit of USAID's Fiscal Year 2009 Financial Statements" and provided information to USAID OIG headquarters for use in that audit.

**Audit of USAID/Iraq Iraq's Rapid
Assistance Program**

(E-267-09-005-P, ISSUED AUGUST 16, 2009)
USAID/Iraq's \$200 million Iraq Rapid Assistance Program was designed to provide Iraq provincial

reconstruction teams with a flexible funding mechanism to support community leaders and local Iraqi organizations in improving public services, education, and economic opportunities. Grants awarded under the program ranged from \$25,000 to \$2 million and funded a variety of activities. USAID OIG concluded that USAID/Iraq's Rapid Assistance Program was achieving its goal for the majority of the grants reviewed. Specifically, the audit found that at least 30 of the 40 sampled grants tested (75%) had either fully or substantially achieved their intended results. However, some grants had not achieved their intended results due to a host of problems, which included design-related issues, lack of coordination with local authorities, poor performance by the implementing partner's subcontractors, and weak monitoring.

The audit also identified a series of financial irregularities involving at least 18 of the 40 grants reviewed (45%), which underscored the need for improved oversight. The irregularities included evidence of fictitious invoices, possible cost manipulation, and other improper billing practices. The irregularities were immediately referred to the USAID OIG/Iraq Office of Investigations. The report contained three recommendations to improve monitoring procedures and controls.

Ongoing Audits

Audit of the Implementation of USAID/Iraq's Iraqi Financial Management Information System

(INITIATED 4Q/FY 2009)

The objective is to evaluate if the Iraq Financial Management Information System (IFMIS) has been implemented and its main goals achieved.

Survey of Incidents Reported by Private Security Contractors of USAID/Iraq's Contractors and Grantees

(INITIATED 4Q/FY 2009)

The objectives are to determine the number of serious security incidents that occurred during the two-year period ending June 30, 2009, and to determine if USAID/Iraq has effectively implemented recommendations made in a prior OIG audit report on private security contractors ("Audit of USAID/Iraq's Oversight of Private Security Contractors in Iraq," E-267-09-002-P, dated March 4, 2009).

Audit of the Office of Foreign Disaster Assistance Program in Iraq

(INITIATED 1Q/FY 2009)

The objective is to evaluate if USAID's Office of Foreign Disaster Assistance Internally Displaced Persons and Vulnerable Population activities are achieving their intended results.

Defense Contract Audit Agency

The services of the Defense Contract Audit Agency (DCAA) include professional advice to acquisition officials on accounting and financial matters to assist them in the negotiation, award, administration, and settlement of contracts.

In addition to DCAA's involvement in the negotiation and award of contracts, significant resources are also dedicated to overseeing the allowability, allocability, and reasonableness of incurred and billed costs. Procedures that govern the costs incurred in-country are also tested through reviews of contractor timekeeping, subcontract management, and cash management/disbursement. Finally, to ensure that adequate internal controls are in place regarding the contractor's policies and procedures, DCAA performs audits associated with critical internal control systems, with an

TABLE G.2
DCAA AUDITS RELATED TO IRAQ FOR FY 2008 AND FY 2009

DESCRIPTION OF AUDIT AREA	FY 2008 CLOSED	FY 2009	
		CLOSED	OPEN
Price Proposals (1)	66	119	31
Other Special Requested Audits (2)	63	33	76
Incurred Cost (3)	78	25	190
Labor Timekeeping (4)	40	67	45
Internal Controls (5)	26	29	69
Preaward Accounting Survey (6)	4	5	7
Purchase Existence and Consumption (7)	12	28	22
Cost Accounting Standards (8)	44	22	110
Other (9)	45	30	101
Total	378	358	651

Note:

1. **Price Proposals** – Audits of price proposals submitted by contractors in connection with the award, modification, or repricing of government contracts or subcontracts
2. **Other Special Requested Audits** – Audit assistance provided in response to special requests from the contracting community based on identified risks
3. **Incurred Cost** – Audits of costs charged to government contracts to determine whether they are allowable, allocable, and reasonable
4. **Labor Timekeeping** – Audits to determine if the contractor consistently complies with established timekeeping system policies and procedures for recording labor costs
5. **Internal Controls** – Audits of contractor internal control systems relating to the accounting and billing of costs under government contracts
6. **Preaward Accounting Survey** – Preaward audits to determine whether a contractor's accounting system is acceptable for segregating and accumulating costs under government contracts
7. **Purchase Existence and Consumption** – The physical observation of purchased materials and services and related inquiries regarding their documentation and verification of contract charges
8. **Cost Accounting Standards** – Audits of Contractor Disclosure Statements and compliance with Cost Accounting Standards
9. **Other** – Significant types of other audit activities including compliance with Truth in Negotiations Act, audits of provisional billing rates, and audits of claims and termination settlement proposals

emphasis on estimating, subcontract management, and billing systems.

DCAA plans and performs work on a fiscal year basis. Table I-2 shows both the Iraq-related audits closed during FY 2008 and the audits closed and still open in FY 2009 (as of September 30, 2009).

U.S. Army Audit Agency

As of September 30, 2009, USAAA had 24 auditors deployed to Iraq, Afghanistan, and Kuwait.

Completed Audits

Logistics Civil Augmentation Program (LOGCAP) Operations in Support of Operation Iraqi Freedom—Power Generators

(A-2009-0245-ALL,
ISSUED SEPTEMBER 30, 2009)

USAAA reported that although the contractor provided the electrical power needed to support the mission and life support requirements in Iraq, government oversight and contractor use of power

generators were not fully effective under the LOG-CAP III contract. Specifically, improvements were needed in five areas: (1) process control procedures, (2) frequency of evaluating preventative maintenance, (3) maintenance requirements and records, (4) completeness and accuracy of property records, and (5) testing and validation of the property control system. Improvements in these areas were needed primarily due to a lack of government oversight caused by a limited number of appointed contracting officer technical representatives. USAAA found that the contractor's property book was accurate in terms of quantities and locations of generators, but it was incomplete regarding some specific details, such as serial number and generator capacities. Additionally, USAAA found 279 unused, mission-capable generators in a storage yard. The generators, which were valued at about \$18.5 million, were considered to be excess.

U.S. Army Corps of Engineers Contract Functions in Iraq, Gulf Region Division, Baghdad, Iraq

(A-2009-0244-ALL, ISSUED SEPTEMBER 30, 2009)

USAAA reported that processes and procedures were not adequate to ensure that the Gulf Region Division (GRD) executed procurement actions to maximize the delivery of construction in Iraq. Internal controls needed strengthening to ensure that: actions were taken to correct identified deficiencies within the contracting offices; proper oversight was provided to GRD contracting offices; required documents were prepared and retained to support key acquisition decisions; adequate training was provided to GRD contracting personnel; and a long-term staffing plan was in place to ensure that GRD could meet the demands of Iraqi reconstruction. In addition, the reach-back program that was intended to provide support to GRD personnel stationed in Iraq was not operating effectively or efficiently.

Property Book Unit Supply Enhanced, I Corps and Fort Lewis

(A-2009-0242-ALR, ISSUED SEPTEMBER 30, 2009)

The former Secretary of the Army requested this audit. USAAA reported that I Corps and Fort Lewis used the Property Book Unit Supply Enhanced System to account for equipment and system data was generally accurate. All but 8 of 4,615 equipment items tested were recorded on property records, but 6% of the records tested contained inaccurate serial numbers, and 3% contained inaccurate or missing registration numbers. Property book officers were properly appointed and units performed annual inventories, but some units did not have documentation to support sensitive-item and change-of-command inventories. Procedures did not result in reporting all excess equipment and requisitioning all equipment shortages. The units reviewed had about \$14.4 million of excess equipment that needed to be laterally transferred or turned in. The transfers would allow gaining units to cancel requisitions totaling about \$1.5 million. Additionally, some units did not have valid requisitions for \$4.1 million of equipment and did not follow Financial Liability Investigations of Property Loss guidance.

Retrograde Operations in Southwest Asia—Management of Automatic Return and Critical Items

(A-2009-0228-ALL, ISSUED SEPTEMBER 30, 2009)

This report is classified For Official Use Only (FOUO). The Army's Deputy Chief of Staff, G-4 requested this multi-phased audit. USAAA reported that the Army had established "retrograde teams" in theater to manually identify and expedite the return of automatic return items (ARIs) and critical items. Despite the Army's proactive initiative, USAAA identified significant delays with processing and returning these items to depots in the United States. Reviews at five redistribution

warehouses showed it took up to 71 days to return items because:

- Critical items were managed separately from ARIs.
- Many of the redistribution warehouses relied heavily on an unskilled contracted workforce.
- Units in Southwest Asia did not want to release aviation items outside the area of operations due to the criticality of the parts.

Processing delays resulted in excess inventory at the warehouses. Additionally, Class IX retrograde, which included ARIs and critical items, was a low priority for backhaul transportation. The low transportation priority caused delays in movement of these items out of theater and back to the depots. Consequently, depots sometimes had to acquire new items instead of relying on returns from theater to meet production requirements. USAAA's recommendations will help the Army reprioritize transportation of ARIs and critical items, reduce the retention of excess critical items, and prevent depot production slowdowns and unnecessary procurement actions.

Contracting Operations, U.S. Army Contracting Command Southwest Asia - Kuwait

(A-2009-0132-ALL, ISSUED SEPTEMBER 29, 2009)

USAAA performed the audit at the request of U.S. Army Criminal Investigation Command and Commander, U.S. Army Central Command. USAAA found that up to spring 2007, contracting practices related to the overall management of contracts awarded by the contracting office in Kuwait were not operating effectively and according to established laws and regulations. Adequate internal controls were not in place to make sure contract requirements were properly planned, awarded, and administered. The internal control weaknesses created an environment where contracting actions were highly susceptible to fraud, waste, and misuse

and increased cost to the Army. The audit also identified some potential fraudulent activities that went undetected and some goods and services that were not acquired in the most cost-effective manner. In addition, the Army did not have assurance it received all the goods and services it paid for. USAAA's recommendations to improve contracting operations in Kuwait should achieve substantial savings.

During the audit, the Army initiated significant actions to improve the efficiency and effectiveness of operations conducted out of the contracting office in Kuwait. One action was to transfer operational control of the office to U.S. Army Materiel Command. USAAA initiated a separate audit to evaluate the effectiveness of the actions taken to improve contracting operations at the contracting office in Kuwait

Army Acquisition Objective Process

(A-2009-0233-ALA, ISSUED SEPTEMBER 25, 2009)

The Office of the Deputy Chief of Staff, G-3/5/7 requested this audit. USAAA conducted the audit in response to concerns about how the Army was setting the Army Acquisition Objective (AAO) during a time of war. USAAA reported the Army needed to update its guidance to help ensure it had a deliberate, orderly process for identifying and adjusting its AAO requirements to account for wartime needs. The Army's process did not include some key AAO components in the calculations, such as war reserve stocks and maintenance float. Also, the Army did not properly update AAOs and did not adequately document initial AAO and adjustment decisions. Without an effective and reliable AAO process, the Army could not accurately represent the complete, unconstrained requirements for budgetary purposes. Also, the modernization and future readiness levels of Army units could be at risk.

Effect of Mine Resistant Ambush Protected Vehicle (MRAP) Upon Tactical Vehicle System Requirements, Office of the Deputy Chief of Staff, G-3/5/7

(A-2009-0221-ALA, ISSUED SEPTEMBER 21, 2009)

This report is classified For Official Use Only (FOUO). USAAA performed the audit to determine if the Army adequately planned, assessed, and adjusted requirements for new and existing vehicle systems impacted by the acquisition and deployment of the MRAP vehicle system.

USAAA found the Army and Marine Corps quickly identified and met an urgent in-theater need in Iraq and Afghanistan for the MRAP vehicle system to fill capability gaps encountered by Up-Armored High-Mobility Multipurpose Wheeled Vehicles (UAHs). However, the Army could make the most of the MRAP vehicle system by:

- Developing a long-range plan for the vehicle after its current mission in theater and making the vehicle system a Program of Record.
- Further assessing the vehicle's impact on in-theater High-Mobility Multipurpose Vehicle requirements; a one-for-one (MRAP for UAH) replacement ratio will save the Army \$620 million over three years.
- Planning for the displacement or disposal of High-Mobility Multipurpose Wheeled Vehicles being replaced by MRAP vehicles.
- Determining to what extent the mission of the MRAP overlaps with the mission and requirements of the future Joint Light Tactical Vehicle and incorporating changes in the tactical vehicle fleet mix in its Tactical Wheeled Vehicle Strategy.

USAAA also reviewed the strategy to transport MRAP vehicles to the Iraq/Afghanistan Theater. The three weeks time saved did not justify the cost of airlift. USAAA briefed these results to Army and CENTCOM personnel, who took action to discontinue airlifting vehicles. By discontinuing airlift in favor of sealift, the Army saved about \$254 million through December 2008.

Sensitive Items Accountability and Control, Abu Ghraib Warehouse, Iraq

(A-2009-0219-ALL, ISSUED SEPTEMBER 21, 2009)

This report is classified For Official Use Only (FOUO). USAAA performed the audit at the request of the Director, CJ 1/4/8, Multi-National Force-Iraq (MNF-I). USAAA found that processes and procedures were adequate to ensure property accountability and controls over sensitive items at the Abu Ghraib warehouse. However, the Commercial Logistics Distribution Agency needed to improve how it monitored contractor performance and documented compliance with the established quality assurance plans for contracts associated with the Abu Ghraib warehouse mission. MNF-I agreed with the report's conclusions and recommendations and stated it had taken or would take action to implement the recommendations.

Requirements for Mobilized Soldiers

(A-2009-0212-FFS, ISSUED SEPTEMBER 17, 2009)

USAAA reported that, for the most part, soldiers mobilized in support of contingency operations outside of theater continued to have valid mission-essential requirements; however, the Army lacked a clear definition for valid requirements and a process for Army activities to validate their requests. Some soldiers were performing duties that were not mission-essential to contingency operations, and other soldiers were not performing duties they were mobilized for, or were performing duties that were enduring requirements that will continue to be necessary even if contingency operations cease.

Overall, the Army did not have an accurate picture of its requirements for mobilized soldiers outside of theater and may not be making optimal use of them considering its current demands on the Operating Force. USAAA found that 51% of the requirements reviewed could be effectively performed by civilian or contractor personnel at a lower cost and use of such personnel could offer

a more long-term solution for meeting mission needs than using mobilized soldiers. USAAA made 10 recommendations to the Deputy Chiefs of Staff for G-1 and G-3/5/7 to improve these conditions. Both activities agreed with the recommendations and initiated corrective actions.

Use of Role-players for Training— Exclusive of Combat Training Centers

(A-2009-0202-FFF, ISSUED AUGUST 28, 2009)

The Office of the Deputy Chief of Staff, G-3/5/7 requested this audit. USAAA reported the process used to acquire role-players was not cost effective because each training site contracted for role-players individually, instead of using a more corporate-wide approach. In addition, the Army needed guidance to clearly define the types of role-players and the best type of contract to use to acquire role-players. Although the type and source of logistical support provided to role-players were consistent, the costs for weapons rental and ammunition purchases varied between training sites and reduced cost-effectiveness. Logistical support costs varied because each training site contracted for role-players using various contracting sources. Furthermore, the Army paid some contractor charges that were not properly supported and overpaid other charges for some logistical support items. The Army could save about \$25.9 million by using a corporate-wide contracting approach and recouping overpayments from the contractor.

Property Accountability, 3rd Infantry Division, Rear Detachment, Fort Stewart, Georgia

(A-2009-0181-ALR, ISSUED AUGUST 18, 2009)

The Deputy Chief of Staff, G-4 requested this audit. USAAA reported that the six units it visited used the Property Book Unit Supply Enhanced (PBUSE) system to account for their equipment. However, there were some errors. Units did not properly account for about \$215,000 of the

\$22 million of equipment that USAAA validated, and some property management procedures were not working as intended. Although battalion commanders properly appointed property book officers in writing, the property book officers sometimes were not fully qualified and trained when appointed. Company commanders sometimes did not conduct periodic inventories when required or follow established procedures when they conducted inventories. The accountability errors occurred and the procedures did not work as intended because of a lack of emphasis on property accountability.

Controls Over Vendor Payments— Kuwait (Phase I—U.S. Army Contracting Command, Southwest Asia, Camp Arifjan, Kuwait)

(A-2009-0173-ALL, ISSUED JULY 29, 2009)

USAAA performed this audit as part of a series of audits on Controls Over Vendor Payments in Southwest Asia. USAAA reported that the Army took positive steps to increase the validity and accuracy of vendor payments for contracts awarded and/or administered by U.S. Army Contracting Command, Southwest Asia–Kuwait. One primary factor was redirecting vendor pay operations to Defense Finance and Accounting Service (DFAS) Rome and DFAS Columbus. Also, during the audit, the Kuwait office updated its Standard Operating Procedures to include invoice and receiving report requirements.

However, the Army needed to take additional measures to improve overall payment integrity. For instance, personnel were not aware of their responsibility to review invoice packages before submitting the packages to DFAS for payment. USAAA found that DFAS returned 32% of the invoice packages the Kuwait office submitted for payment because they were incomplete or incorrect. The office also needed to improve its tracking log (used to document the status of the invoices it submitted) to provide a detailed and reliable audit trail.

Until the Army resolves these issues, it will continue to submit questionable invoice packages for payments. To strengthen the accuracy of vendor payments (as well as deter fraud, waste, and abuse), the Army needs to educate units to make sure they are aware of payment procedures and put proper controls in place before units submit invoice packages for payment.

Commander's Emergency Response Program—Iraq

(A-2009-0169-ALL, ISSUED JULY 28, 2009)

(A-2009-0182-ALL, ISSUED AUGUST 18, 2009)

(A-2009-0235-ALL, ISSUED SEPTEMBER 28, 2009)

The Commander, Multi-National Force- Iraq (MNF-I), requested this audit. USAAA performed the audit to assess whether the Commander's Emergency Response Program (CERP) in Iraq had adequate controls in place to make sure commanders implemented the program properly. USAAA performed work at four locations throughout Iraq and issued separate reports (one in the third quarter FY 09-Report A-2009-0119-ALL, dated June 8, 2009, and three in the fourth quarter FY 2009 as shown above) on the results of each site review. USAAA will incorporate its overall audit results in a summary report that will be issued in FY 2010. The summary report will include the Army's official position on the overall audit results, conclusions, and recommendations. None of the four site reports that USAAA issued included an official Army position, and all four reports were classified For Official Use Only (FOUO).

Audit results showed that the vast majority of projects reviewed were valid. However, USAAA identified numerous challenges related to the application of CERP guidance in the *Money As A Weapons System* manual. Some of the common challenges the audits identified included making sure that:

- project files had required documents such as memorandums of agreement, financial forms,

and letters of justification to allow program officials to make sure projects were authorized and sustainable

- statements of work were sufficiently detailed and clearly written so command could effectively monitor contactor performance and make sure it received the goods and services it paid for
- project purchasing officers accompanied purchasing agents when making payments to minimize the potential for questionable payments

The challenges USAAA identified occurred primarily because of shortfalls in training and the experience level of personnel performing the fund's management, oversight, and execution actions. Generally, commanders agreed with the audit results and took prompt, aggressive corrective actions. The overall summary report will include additional recommendations to strengthen guidance and controls over CERP throughout the MNF-I.

Assessing Future Base Budget Requirements

(A-2009-0159-ALM, ISSUED JULY 27, 2009)

(A-2009-0192-FFF, ISSUED AUGUST 25, 2009)

(A-2009-0196-ALO, ISSUED AUGUST 27, 2009)

(A-2009-0188-FFM, ISSUED SEPTEMBER 1, 2009)

(A-2009-0164-FFS, ISSUED SEPTEMBER 1, 2009)

These five reports address the same subject and are classified For Official Use Only (FOUO). Report A-2009-0188-FFM is the overall summary report. It summarizes the work and results of seven audit teams. USAAA reported that the Army did an adequate job of identifying requirements in the FYs 2010-2015 Program Objective Memorandum (POM). However, the process that five of the six Program Evaluation Groups used to determine critical requirements was not fully transparent, and in many cases requirements were not classified as critical if supplemental funds were available. USAAA also identified that some enduring

requirements for FYs 2010-2015 were not fully considered while building the POM. These conditions occurred primarily because of conflicting and changing DoD guidance and the Army's continued reliance on the supplemental. Additionally, the Army needed greater transparency and better criteria for identifying incremental costs of war to be included in Overseas Contingency Operations requests and those enduring requirements that need to migrate to the base budget. If the recommendations in the report are implemented, the Army can better make its case for increases in base budget funding, and make more informed and transparent decisions on where to take additional risks.

Army Foreign Language Program Contracting

(A-2009-0144-ZBI, ISSUED JULY 23, 2009)

This report is classified For Official Use Only (FOUO). USAAA reported that the Army had adequate processes and procedures for validating requirements for contract linguists. However, improvements were needed to ensure all requirements for linguist support go through the Office of the Deputy Chief of Staff, G-2 for validation. USAAA also found that the Army's linguist contracts were structured to provide the best value to the government. However, USAAA found one of the contracts could have included the use of fixed-price orders or line items that would reduce the risk to the government and provide maximum incentive for the contractor to control costs.

Followup Audit of Management Controls Over Offline Purchases

(A-2009-0165-ALL, ISSUED JULY 16, 2009)

USAAA followed up on actions the Office of the Deputy Chief of Staff, G-4 (ODCS, G-4) took to implement recommendations in Audit Report: A-2006-0136-ALL, Management Controls Over Offline Purchases. The original audit concluded that activities circumvented established controls

in the standard Army supply and procurement systems, and purchased property and materiel through offline systems without the knowledge of fund control and materiel managers. USAAA reported that:

- ODCS, G-4 reported offline purchases as an area of concern in the FY 06 Annual Assurance Statement. However, the Army did not appropriately monitor the area of concern and therefore the action didn't fix the problem.
- ODCS, G-4 did not establish upfront interfaces with offline purchasing systems and the Army's supply and financial systems. Instead, it established supply policies and selective control mechanisms in an attempt to control offline purchases. However, these actions did not fully resolve the control weaknesses.

USAAA also found that offline purchases had increased since the original audit. Consequently, unauthorized offline purchases continue to affect Army supply and financial systems' integrity by (i) weakening the ability of fund control and materiel managers to budget and forecast properly, (ii) hindering the Army's visibility and accountability of supplies and materiel, and (iii) opening the door to abuse and illegal acts, and possible Antideficiency Act violations. To help resolve these issues, USAAA recommended the ODCS, G-4:

- Report offline purchases as a material weakness to the Secretary of the Army for inclusion in the FY 09 Annual Assurance Statement.
- Implement interfaces and control mechanisms between offline purchase systems and the Army supply and financial systems.
- Include supply policy governing offline purchases in Army regulations.
- Develop metrics to capture the amount of offline purchases and disseminate that information to subordinate commands to enforce supply discipline and reduce the risks associated with offline purchases.

Field Level Maintenance Operations, U.S. Army Sustainment Command and U.S. Army Garrison, Fort Hood, Texas

(A-2009-0156-ALM, ISSUED JULY 9, 2009)

USAAA reported that the Sustainment Command assigned maintenance workload to sources of repair and prioritized workload to be sure repair activities completed reset workload within 180 days and left-behind equipment within 365 days. Fort Hood completed assigned workload, but its management information system did not have adequate controls to ensure correct billing or reporting to the logistics systems. Fort Hood billed \$114,864 in erroneous charges during January through May 2008 and \$63,384 for unsupported labor charges to military interdepartmental purchase requests. Fort Hood also underreported labor charges by more than \$476,000 to the Army's logistics systems. Fort Hood recently implemented the Standard Army Maintenance System-IE to improve controls, but managers needed to update labor rates for the system to report accurate information to the Army's logistics systems.

Ongoing Audits

Contract for Recycling and Disposing of Waste Material at Camp Steeler, Iraq

(PROJECT NO. A-2009-ALL-0571.000, INITIATED Q4/FY 2009)

This audit is being performed in Iraq. It will determine if the contractor performed according to the terms, specifications, and conditions of the contract. The sub-objectives are to evaluate the adequacy of controls over (1) payments to the contractor, (2) delivery of material for recycling and costs associated with recycling, and (3) delivery and use of fuel.

Camp Buehring Fuel Farm Operations—Kuwait

(PROJECT NO. A-2009-ALL-0590.000, INITIATED Q4/FY 2009)

This audit is being performed in Kuwait. It will determine whether controls over fuel farm operations at Camp Buehring were adequate to ensure proper accountability and limit access at fuel points.

Commander's Emergency Response Program - Afghanistan

(PROJECT NO. A-2009-ALL-0531.000, INITIATED Q4/FY 2009)

This audit is being performed in Afghanistan. It will determine if the program in Afghanistan had adequate internal controls in place to ensure commanders and unit personnel implemented the program properly.

Controls over Shipping Container Accountability and Visibility—Iraq

(PROJECT NO. A-2009-ALL-0593.000, INITIATED Q4/FY 2009)

This audit is being performed in Iraq. It will determine if: (1) controls over shipping container accountability and visibility were effective, (2) Command implemented recommendations in the prior report and, if so, did the corrective actions fix the conditions identified, and (3) controls over shipping container condition and sea worthiness were effective.

Award Fee Determinations on Logistics Civil Augmentation Program (LOGCAP) III Contract, Task Order 0139

(PROJECT NO. A-2009-ALC-0535.000, INITIATED MAY 21, 2009)

This audit is being performed in the continental United States (CONUS). It was requested by the Secretary of the Army. It will determine whether award fee determinations for task order 0139 were

justified and consistent with applicable policies, regulations, and contract provisions.

Reserve Component Post Mobilization Training

(PROJECT NO. A-2009-FFS-0075.000, INITIATED MARCH 31, 2009)

This audit is being performed in the continental United States (CONUS). It will determine if post-mobilization training requirements were adequately identified and executed by the Army Reserve and National Guard. It will also determine if necessary unit and individual training requirements were identified and completed prior to deployment; and if post-mobilization training requirements unnecessarily duplicated pre-mobilization training.

Force Protection—Security Badging (Kuwait)

(PROJECT NO. A-2009-ALL-0133.000, INITIATED MARCH 26, 2009)

This audit is being performed in Kuwait. It will evaluate the effectiveness of internal controls at the Area Support Group-Kuwait for issuing, accounting for, and using security badges. It will also evaluate the adequacy of procedures for safeguarding personal information contained in automated systems used in the security badging process.

Controls over Vendor Payments—Southwest Asia (Phase II)

(PROJECT NO. A-2009-ALL-0118.000, INITIATED FEBRUARY 10, 2009)

This audit is being performed at various locations in Southwest Asia. It will determine if the Army has effective controls to ensure the accuracy of vendor payments for contingency operations in Southwest Asia.

Multi-National Security Transition Command-Iraq (MNSTC-I), Iraqi Security Forces Fund (ISFF)

(PROJECT NO. A-2009-ALL-0110.000, INITIATED FEBRUARY 2, 2009)

This audit is being performed in Iraq. It will evaluate Command's process for planning, budgeting, and reviewing resources of the ISFF.

Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan—Kabul and Jalalabad (Afghanistan)

(PROJECT NO. A-2009-ALL-0106.000, INITIATED FEBRUARY 2, 2009)

This audit is being performed in Afghanistan. It will determine if goods and services acquired under contract were properly justified, awarded, and administered.

Non-Standard Equipment Sustainment

(PROJECT NO. A-2009-ALM-0059.000, INITIATED JANUARY 27, 2009)

This audit is being performed in the continental United States (CONUS). It will determine if the Army has adequate visibility over non-standard equipment items, and if it has an effective sustainment plan to ensure that repair sources and repair parts are available to sustain non-standard equipment.

Forward Operating Base (FOB) Closures

(PROJECT NO. A-2009-ALL-0354.000, INITIATED JANUARY 20, 2009)

This audit is being performed in Iraq. It will determine whether processes and procedures for the closure of FOBs in Iraq are adequate.

Foreign Military Sales (FMS)

(PROJECT NO. A-2008-ALA-0588.000,
INITIATED JANUARY 20, 2009)

This audit is being performed in the continental United States (CONUS). It will determine if FMS to Iraq and Afghanistan are effectively managed and administered.

Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out

(PROJECT NO. A-2009-ALC-0093.000,
INITIATED OCTOBER 20, 2008)

This audit is being performed in the continental United States (CONUS) and various locations in Southwest Asia. It will determine if the Army has procedures and controls in place to effectively close out the LOGCAP III contract to ensure proper payment of its legitimate liabilities and deobligations of unused funds.

Unit Training on Defeat Improvised Explosive Devices (IEDs)

(PROJECT NO. A-2008-FFF-0081.000,
INITIATED SEPTEMBER 10, 2008)

This audit is being performed in the continental United States (CONUS). It will determine if units are conducting appropriate training to counter the improvised explosive devices (IEDs) threat.

Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan—Kandahar and Salerno (Afghanistan)

(PROJECT NO. A-2008-ALL-0401.000,
INITIATED SEPTEMBER 1, 2008)

This audit is being performed in Afghanistan. It will determine if goods and services acquired under the contract were properly justified, awarded, and administered.

Controls over Logistics Civil Augmentation Program (LOGCAP)—White Property

(PROJECT NO. A-2008-ALL-0398.000,
INITIATED JULY 21, 2008)

This audit is being performed in Iraq. It will determine if the Logistics Civil Augmentation Program (LOGCAP) contractor properly managed and accounted for government acquired property.

Housing Contracts—Area Support Group (ASG)-Kuwait

(PROJECT NO. A-2008-ALL-0403.000,
INITIATED JULY 7, 2008)

This audit is being performed in Kuwait. It will determine if the housing program in Kuwait was properly managed and if property or assets provided by the government and acquired by the contractor were adequately managed.

U.S. Army Corps of Engineers (USACE) Pilot Defense Base Act (DBA) Insurance Program

(PROJECT NO. A-2008-ALL-0633.000,
INITIATED JUNE 18, 2008)

This audit is being performed in the continental United States (CONUS). It will determine if DBA insurance, as acquired under the USACE pilot program, is a cost-effective solution for satisfying overseas workers compensation insurance requirements for the Army.

Follow-up Audit of Contracting Operations, U.S. Army Contracting Command (USACC), SWA-Kuwait (Phase I)

(PROJECT NO. A-2008-ALL-0625.000,
INITIATED JUNE 9, 2008)

This audit is being performed in Kuwait. It evaluates the effectiveness of actions taken to improve Army contracting operations in Kuwait.

Automatic Reset Items—Depot

(PROJECT NO. A-2008-ALM-0313.000, INITIATED MAY 19, 2008)

This audit is being performed in the continental United States (CONUS). It will determine if the Automatic Reset Induction program at depots is effectively supporting equipment requirements in the Army Force Generation model.

Audit of Government Property Provided to Contractors—Kuwait Base Operations

(PROJECT NO. A-2008-ALL-0204.000, INITIATED MARCH 4, 2008)

This audit is being performed in Kuwait. It will determine whether the Army had adequate management and visibility over government property provided to contractors for base support operations.

Directorate of Logistics Workload Supporting Reset

(PROJECT NO. A-2008-ALM-0311.000, INITIATED FEBRUARY 18, 2008)

This audit is being performed in the continental United States (CONUS). It will determine if the Army Garrison has an adequate process in place to identify and meet field-level reset requirements in support of the Army Force Generation (ARFORGEN) model.

Management of the Prepositioned Fleet at Combat Training Centers

(PROJECT NO. A-2008-FFF-0044.000, INITIATED FEBRUARY 12, 2008)

This audit is being performed in the continental United States (CONUS). It will determine if the pre-positioned fleets are adequately configured. It will also determine if rotational units are effectively using the pre-positioned fleets and if the maintenance costs for the pre-positioned fleets were reasonable.

Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan (Balad)

(PROJECT NO. A-2008-ALL-0319.000, INITIATED JANUARY 29, 2008)

This audit is being performed in Iraq. It will determine if goods and services acquired under contract were properly justified, awarded, and administered.

Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan (Bagram)

(PROJECT NO. A-2008-ALL-0320.000, INITIATED JANUARY 28, 2008)

This audit is being performed in Afghanistan. It will determine if goods and services acquired under contract were properly justified, awarded, and administered.

Property Book Unit Supply Enhanced (Audit Control Point)

(PROJECT NO. A-2008-ALR-0039.000, INITIATED DECEMBER 3, 2007)

This audit is being performed in the continental United States (CONUS). It will determine if units used the Property Book Unit Supply Enhanced system to properly account for equipment and maintain accurate data.

Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan (Victory)

(PROJECT NO. A-2007-ALL-0887.002, INITIATED JULY 26, 2007)

This audit is being performed in Iraq. It will determine if goods and services acquired under contract were properly justified, awarded, and administered.

Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan (Baghdad)

(PROJECT NO. A-2007-ALL-0887.001, INITIATED JULY 24, 2007)

This audit is being performed in Iraq. It will determine if goods and services acquired under contract were properly justified, awarded, and administered.

Contracting Operations, U.S. Army Contracting Command (USACC), SWA-Kuwait (Phase II)

(PROJECT NO. A-2007-ALL-0859.000, INITIATED JUNE 18, 2007)

This audit is being performed in Kuwait. It will determine if contracts for heavy lift services were adequately developed and effectively administered; laundry services were effectively managed; and if the Defense Base Act (DBA) insurance was properly administered on Kuwait contracts.

Retrograde Operations in Southwest Asia — Kuwait

(PROJECT NO. A-2007-ALL-0858.000, INITIATED JUNE 9, 2007)

This audit is being performed in Kuwait. It will determine if retrograde operations in Southwest Asia were managed in an effective and cost-efficient manner.

Government Accountability Office

GAO will continue to provide oversight of the U.S. drawdown from Iraq, DoD programs and initiatives in Iraq, and the transition to a U.S. presence led by the Department of State (DoS). GAO will conduct audits as mandated by law, such as a review of the campaign plan and oversight of contracts and contractors.

Completed Reports

Overseas Contingency Operations: Reported Obligations for the Department of Defense

(GAO-09-1022R, ISSUED SEPTEMBER 25, 2009)

Since 2001, Congress has provided the Department of Defense (DoD) with \$893 billion in supplemental and annual appropriations, as of June 2009, primarily for Overseas Contingency Operations (OCO). DoD's reported annual obligations for OCO have shown a steady increase from about \$0.2 billion in FY 2001 to about \$162.4 billion in FY 2008. For FY 2009, Congress appropriated \$151 billion in war-related requests. A total of \$89.1 billion has been obligated through the third quarter of FY 2009 through June 2009. The U.S. commitments to OCO will likely involve the continued investment of significant resources, requiring decision makers to consider difficult trade-offs as the nation faces an increasing long-range fiscal challenge.

The magnitude of future costs will depend on several direct and indirect cost variables and, in some cases, decisions that have not yet been made. DoD's future costs will likely be affected by the pace and duration of operations, the types of facilities needed to support troops overseas, redeployment plans, and the amount of equipment to be repaired or replaced. DoD compiles and reports monthly and cumulative incremental obligations incurred to support OCO in a monthly report commonly called the Contingency Operations Status of Funds Report. DoD leadership uses this report, along with other information, to advise Congress on the costs of the war and to formulate future OCO budget requests. DoD reports these obligations by appropriation, contingency operation, and military service or defense agency. DoD has prepared monthly reports on the obligations incurred for its involvement in OCO since FY 2001.

As of June 2009, Congress has appropriated a total of about \$893 billion primarily for OCO since 2001. Of that amount, \$151 billion was

appropriated for use in FY 2009. DoD has reported obligations of about \$744 billion for OCO from FY 2001 through FY 2008 and for FY 2009 (October 2008 through June 2009). The \$149 billion difference between DoD's appropriations and reported obligations can generally be attributed to the remaining FY 2009 appropriations; multiyear funding for procurement; military construction; and research, development, test, and evaluation from previous OCO-related appropriations that have yet to be obligated; and obligations for classified and other items, which DoD considers to be non-OCO related, that are not reported in DoD's cost-of-war reports. Of DoD's total cumulative reported obligations for OCO through June 2009 (about \$744 billion), about \$570 billion is for operations in and around Iraq as part of Operation Iraqi Freedom, and about \$146 billion is for operations in Afghanistan, the Horn of Africa, the Philippines, and elsewhere as part of Operation Enduring Freedom. The remainder of about \$28 billion is for operations in defense of the homeland as part of Operation Noble Eagle.

DoD's reported obligations for Operation Iraqi Freedom have consistently increased each fiscal year since operations began. The increases in reported obligations for Operation Iraqi Freedom are in part due to continued costs for military personnel, such as military pay and allowances for mobilized reservists, and for rising operation and maintenance expenses, such as higher contract costs for housing, food, and services and higher fuel costs.

In FY 2009, through June 2009, DoD reported obligations of about \$89.1 billion, which is more than one half of the total amount of obligation it reported for all of FY 2008. Reported obligations for Operation Iraqi Freedom for the same period continue to account for the largest portion of total reported OCO obligations by operation--about \$61.5 billion. In contrast, reported obligations associated with Operation Enduring Freedom total about \$27.4 billion, and reported obligations

associated with Operation Noble Eagle total about \$138.2 million.

Department of State: Additional Steps Needed to Address Continuing Staffing and Experience Gaps at Hardship Posts

(GAO-09-874, ISSUED SEPTEMBER 17, 2009)

Despite some progress in addressing staffing shortfalls since 2006, DoS's diplomatic readiness remains at risk due to persistent staffing and experience gaps at key hardship posts. Several factors contribute to these gaps. First, DoS continues to have fewer officers than positions, a shortage compounded by the personnel demands of Iraq and Afghanistan. Second, while DoS has reduced its mid-level experience gap, the department does not anticipate eliminating this gap until 2012 and continues to face difficulties attracting experienced applicants to hardship posts--especially posts of greatest hardship. Third, although DoS's assignment system has prioritized the staffing of hardship posts, it does not explicitly address the continuing experience gap at such posts, many of which are strategically important, yet are often staffed with less experienced officers. Staffing and experience gaps can diminish diplomatic readiness in several ways, according to DoS officials. For example, gaps can lead to decreased reporting coverage, loss of institutional knowledge, and increased supervisory requirements for senior staff, detracting from other critical diplomatic responsibilities.

DoS uses a range of incentives to staff hardship posts, but their effectiveness remains unclear due to a lack of evaluation incentives to serve in hardship posts range from monetary benefits to changes in service and bidding requirements, such as reduced tour lengths at posts where dangerous conditions prevent some family members from accompanying officers. In a 2006 report on staffing gaps, GAO recommended that DoS evaluate the effectiveness of its incentive programs for hardship post assignments. In response, DoS added a question about hardship incentives to a recent employee survey.

However, the survey does not fully meet GAO's recommendation for several reasons, including that DoS did not include several incentives in the survey.

DoS also did not comply with a legal requirement to assess the effectiveness of increasing danger and hardship pay in filling certain posts. Recent legislation increasing Foreign Service Officers' basic pay will increase the cost of existing incentives, thereby heightening the importance that DoS evaluate its incentives for hardship post assignments to ensure resources are effectively targeted and not wasted.

Human Capital Management: Improved Tracking and Additional Actions Needed to Ensure the Timely and Accurate Delivery of Compensation and Medical Benefits to Deployed Civilians

(GAO-09-1019T, ISSUED SEPTEMBER 16, 2009)

The Department of Defense (DoD) and other executive agencies increasingly deploy civilians in support of contingency operations in Iraq and Afghanistan. Prior GAO reports show that the use of deployed civilians has raised questions about the potential for differences in policies on compensation and medical benefits. When these civilians are deployed and serve side by side, differences in compensation or medical benefits may become more apparent and could adversely impact morale.

While policies concerning compensation for deployed civilians are generally comparable, GAO found some issues that affect the amount of compensation—depending on such things as the pay system, and the accuracy, timeliness, and completeness of this compensation. For example, two comparable civilian supervisors who deploy under different pay systems may receive different rates of overtime pay because this rate is set by the employee's pay system and grade/band. While a congressional subcommittee asked OPM to develop a benefits package for all civilians deployed to war zones and recommend enabling legislation,

at the time of GAO's review, OPM had not yet done so. Also, implementation of some policies may not always be accurate or timely. For example, GAO estimates that about 40 percent of the deployed civilians in its survey reported experiencing problems with compensation, including danger pay. GAO recommended, among other things, that OPM oversee an agency working group on compensation to address differences and, if necessary, make legislative recommendations. OPM generally concurred with this recommendation.

Although agency policies on medical benefits are similar, GAO found some issues with medical care following deployment, workers' compensation, and post deployment medical screenings that affect the benefits of deployed civilians. Specifically, while DoD allows its treatment facilities to care for non-DoD civilians following deployment in some cases, the circumstances are not clearly defined and some agencies were unaware of DoD's policy. Civilians who deploy also may be eligible for benefits through workers' compensation. GAO's analysis of 188 such claims revealed some significant delays resulting in part from a lack of clarity about the documentation required. Without clear information on what documents to submit, applicants may continue to experience delays.

Further, while DoD requires medical screening of civilians before and following deployment, DoS requires screenings only before deployment. Prior GAO work found that documenting the medical condition of deployed personnel before and following deployment was critical to identifying conditions that may have resulted from deployment. In June 2009, GAO recommended, among other things, that DoS establish post-deployment screening requirements and that DoD establish screening requirements to ensure its post-deployment screenings requirements are completed. Each agency provided GAO with a list of deployed civilians, but none had fully implemented policies to identify and track these civilians. DoD, for example, had procedures to identify and track civilians but concluded that its guidance was not consistently

implemented. While the other agencies had some ability to identify and track civilians, some had to manually search their systems. Thus, agencies may lack critical information on the location and movement of personnel, which may hamper their ability to intervene promptly to address emerging health issues. GAO recommended that DoD enforce its tracking requirements and the other five agencies establish tracking.

Contingency Contract Management: DoD Needs to Develop and Finalize Background Screening and Other Standards for Private Security Contractors

(GAO-09-351, ISSUED JULY 31, 2009)

DoS and DoD have developed policies and procedures to conduct background screenings of PSC personnel working in Iraq who are U.S. citizens, but only DoS has done so for foreign nationals. Homeland Security Presidential Directive 12 (HSPD-12) directs U.S. government agencies to establish minimum background screening requirements in order to issue access credentials. But DoD has not developed department-wide procedures for conducting background screenings of its foreign national PSC personnel. Disagreements among the various DoD offices responsible for developing and implementing these policies and procedures hindered timely execution of the HSPD-12 requirements, and the completion of this development and implementation has been hampered by the lack of a focal point to resolve these disagreements. For example, officials at the Office of the Under Secretary of Defense for Intelligence interpret HSPD-12 as requiring a government screening process for foreign national contractor personnel that is equivalent to the National Agency Check with Written Inquiries (NACI) currently used for U.S. citizen contractor personnel. But officials at the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics maintain that a NACI-equivalent screening for foreign nationals would not be feasible, given the inherent difficulty of screening

foreign nationals and the inconsistent quality of criminal and employment records from one country to another, and further, such an approach would severely limit the numbers of foreign national contractor personnel DoD could use.

The offices also differ as to who should approve background screenings, known as adjudication. The Commander of Multi-National Force-Iraq has established a screening process for PSCs, but GAO has identified several shortcomings that limit the effectiveness of this process. For example, the process directs contractors to obtain background screening for entities that will not provide data to contractors. While DoD has acknowledged the inherent force protection risk it assumes when using contractor employees, without the timely development of standardized policies and procedures, DoD lacks full assurance that all its PSCs are properly screened.

While DoD is developing guidance to meet the requirements of the 2008 National Defense Authorization Act, the draft guidance does not meet all of the requirements of that act. For example, the draft guidance does not address the requirement for establishing minimum standards for background screening of PSCs. Instead it directs the combatant commanders to establish standards for their respective areas of responsibility, though it does not establish time frames within which they should do so. Without addressing these concerns, DoD's draft guidance only partially meets the requirements of the 2008 National Defense Authorization Act. DoD and DoS have taken actions on other issues related to PSCs in Iraq. For example, they have implemented similar processes to ensure that PSC personnel are trained, and to account for PSC weapons. Both agencies have also developed policies related to alcohol use by PSCs.

Overseas Contingency Operations: Reported Obligations for the Department of Defense

(GAO-09-791R, ISSUED JULY 10, 2009)

Since 2001, Congress has provided the Department of Defense (DoD) with \$888 billion in supplemental and annual appropriations, as of June 2009, primarily for Overseas Contingency Operations (OCO). DoD's reported annual obligations for OCO have shown a steady increase from about \$0.2 billion in FY 2001 to about \$162.4 billion in FY 2008. For FY 2009 OCO, Congress provided DoD with about \$65.9 billion in the FY 2009 DoD Appropriations Act and about \$80.0 billion in a supplemental appropriation enacted in June 2009. A total of \$59.6 billion has been obligated through the second quarter of FY 2009 through March 2009. U.S. commitments to OCO will likely involve the continued investment of significant resources, requiring decision makers to consider difficult trade-offs as the nation faces an increasing long-range fiscal challenge.

The magnitude of future costs will depend on several direct and indirect cost variables and, in some cases, decisions that have not yet been made. DoD's future costs will likely be affected by the pace and duration of operations, the types of facilities needed to support troops overseas, redeployment plans, and the amount of equipment to be repaired or replaced. DoD compiles and reports monthly and cumulative incremental obligations incurred to support OCO in a monthly report commonly called the Contingency Operations Status of Funds Report. DoD leadership uses this report, along with other information, to advise Congress on the costs of the war and to formulate future OCO budget requests. DoD reports these obligations by appropriation, contingency operation, and military service or defense agency. DoD has prepared monthly reports on the obligations incurred for its involvement in OCO since FY 2001.

Section 1221 of the National Defense Authorization Act for FY 2006 requires us to submit quarterly updates to Congress on the costs of Operation

Iraqi Freedom and Operation Enduring Freedom based on DoD's monthly cost-of-war reports. This report, which responds to this requirement, contains analysis of DoD's reported obligations for overseas contingencies through March 2009. Specifically, GAO assessed (1) DoD's cumulative appropriations and reported obligations for military operations in support of overseas contingencies and (2) DoD's FY 2009 reported obligations from October 2008 through March 2009, the latest data available for OCO by military service and appropriation account.

Ongoing Audits

Readiness of Air Force Combat and Expeditionary Combat Forces

(PROJECT NO. 351376, INITIATED SEPTEMBER 2009)

High operational tempos have challenged the Air Force's ability to provide certain types of units and personnel to support ongoing operations and other commitments. GAO's key questions are:

- What are the demands for Air Force combat and expeditionary combat support capabilities?
- To what extent is the Air Force able to provide combat and expeditionary combat support forces?
- What factors affect the Air Force's ability to meet demands for ongoing operations and maintain sufficient forces and capabilities to meet other commitments?
- To what extent has the Air Force identified any potential gaps in meeting demands and developed plans to address such gaps, including adjustments to forces structure and manning authorizations?

Review of Combat Skills Training for Support Forces

(PROJECT NO. 351385, INITIATED SEPTEMBER 2009)

This report will address the following:

- How do the services determine the appropriate level of training to provide to their non-combat compared to combat forces?
- What, if any, unique factors have the services considered when determining the appropriate level of training to provide to non-combat arms forces deploying to Iraq and Afghanistan?
- To what extent is the current training of support forces consistent with identified service-specific and theater-specific training requirements for an asymmetric combat environment?
- To what, if any, extent have the services collected information about the effectiveness of pre- and post-deployment training from non-combat arms forces that have deployed to Iraq or Afghanistan?
- To what extent have the services adjusted the training of their non-combat arms forces based on lessons learned from ongoing combat operations in Iraq and Afghanistan?

Review of Army and Marine Corps Training Capacity

(PROJECT NO. 351387, INITIATED SEPTEMBER 2009)

This report will address the following:

- To what extent have DoD, the Army, and the Marine Corps identified differences in ground force training requirements for Iraq and Afghanistan?
- To what extent have DoD, the Army, and the Marine Corps quantified training capacities and analyzed the key factors that currently limit capacities to train ground forces for ongoing operations in Afghanistan and Iraq?
- To what extent, if any, have DoD, the Army, and the Marine Corps developed plans and timelines for adjusting training capacities to meet

requirements for increasing force levels in Afghanistan while continuing to support requirements in Iraq?

Review of Availability of Trained and Ready Forces for Iraq and Afghanistan

(PROJECT NO. 351388, INITIATED SEPTEMBER 2009)

This report will address the following:

- To what extent has DoD identified near-term and long-term requirements for the types of capabilities needed to support continuing operations in Iraq and expanded operations in Afghanistan?
- To what extent has DoD developed an approach and processes to manage the identification and deployment of forces to meet requirements, including any analysis to identify and manage the competing demands of operations in Iraq and Afghanistan?
- What are the implications of continuing demand for forces for ongoing operations on overall force readiness and DoD's ability to meet other global commitments?

DoD Health Care Requirements for Contingency Operations

(PROJECT NO. 351393, INITIATED AUGUST 2009)

DoD's military health care professional are needed to support combat contingency operations to treat injured military personnel and save lives. For current contingency operations, GAO's key questions are:

- To what extent have DoD and the services identified their wartime health care personnel requirements?
- What challenges, if any, are DoD and the services encountering in meeting their wartime health care personnel requirements?

- To what extent do DoD and the services have or use the tools they need to meet their wartime health care personnel requirements?

U.S. Military Burns of Solid Waste in Open Pits in Iraq and Afghanistan

(PROJECT NO. 361123, INITIATED AUGUST 2009)

GAO's key questions for this review will be determined later.

U.S. Civilian Agency Planning for the Drawdown of U.S. Troops in Iraq

(PROJECT NO. 320691, INITIATED JULY 2009)

This report will address the following:

- How have U.S. civilian agencies planned for the U.S. military drawdown, including prioritizing post-drawdown mission and programs, right-sizing civilian staff for these missions, and planning for their future security and logistical needs?
- How effectively have civilian agencies planned for continuing programs previously funded by DoD?
- What are the estimated additional costs to maintain a civilian presence in Iraq as U.S. forces draw down?

Iraq Refugee and SIV Employment in the United States

(PROJECT NO. 320694, INITIATED JULY 2009)

About 15,000 Iraqi refugees were admitted to the United States in FY 2007-2008. Some served the U.S. government in Iraq as translators, for examples, and were granted special immigrant visas (SIV). The National Defense Authorization Act for Fiscal Year 2009 gave the Defense and State Departments the authority to jointly establish a temporary program to offer employment to Iraqi SIV holders as translators, interpreters, or cultural

awareness instructors. This report will address the following:

- What federal offices require Arabic speakers or Iraqi expertise and to what extent have they hired Iraqi refugees?
- What are the requirements for federal positions that require Arabic or knowledge of Iraq?
- What challenges, if any, do Iraqi refugees face in obtaining U.S. government employment?

U.S., Iraqi, and International Efforts to Address Challenges in Reintegrating Displaced Iraqis

(PROJECT NO. 320645, INITIATED MARCH 9, 2009)

The UN reports that over 4.8 million Iraqis have been displaced, including about 2.8 million within Iraq. In April 2009, GAO issued a report on assistance to Iraqi refugees in Jordan and Syria. In anticipation of a growing number of returns from within and outside Iraq, the international community has encouraged Iraq to develop plans to reintegrate displaced Iraqis. This engagement will focus on challenges in reintegrating Iraqis returning from other countries and those displaced within Iraq. This report will address the following:

- What challenges do the United States, Iraq, and the international community face in reintegrating displaced Iraqis?
- What actions have they taken to address these challenges?
- What gaps remain, and what more needs to be done to address these challenges?

DoD's Basis for Unmanned Aircraft Systems Program Levels and Plans to Support Those Levels

(PROJECT NO. 351271, INITIATED MARCH 1, 2009)

Battlefield commanders have increased their reliance on unmanned aircraft systems (UAS) to perform missions in ongoing operations. DoD has

steadily increased UAS program levels to meet operational requests for UAS. DoD has experienced mission success with UAS but the increase in UAS poses challenges for DoD to support these systems. GAO's key questions are:

- To what extent did DoD consider factors, such as personnel availability and training needs, when determining UAS funding and program plans?
- To what extent do joint and service tactics, techniques, and procedures reflect UAS usage in current joint operations?
- What factors, if any, affect the services' ability to train individuals and units for UAS practices used in current joint operations?

DoD's Planning for the Withdrawal of U.S. Forces from Iraq

(PROJECT NO. 351321, INITIATED FEBRUARY 2009)

On November 17, 2008, the U.S. government and the Government of Iraq signed a security agreement that dictates a withdrawal of U.S. forces from Iraq by December 31, 2011. In light of this deadline, and based on previous GAO work, there are several complex issues that need to be addressed:

- To what extent has DoD developed plans to manage the redeployment of U.S. forces and equipment and plans for the composition and role of forces that will remain in Iraq, and how has the security agreement affected these plans?
- To what extent has DoD developed plans and processes for turning over U.S. bases to the Iraqis and managing contractors and contractor-managed equipment during withdrawal?
- To what extent is DoD integrating withdrawal planning with evolving operational requirements?

Iraq/Afghanistan Contractor Oversight

(PROJECT NO. 120812, INITIATED FEBRUARY 2009)

Pursuant to the Fiscal Year 2008 National Defense Authorization Act, this engagement will focus on contracts awarded by the Department of Defense (DoD), the Department of State (DoS), and the U.S. Agency for International Development (USAID) to contractors to perform contract administration or management of other contracts or grants for reconstruction or stabilization efforts in Iraq and Afghanistan. The report will address the following:

- To what extent are DoD, DoS, and USAID hiring contractors to perform contract management or administration for other contracts or grants in Iraq and Afghanistan?
- What factors have contributed to decisions to use contractors to perform such tasks?
- What steps have been taken to effectively manage contractors performing such tasks?

Iraq Cost Sharing Arrangements

(PROJECT NO. 320638, INITIATED OCTOBER 8, 2008)

This report will assess U.S. efforts to share or transfer security costs to Iraq by identifying the:

- Mechanisms the United States is using to share or transfer security costs to the Iraqi government and the results these mechanisms have produced
- Foreign government support of the presence of U.S. forces in their countries and the extent to which features of these arrangements could be applied to Iraq
- Financial resources Iraq has to pay for its reconstruction and security, the extent to which it has expended these resources, and the size of its budget surplus or deficit

Readiness of the Army Active/Reserve Component Forces

(Project No. 351247, INITIATED SEPTEMBER 2008)

The report will address the following:

- What are the current readiness, the factors affecting readiness, and the plans to address any readiness issues?
- To what extent has the Army been able to prepare and use its reserve component forces for the primary wartime missions for which the units were organized or designed?
- To what extent has the Army been able to prepare and use its reserve component forces for non-traditional operational missions?
- To what extent are factors such as mobilization and deployment laws, goals, and policies impacting the Army's ability to train and employ reserve component units for ongoing combat and non-combat missions?

Readiness of the Active and Reserve Components of the Navy and Marine Corps

(Project No. 351239, INITIATED SEPTEMBER 2008)

The report will address the following:

- To what extent are the Navy/Marine Corps prepared to execute their assigned missions, including ongoing operations?
- What factors have the Navy/Marine Corps identified that affect their readiness, and what steps are they taking to address these factors and improve or maintain their readiness?
- To what extent are Navy/Marine Corps readiness assessments used to develop service budget requests and support resource prioritization decisions?

Intelligence, Surveillance, and Reconnaissance (ISR) Processing Capabilities

(Project No. 351242, INITIATED AUGUST 7, 2008)

DoD continues to invest in capabilities to collect ISR data, but its infrastructure for analyzing and using that data is stressed. The report will address the following:

- To what extent has DoD developed the capabilities it needs to process, exploit, and disseminate the information that it receives from its ISR systems?
- To what extent does DoD identify gaps in its tasking, processing, exploitation, and dissemination cycle when developing future ISR collection requirements?

Urgent Wartime Requirements

(Project No. 351236, INITIATED JUNE 2008)

The changing tactical conditions in Southwest Asia have highlighted the need for DoD to respond rapidly to wartime needs for new capabilities. DoD has been moving toward a joint process to meet these needs that would reduce duplication and costs. However, it is unclear whether DoD has fully and effectively implemented its joint process to that end. These are the key questions:

- To what extent do DoD's urgent need response processes comply with the response timeframes established in DoD guidance documents?
- What factors have affected the responsiveness of DoD processes in meeting urgent need requests?
- What challenges, if any, have affected the coordination and integration of DoD's urgent need response processes?

Joint IED Defeat Organization (JIEDDO) Strategic Management

(Project No. 351230, INITIATED JUNE 2008)

The DoD Joint IED Defeat Organization's (JIEDDO's) mission is to improve the U.S. military's capability for defending against improvised explosive device (IED) attacks. GAO reviews have continued to raise concerns about JIEDDO's ability to strategically manage and to achieve its objectives. These are the key questions:

- To what extent has JIEDDO made progress in developing a strategic plan?
- To what extent has JIEDDO developed a process to identify, evaluate, select, and develop counter-IED initiatives?
- To what extent has the Office of the Secretary of Defense provided direction and oversight for JIEDDO operations and activities?

DoD Integration of IED Defeat Capabilities

(Project No. 351231, INITIATED JUNE 2008)

The DoD Joint IED Defeat Organization's (JIEDDO's) mission is to improve the U.S. military's capability for defending against improvised explosive device (IED) attacks, by quickly and flexibly identifying, evaluating, selecting, developing, and fielding counter-IED solutions, including technological solutions. These are the key questions:

- What capability gaps were initially identified in DoD's effort to defeat IEDs and how did these gaps and other factors lead to the development of JIEDDO?
- To what extent have IED defeat capabilities been integrated within DoD?

Body Armor Programs and Testing

(Project No. 351282, INITIATED AUGUST 2007)

Broad public interest and a June 2007 hearing on body armor raised several issues related to the Army's testing of new solutions, current solicitations (RFPs), and other issues. These are the key questions of the project:

- To what degree did first article tests conducted on body armor designs under the awarded contracts follow established test procedures?
- To what degree have lessons learned by the Army during initial source selection testing of preliminary design models been incorporated into the first article testing, as appropriate?

U.S. Department of the Treasury

During this period, the Department of Treasury did not conduct any work related to, in support of, or in Iraq. Additionally, as of September 30, 2009, the Department of Treasury has no plans to conduct any work in the future and will no longer be reported on in this section.

Department of Commerce

During this period, the Department of Commerce did not conduct any work related to, in support of, or in Iraq. Additionally, as of September 30, 2009, the Department of Commerce has no plans to conduct any work in the future and will no longer be reported on in this section.

SUMMARY OF U.S. OVERSIGHT IN IRAQ

This appendix contains a list of completed audits, reports, and testimonies on Iraq reconstruction activities released by the Special Inspector General for Iraq Reconstruction (SIGIR), as of October 31, 2009, and the following agencies, as of September 30, 2009:

- Department of Defense Office of Inspector General (DoD OIG)
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- U.S. Army Audit Agency (USAAA)
- U.S. Agency for International Development Office of Inspector General (USAID OIG)

For a complete list of this work, see Table H-1.

APPENDIX H

DoD OIG COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
DoD OIG	D-2009-113	9/30/2009	Medical Equipment Used to Support Operations in Southwest Asia
DoD OIG	D-2009-118	9/29/2009	Internal Controls Over Naval Special Warfare Command Comptroller Operations in Support of Contingency Operations
DoD OIG	D-2009-117	9/29/2009	Controls Over Air Combat Command and Pacific Air Forces Unliquidated Obligations from Department of the Air Force Contracts Supporting Contingency Operations
DoD OIG	D-2009-115	9/29/2009	Summary of Information Operations Contracts in Iraq
DoD OIG	D-2009-114	9/25/2009	Transition Planning for the Logistics Civil Augmentation Program IV Contract
DoD OIG	D-2009-112	9/25/2009	Deferred Maintenance on the Air Force C-130 Aircraft
DoD OIG	D-2009-109	9/25/2009	Contracts Supporting the DoD Counter Narcoterrorism Technology Program Office
DoD OIG	D-2009-108	9/23/2009	U.S. Air Forces Central War Reserve Materiel Contract
DoD OIG	09-INTEL-13	9/23/2009	Investigation of Allegations of the Use of Mind-Altering Drugs to Facilitate Interrogations of Detainees
DoD OIG	D-2009-102	9/18/2009	Price Reasonableness Determinations for Contracts Awarded by the U.S. Special Operations Command
DoD OIG	D-2009-091	7/31/2009	Information Operations Contracts in Iraq
DoD OIG	D-2009-098	7/30/2009	Status of the Defense Emergency Response Fund in Support of the Global War on Terror
DoD OIG	D-2009-095	7/29/2009	Contracting for Transportation Services for U.S. Army Corps of Engineers, Gulf Region Division
DoD OIG	D-2009-096	7/28/2009	Contracts for the U.S. Army's Heavy-Lift VI Program in Kuwait
DoD OIG	IPO-2009-E001	7/27/2009	Review of Electrocution Deaths in Iraq: Part II - Seventeen Incidents Apart from Staff Sergeant Ryan D. Maseth, U.S. Army
DoD OIG	IE-2009-006	7/24/2009	Review of Electrocution Deaths in Iraq: Part I - Electrocution of Staff Sergeant Ryan D. Maseth, U.S. Army
DoD OIG	D-2009-093	7/15/2009	Ship Utilization in Support of the Global War on Terror
DoD OIG	D-2009-083	5/29/2009	Logistics Support Contracting for the United States Special Operations Command
DoD OIG	D-2009-079	5/7/2009	Controls Over the Department of the Navy Military Payroll Disbursed in Support of the Global War on Terror
DoD OIG	D-2009-078	5/4/2009	Health Care Provided by Military Treatment Facilities to Contractors in Southwest Asia
DoD OIG	D-2009-6-004	4/8/2009	Defense Contract Management Agency (DCMA) Actions on Audits of Cost Accounting Standards and Internal Control Systems at DoD Contractors Involved in Iraq Reconstruction Activities
DoD OIG	D-2009-073	4/8/2009	DoD Components' Use of Global War on Terror Supplemental Funding Provided for Procurement and Research, Development, Test, and Evaluation
DoD OIG	D-2009-067	4/3/2009	Controls Over Air Force Materiel Command Unliquidated Obligations on Department of the Air Force Contracts Supporting the Global War on Terror
DoD OIG	D-2009-066	4/1/2009	Marine Corps' Management of the Recovery and Reset Programs
DoD OIG	09-INTEL-05	3/24/2009	Audit of the Management of Signals Intelligence Counterterrorism Enterprise Analysts
DoD OIG	D-2009-063	3/24/2009	Funds Appropriated for Afghanistan and Iraq Processed Through the Foreign Military Trust Fund
DoD OIG	SPO-2009-003	3/17/2009	Assessment of the Accountability of Night Vision Devices Provided to the Security Forces of Iraq
DoD OIG	D-2009-061	3/12/2009	Controls Over the Reporting of Transportation Costs in Support of the Global War on Terror
DoD OIG	D-2009-058	2/27/2009	DoD Cost of War Reporting of Supplemental Funds Provided for Procurement and Research, Development, Test, and Evaluation
DoD OIG	D-2009-054	2/17/2009	Identification of Classified Information in Unclassified DoD Systems During the Audit of Internal Controls and Data Reliability in the Deployable Disbursing System

Continued on next page

DoD OIG COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
DoD OIG	D-2009-052	2/13/2009	Controls Over Excess Defense Articles Provided to Foreign Governments
DoD OIG	09 INTEL-03	2/13/2009	Review of Intelligence Resources at the Joint Intelligence Task Force Combating Terrorism and Special Operations Command in Support of Operation Enduring Freedom and Operation Iraqi Freedom
DoD OIG	D-2009-047	1/29/2009	DoD Testing Requirements for Body Armor
DoD OIG	D-2009-046	1/29/2009	Procurement and Delivery of Joint Service Armor Protected Vehicles
DoD OIG	D-2009-042	1/16/2009	Hiring Practices Used To Staff the Iraqi Provisional Authorities
DoD OIG	D-2009-041	1/14/2009	Expeditionary Fire Support System and Internally Transportable Vehicle Programs
DoD OIG	D2008-DODOIG-0141.000	12/19/2008	Report on the Assessment of Arms, Ammunition, and Explosives Accountability and Control; Security Assistance; and Logistics Sustainment for the Iraq Security Forces
DoD OIG	D-2009-030	12/8/2008	Marine Corps Implementation of the Urgent Universal Need Statement Process for Mine Resistant Ambush Protected Vehicles
DoD OIG	D-2009-027	12/8/2008	Air Force Combat Search and Rescue Helicopter
DoD OIG	D-2009-006	10/20/2008	Small Arms Ammunition Fund Management in Support of the Global War on Terror
DoD OIG	D-2009-005	10/10/2008	Controls Over the Contractor Common Access Card Life Cycle
DoD OIG	D-2009-003	10/9/2008	Internal Controls Over Army General Fund, Cash and Other Monetary Assets Held Outside of the Continental United States
DoD OIG	D-2008-135	9/29/2008	Requiring Radio Frequency Identification in Contracts for Supplies
DoD OIG	D-2008-132	9/26/2008	Payments for Transportation Using PowerTrack®
DoD OIG	D-2008-133	9/25/2008	Joint Follow-On Evaluation of the Equipment Status of Operation Iraqi Freedom Forces
DoD OIG	D-2008-131	9/16/2008	Security Over Radio Frequency Identification
DoD OIG	D-2008-115	8/6/2008	Status of Training Vehicles for U.S. Ground Forces Deploying in Support of Operation Iraqi Freedom
DoD OIG	D-2008-086	7/18/2008	Summary of Issues Impacting Operations Iraqi Freedom and Enduring Freedom Reported by Major Oversight Organizations Beginning FY 2003 through FY 2007
DoD OIG	D-2008-107	7/3/2008	Defense Hotline Allegations Concerning Contracts Issued by U.S. Army TACOM Life Cycle Management Command to BAE Systems Land and Armaments, Ground Systems Division
DoD OIG	D2007-D000IG-0239.000	7/3/2008	Accountability of Munitions Provided to the Security Forces of Iraq
DoD OIG	IE-2008-010	7/31/2008	Information Report on the Assessment of DoD Support to the Iraqi Security Forces Inspectors General
DoD OIG	D-2008-098	5/22/2008	Internal Controls Over Out-of-Country Payments
DoD OIG	D-2008-089	5/9/2008	Planning Armor Requirements for the Family of Medium Tactical Vehicles
DoD OIG	D-2008-078	4/9/2008	Training Requirements for U.S. Ground Forces Deploying in Support of Operation Iraqi Freedom
DoD OIG	D2006-DIP0E2-0137		DoD/Department of Veterans Affairs (VA) Inspectors General Interagency Care Transition Project
DoD OIG	2008E001	6/4/2008	Review of Matters Related to the August 28, 2005, Shooting of Reuters Journalists in Iraq
DoD OIG	08-INTEL-06	6/12/2008	Review of the U.S. Government's Relationship with the Iraqi National Congress: Phase Two- and the Relationship of the Iraqi National Congress with the Department of Defense
DoD OIG	D-2008-067	3/31/2008	DoD Procurement Policy for Body Armor

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APPENDIX H

DoD OIG COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
DoD OIG	D-2008-064	3/18/2008	Defense Hotline Allegations Concerning the Biometric Identification System for Access Omnibus Contract
DoD OIG	D-2008-060	3/7/2008	Potable and Non Potable Water Treatment in Iraq
DoD OIG	D-2008-059	3/6/2008	Supplemental Funds Used for Medical Support for the Global War on Terror
DoD OIG	D-2008-024	1/18/2008	Inspection Process of the Army Reset Program for Equipment for Units Returning from Operation Iraqi Freedom
DoD OIG	IE-2008-001	1/4/2008	Review of Investigative Documentation Associated with the Fatality of a U.S. Army Corporal during Convoy Operations in Iraq
DoD OIG	D-2008-033	12/28/2007	Training for U.S. Ground Forces at Army Maneuver Combat Training Centers
DoD OIG	D-2008-038	12/21/2007	Army's Procurement and Conditional Acceptance of Medium Tactical Vehicles
DoD OIG	D-2008-029	12/5/2007	Request for and Use of Emergency Supplemental Funds for the Rapid Fielding Initiative
DoD OIG	D-2008-026	11/30/2007	Management of the Iraq Security Forces Fund in Southwest Asia - Phase III
DoD OIG	D-2008-027	11/21/2007	Air Force Use of Global War on Terrorism Supplemental Funding Provided for Procurement and Research, Development, Test, and Evaluation
DoD OIG	D2007-D000LA-0199.000	8/14/2007	Research Controls Over the Management of Contractors
DoD OIG	D2006-D000CK-0210.000	6/27/2007	Audit of Procurement Policy for Armored Vehicles
DoD OIG	D-2007-105	6/21/2007	U.S. Transportation Command (USTRANSCOM) Compliance with DoD Policy on the Use of Commercial Transport
DoD OIG	Not Reported	6/14/2007	Audit Research on DoD Contracts Awarded to Parsons Corporation and Its Subsidiaries
DoD OIG	D-2007-090	5/3/2007	Management of Prepositioned Munitions
DoD OIG	Not Reported	4/2/2007	Antideficiency Act Investigation of the Operation and Maintenance Appropriation Account 2142020 and 2152020
DoD OIG	D-2007-060	2/12/2007	Audit of the Management of the Iraq Security Forces Fund—Phase II
DoD OIG	D-2007-049	1/25/2007	Audit of the Equipment Status of Deployed Forces within U.S. Central Command
DoD OIG	D-2007-030	12/8/2006	Management of the Iraq Security Forces Fund in Southwest Asia—Phase I
DoD OIG	D-2007-010	11/2/2006	The Army Small Arms Program That Relates to Availability, Maintainability, and Reliability of the Small Arms to Support the Warfighter
DoD OIG	D-2007-001	10/6/2006	Information Operations Activities in Southwest Asia
DoD OIG	06-INTEL-10	8/25/2006	Review of DoD-directed Investigations of Detainee Abuse
DoD OIG	IPO2004-C005	8/25/2006	Review of Criminal Investigations of Alleged Detainee Abuse
DoD OIG	IE-2005-002	7/15/2006	Follow-up to Department of State/Department of Defense Interagency Assessment of Iraq Police Training
DoD OIG	D-2007-30	2/10/2006	Management of the Iraq Security Forces Fund in Southwest Asia
DoD OIG	D-2006-010	10/28/2005	Contract Surveillance for Service Contracts
DoD OIG	D-2005-045	5/9/2005	FY 2004 Emergency Supplemental Appropriation Allocated to the Defense Logistics Agency
DoD OIG	D-2005-053	4/29/2005	FY 2004 Emergency Supplemental Appropriation Allocated to the Defense Information Systems Agency
DoD OIG	D-2004-057	3/18/2004	Contracts Awarded for Coalition Provisional Authority by Defense Contracting Command—Washington
Total DoD OIG Audits = 87			

DoS OIG COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title
DoS OIG	MERO-IQO-09-09	8/25/2009	Review of the Roles, Staffing, and Effectiveness of Regional Embassy Offices in Iraq
DoS OIG	MERO-A-09-10	8/25/2009	Performance Audit of Embassy Baghdad's Transition Planning for a Reduced United States Military Presence in Iraq
DoS OIG	09-ISP-3020	7/1/2009	Inspection of Embassy Baghdad
DoS OIG	MERO-IQO-09-06	6/12/2009	Review of the Activities of DynCorp International under the State Department's Worldwide Personal Protective Service Contracts (WPPS) in Iraq
DoS OIG	AUD/IQO-09-16	6/5/2009	Joint Review with SIGIR of the Blackwater Contract for Worldwide Personal Protective Services
DoS OIG	MERO-IQO-09-03	5/5/2009	Review of the Activities of Triple Canopy under the State Department's Worldwide Personal Protective Service Contracts (WPPS) in Iraq
DoS OIG	09-ISP-3014	3/30/2009	Review of US Policy regarding Oil Contracts in Iraq (Program Evaluation)
DoS OIG	08MERO3003	1/7/2009	Review on the Role, Staffing, and Effectiveness of Diplomatic Security in the Management of Personal Protective Services (PPS) in Iraq
DoS OIG	08MERO3004	12/13/2008	Review on the Implementation of Recommendations from the Report of the Secretary of State's Panel on Personal Protective Services in Iraq (commonly known as the Kennedy Report) on Personal Protective Service (WPPS) in Iraq
DoS OIG	07AUD3034	Dec-2008	Review of Procurement Competition: New Embassy Compound Baghdad
DoS OIG	MERO-IQO-08-01	Jul-2008	Status of Iraqi Special Immigrant Visa Programs
DoS OIG	MERO-IQO-08-02	Jul-2008	Status of U.S. Refugee Resettlement Processing for Iraqi Nationals
DoS OIG	08MER03001	6/30/2008	U.S. Refugee Admissions Program (USRAP) for Iraq
DoS OIG	AUD/CG-07-33	9/1/2007	Audit of the National Endowment for Democracy for Fiscal Years 2003-05
DoS OIG	AUD/FM-07-41	8/1/2007	Independent Auditor's Report on the Application of Agreed-upon Procedures Related to Selected DynCorp Invoices
DoS OIG	AUD/IQO-07-20	1/30/2007	INL Iraq Police Contract (Adnan Palace)
DoS OIG	AUD/CG-07-02	12/18/2006	Agreed-upon Procedures on Indirect Cost Rates Proposed by National Endowment for Democracy
DoS OIG	AUD/CG-07-05	12/18/2006	Agreed-upon Procedures on Indirect Cost Rates Proposed by National Democratic Institute for International Affairs
DoS OIG	AUD/CG-07-03	9/30/2006	Agreed-upon Procedures on Indirect Cost Rates Proposed by International Republican Institute
DoS OIG	AUD/CG-07-04	9/30/2006	Agreed-upon Procedures on Indirect Cost Rates Proposed by the Center for International Private Enterprise, Inc.
DoS OIG	AUD/CG-06-20	3/31/2006	Independent Accountant's Report on the Application of Agreed-upon Procedures on Indirect Cost Rates Proposed by Scholastic, Inc.
DoS OIG	AUD/IQO-06-16	3/31/2006	Application of Agreed-upon Procedures of Department of State Procurement Competitions To Support Armored Vehicles in Iraq
DoS OIG	AUD/IQO-06-17	3/31/2006	Application of Agreed-upon Procedures Relating to DECO, Inc., Task Order No. SALMEC-04-F-0996
DoS OIG	ISP-IQO-06-01	10/1/2005	Review of the Staffing of Embassy Baghdad
DoS OIG	04141-2005B17900005	8/1/2005	Billed Costs Under Task Orders Submitted By RONCO (Demining)
DoS OIG	IT-IQO-05-06	8/1/2005	Embassy Baghdad Communications Security Evaluation
DoS OIG	3311-2005K17900015	7/22/2005	Application of Agreed-upon Procedures To Calculate Daily Life Support Rates Under LOGCAP TO100
DoS OIG	IE-2005-002/ISP-IQO-05-72	7/15/2005	DoS/DoD Interagency Evaluation of Iraqi Police Training
DoS OIG	ISP-IQO-05-60	7/1/2005	Review of the Staffing of Embassy Baghdad
DoS OIG	ISP-IQO-05-61	7/1/2005	Review of the Staffing of Embassy Baghdad
DoS OIG	03311-2005D-1790009	5/1/2005	Audit of Cost-Plus Contract for Police Training

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APPENDIX H

DoS OIG COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title
DoS OIG	IT-IQO-05-04	5/1/2005	Survey of Iraq IT Waivers
DoS OIG	AUD/IQO-05-24	3/28/2005	Agreed-upon Procedures Review of Indirect Rates and Equipment Delivery Charge Proposed by [a Department Contractor] and Review of Accounting System
DoS OIG	AUD/IQO-05-16	3/1/2005	Review of Agreed-upon Procedures for the Verification of Excessive Fuel Charges in Support of JIPTC
DoS OIG	ISP-IQO-05-57	3/1/2005	Review of the Staffing of Embassy Baghdad
DoS OIG	AUD/CG-05-18	2/15/2005	Survey of Department of State's Funding for Iraq
DoS OIG	AUD/IQO-05-13	1/1/2005	Agreed-upon Procedures of Daily Direct Labor, Aerial Support Equipment and Indirect Expense Rates Proposed by Blackwater Security Consultants, Contractor's Accounting System and Timekeeping Procedures
DoS OIG	ISP-IQO-05-53	12/1/2004	Review of the Staffing of Embassy Baghdad
DoS OIG	IBO/IQO-A-05-02	10/1/2004	Review of Radio Sawa Support To Transition in Post-Saddam Iraq
DoS OIG	AUD/IQO-04-47	9/1/2004	Review of Department of State Procurement Competitions To Support the Iraqi Police Training Program
DoS OIG	AUD/IQO-04-48	9/1/2004	Review of Cashiering Operations at Embassy Baghdad
DoS OIG	AUD/CG-04-41	7/1/2004	Fact Sheet on Iraqi National Congress Support Foundation
Total DoS OIG Audits = 42			

GAO COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title	Web Link
GAO	GAO-09-1022R	9/25/2009	Overseas Contingency Operations: Reported Obligations for the Department of Defense	www.gao.gov/new.items/d091022r.pdf
GAO	GAO-09-874	9/17/2009	Department of State: Additional Steps Needed to Address Continuing Staffing and Experience Gaps at Hardship Posts	www.gao.gov/new.items/d09874.pdf
GAO	GAO-09-1019T	9/16/2009	Human Capital: Improved Tracking and Additional Actions Needed to Ensure the Timely and Accurate Delivery of Compensation and Medical Benefits to Deployed Civilians	www.gao.gov/new.items/d091019t.pdf
GAO	GAO-09-351	7/31/2009	Contingency Contract Management: DoD Needs to Develop and Finalize Background Screening and Other Standards for Private Security Contractors	www.gao.gov/new.items/d09351.pdf
GAO	GAO-09-791R	7/10/2009	Overseas Contingency Operations: Reported Obligations for the Department of Defense	www.gao.gov/new.items/d09791r.pdf
GAO	GAO-09-562	6/26/2009	Human Capital: Actions Needed to Better Track and Provide Timely and Accurate Compensation and Medical Benefits to Deployed Federal Civilians	www.gao.gov/new.items/d09562.pdf
GAO	GAO-09-692T	6/23/2009	V-22 Osprey Aircraft: Assessments Needed to Address Operational and Cost Concerns to Define Future Investments	www.gao.gov/new.items/d09692t.pdf
GAO	GAO-09-526	6/3/2009	Rebuilding Iraq: Improved Management Controls and Iraqi Commitment Needed for Key State and USAID Capacity-Building Programs	www.gao.gov/new.items/d09526.pdf
GAO	GAO-09-120	4/21/2009	Iraqi Refugee Assistance: Improvements Needed in Measuring Progress, Assessing Needs, Tracking Funds, and Developing an International Strategic Plan	www.gao.gov/new.items/d09120.pdf
GAO	GAO-09-538T	4/1/2009	Contingency Contracting: DOD, State, and USAID Are Taking Actions to Track Contracts and Contractor Personnel in Iraq and Afghanistan	www.gao.gov/new.items/d09538t.pdf
GAO	GAO-09-449R	3/30/2009	Global War on Terrorism: Reported Obligations for the Department of Defense	www.gao.gov/new.items/d09449r.pdf
GAO	GAO-09-476T	3/25/2009	Iraq and Afghanistan: Security, Economic, and Governance Challenges to Rebuilding Efforts Should Be Addressed in U.S. Strategies	www.gao.gov/new.items/d09476t.pdf
GAO	GAO-09-294SP	3/24/2009	Iraq: Key Issues for Congressional Oversight	www.gao.gov/new.items/d09294sp.pdf
GAO	GAO-09-302	3/17/2009	Global War on Terrorism: DOD Needs to More Accurately Capture and Report the Costs of Operation Iraqi Freedom and Operation Enduring Freedom	www.gao.gov/new.items/d09302.pdf
GAO	GAO-09-280	3/9/2009	Afghanistan Security: U.S. Programs to Further Reform Ministry of Interior and National Police Challenged by Lack of Military Personnel and Afghan Cooperation	www.gao.gov/new.items/d09280.pdf
GAO	GAO-09-388T	3/3/2009	Defense Management: Increased Attention on Fuel Demand Management at DOD's Forward-Deployed Locations Could Reduce Operational Risks and Costs	www.gao.gov/new.items/d09388t.pdf
GAO	GAO-09-263SP	2/23/2009	Securing, Stabilizing, and Developing Pakistan's Boarder Area with Afghanistan: Key Issues for Congressional Oversight	www.gao.gov/new.items/d09263sp.pdf
GAO	GAO-09-300	2/20/2009	Defense Management: DOD Needs to Increase Attention on Fuel Demand Management at Forward-Deployed Locations	www.gao.gov/new.items/d09300.pdf
GAO	GAO-09-380T	2/12/2009	Iraq and Afghanistan: Availability of Forces, Equipment, and Infrastructure Should Be Considered in Developing U.S. Strategy Plans	www.gao.gov/new.items/d09380t.pdf
GAO	GAO-09-366T	2/12/2009	Afghanistan Security: Corrective Actions Are Needed to Address Serious Accountability Concerns about Weapons Provided to Afghan National Security Forces	www.gao.gov/new.items/d09366t.pdf
GAO	GAO-09-267	1/30/2009	Afghanistan Security: Lack of Systematic Tracking Raises Significant Accountability Concerns about Weapons Provided to Afghan National Security Forces	www.gao.gov/new.items/d09267.pdf
GAO	GAO-09-175	11/14/2008	Unmanned Aircraft Systems: Additional Actions Needed to Improve Management and Integration of DOD Efforts to Support Warfighter Needs	www.gao.gov/new.items/d09175.pdf

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APPENDIX H

GAO COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title	Web Link
GAO	GAO-09-19	10/1/2008	Contingency Contracting: DOD, State, and USAID Contracts and Contractor Personnel in Iraq and Afghanistan	www.gao.gov/new.items/d0919.pdf
GAO	GAO-09-86R	10/1/2008	Provincial Reconstruction Teams in Iraq and Afghanistan	www.gao.gov/new.items/d0986r.pdf
GAO	GAO-08-1144T	9/16/2008	Stabilizing and Rebuilding Iraq: Iraqi Revenues, Expenditures, and Surplus	www.gao.gov/new.items/d081144t.pdf
GAO	GAO-08-1031	8/5/2008	Stabilizing and Rebuilding Iraq: Iraqi Revenues, Expenditures, and Surplus	www.gao.gov/new.items/d081031.pdf
GAO	GAO-08-1128R	9/15/2008	Global War on Terrorism: Reported Obligations for the Department of Defense	www.gao.gov/new.items/d081128r.pdf
GAO	GAO-08-930	9/10/2008	Operation Iraqi Freedom: Actions Needed to Enhance DOD Planning for Reposturing of U.S. Forces from Iraq	www.gao.gov/new.items/d08930.pdf
GAO	GAO-08-966	7/31/2008	Rebuilding Iraq: DOD and State Department Have Improved Oversight and Coordination of Private Security Contractors in Iraq, but Further Actions Are Needed to Sustain Improvements	www.gao.gov/new.items/d08966.pdf
GAO	GAO-08-1021T	7/23/2008	Securing, Stabilizing, and Rebuilding Iraq: Progress Report: Some Gains Made, Updated Strategy Needed	www.gao.gov/new.items/d081021t.pdf
GAO	GAO-08-736R	6/23/2008	Military Operations: Actions Needed To Better Guide Project Selection for Commander's Emergency Response Program and Improve Oversight in Iraq	www.gao.gov/new.items/d08736r.pdf
GAO	GAO-08-837	6/23/2008	Securing, Stabilizing, and Rebuilding Iraq: Progress Report: Gains Made, Updated Strategy Needed	www.gao.gov/new.items/d08837.pdf
GAO	GAO-08-578	5/8/2008	Interagency Contracting: Need for Improved Information and Policy Implementation at the Department of State	www.gao.gov/new.items/d08578.pdf
GAO	GAO-08-568T	3/11/2008	Stabilizing and Rebuilding Iraq: Actions Needed To Address Inadequate Accountability Over U.S. Efforts and Investments	www.gao.gov/new.items/d08568t.pdf
GAO	GAO-08-423R	1/30/2008	Global War on Terrorism: Reported Obligations for the Department of Defense	www.gao.gov/new.items/d08423r.pdf
GAO	GAO-08-316R	1/22/2008	Defense Logistics: The Army Needs To Implement an Effective Management and Oversight Plan for the Equipment Maintenance Contract in Kuwait	www.gao.gov/new.items/d08316r.pdf
GAO	GAO-08-153	1/18/2008	Iraq Reconstruction: Better Data Needed To Assess Iraq's Budget Execution	www.gao.gov/new.items/d08153.pdf
GAO	GAO-08-143R	11/30/2007	Operation Iraqi Freedom: DoD Assessment of Iraqi Security Forces' Units as Independent Not Clear Because ISF Support Capabilities Are Not Fully Developed	www.gao.gov/new.items/d08143r.pdf
GAO	GAO-08-68	11/6/2007	Global War on Terrorism: DoD Needs To Take Action To Encourage Fiscal Discipline and Optimize Use of Tools Intended To Improve GWOT Cost Reporting	www.gao.gov/new.items/d0868.pdf
GAO	GAO-08-231T	10/30/2007	Securing, Stabilizing, and Rebuilding Iraq: GAO Audits and Key Oversight Issues	www.gao.gov/new.items/d08231t.pdf
GAO	GAO-07-903	10/4/2007	Stabilizing and Rebuilding Iraq: U.S. Ministry Capacity Development Efforts Need an Overall Integrated Strategy To Guide Efforts and Manage Risk	www.gao.gov/new.items/d07903.pdf
GAO	GAO-08-124T	10/4/2007	Stabilizing and Rebuilding Iraq: Serious Challenges Confront U.S. Efforts To Build the Capacity of Iraqi Ministries	www.gao.gov/new.items/d08124t.pdf
GAO	GAO-07-814	9/19/2007	Defense Logistics: Army and Marine Corps Cannot Be Assured That Equipment Reset Strategies Will Sustain Equipment Availability While Meeting Ongoing Operational Requirements	www.gao.gov/new.items/d07814.pdf
GAO	GAO-09-1230T	9/7/2007	Securing, Stabilizing, and Rebuilding Iraq: Iraqi Government Has Not Met Most Legislative, Security, and Economic Benchmarks	www.gao.gov/new.items/d071230t.pdf
GAO	GAO-09-1221T	9/5/2007	Securing, Stabilizing, and Rebuilding Iraq: Iraqi Government Has Not Met Most Legislative, Security, and Economic Benchmarks	www.gao.gov/new.items/d071221t.pdf
GAO	GAO-09-1222T	9/5/2007	Securing, Stabilizing, and Rebuilding Iraq: Iraqi Government Has Not Met Most Legislative, Security, and Economic Benchmarks	www.gao.gov/new.items/d071222t.pdf

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GAO COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title	Web Link
GAO	GAO-07-1195	9/4/2007	Securing, Stabilizing, and Rebuilding Iraq: Iraqi Government Has Not Met Most Legislative, Security, and Economic Benchmarks	www.gao.gov/new.items/d071195.pdf
GAO	GAO-07-1220T	9/4/2007	Securing, Stabilizing, and Rebuilding Iraq: Iraqi Government Has Not Met Most Legislative, Security, and Economic Benchmarks	www.gao.gov/new.items/d071120t.pdf
GAO	GAO-07-839	7/31/2007	Defense Contract Management: DoD's Lack of Adherence to Key Contracting Principles on Iraq Oil Contract Put Government Interests at Risk	www.gao.gov/new.items/d07839.pdf
GAO	GAO-07-863	7/11/2007	Unmanned Aircraft Systems: Advance Coordination and Increased Visibility Needed To Optimize Capabilities	www.gao.gov/new.items/d07836.pdf
GAO	GAO-07-759	6/8/2007	Defense Acquisitions: Analysis of Processes Used To Evaluate Active Protection Systems	www.gao.gov/new.items/d07759.pdf
GAO	GAO-07-906R	5/25/2007	GAO Findings and Recommendations Regarding DoD and VA Disability Systems	www.gao.gov/new.items/d07906r.pdf
GAO	GAO-07-699	5/23/2007	Military Operations: The Department of Defense's Use of Solatia and Condolence Payments in Iraq and Afghanistan	www.gao.gov/new.items/d07699.pdf
GAO	GAO-07-783T	5/18/2007	Global War on Terrorism: Reported Obligations for the Department of Defense	www.gao.gov/new.items/d07783t.pdf
GAO	GAO-07-677	5/15/2007	Rebuilding Iraq: Integrated Strategic Plan Needed To Help Restore Iraq's Oil and Electricity Sectors	www.gao.gov/new.items/d07677.pdf
GAO	GAO-07-832T	5/10/2007	Defense Acquisitions: Improved Management and Oversight Needed To Better Control DoD's Acquisition of Services	www.gao.gov/new.items/d07832t.pdf
GAO	GAO-07-827T	5/9/2007	Stabilizing and Rebuilding Iraq: Coalition Support and International Donor Commitments	www.gao.gov/new.items/d07827t.pdf
GAO	GAO-07-749	5/1/2007	Military Operations: Actions Needed To Improve DoD's Stability Operations Approach and Enhance Interagency Planning	www.gao.gov/new.items/d07749.pdf
GAO	GAO-07-662R	4/27/2007	Defense Logistics: Army and Marine Corps's Individual Body Armor System Issues	www.gao.gov/new.items/d07662r.pdf
GAO	GAO-07-525T	4/23/2007	Stabilizing and Rebuilding Iraq: Conditions in Iraq Are Conducive to Fraud, Waste, and Abuse	www.gao.gov/new.items/d07525t.pdf
GAO	GAO-07-503R	3/28/2007	Operation Iraqi Freedom: Preliminary Observations on Iraqi Security Forces' Logistics and Command and Control Capabilities	www.gao.gov/new.items/d07503r.pdf
GAO	GAO-07-444	3/22/2007	Operation Iraqi Freedom: DoD Should Apply Lessons Learned Concerning the Need for Security Over Conventional Munitions Storage Sites to Future Operations Planning	www.gao.gov/new.items/d07444.pdf
GAO	GAO-07-637T	3/22/2007	Stabilizing Iraq: Preliminary Observations on Budget and Management Challenges of Iraq's Security Ministries	www.gao.gov/new.items/d07637t.pdf
GAO	GAO-07-612T	3/13/2007	Stabilizing Iraq: Factors Impeding the Development of Capable Iraqi Security Forces	www.gao.gov/new.items/d07612t.pdf
GAO	GAO-07-582T	3/9/2007	Operation Iraqi Freedom: Preliminary Observations on Iraqi Security Forces' Logistical Capabilities	www.gao.gov/new.items/d07582t.pdf
GAO	GAO-07-144	2/15/2007	Defense Logistics: Improved Oversight and Increased Coordination Needed To Ensure Viability of the Army's Prepositioning Strategy	www.gao.gov/new.items/d07144.pdf
GAO	GAO-07-426T	2/15/2007	Rebuilding Iraq: Reconstruction Progress Hindered by Contracting, Security, and Capacity Challenges	www.gao.gov/new.items/d07426t.pdf
GAO	GAO-07-439T	1/31/2007	Defense Logistics: Preliminary Observations on the Army's Implementation of Its Equipment Reset	www.gao.gov/new.items/d07439t.pdf
GAO	GAO-07-385T	1/18/2007	Securing, Stabilizing, and Rebuilding Iraq: GAO Audit Approach and Findings	www.gao.gov/new.items/d07385t.pdf
GAO	GAO-07-308SP	1/9/2007	Securing, Stabilizing, and Rebuilding Iraq: Key Issues for Congressional Oversight	www.gao.gov/new.items/d07308sp.pdf
GAO	GAO-07-30R	12/15/2006	Rebuilding Iraq: Status of DoD's Reconstruction Program	www.gao.gov/new.items/d0730r.pdf
GAO	GAO-07-76	11/13/2006	Global War on Terrorism: Fiscal Year 2006 Obligation Rates Are Within Funding Levels and Significant Multiyear Procurement Funds Will Likely Remain Available for Use in Fiscal Year 2007	www.gao.gov/new.items/d0776.pdf

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APPENDIX H

GAO COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title	Web Link
GAO	GAO-07-40	10/6/2006	Rebuilding Iraq: Status of Competition for Iraq Reconstruction Contracts	www.gao.gov/new.items/d0740.pdf
GAO	GAO-06-1085	9/29/2006	DoD Civilian Personnel: Greater Oversight and Quality Assurance Needed To Ensure Force Health Protection and Surveillance for Those Deployed	www.gao.gov/new.items/d061085.pdf
GAO	GAO-06-1130T	9/28/2006	Rebuilding Iraq: Continued Progress Requires Overcoming Contract Management Challenges	www.gao.gov/new.items/d061130t.pdf
GAO	GAO-06-1094T (GAO-06-673C)	9/11/2006	Stabilizing Iraq: An Assessment of the Security Situation	www.gao.gov/new.items/d061094t.pdf
GAO	GAO-06-928R	9/5/2006	Defense Logistics: Changes to Stryker Vehicle Maintenance Support Should Identify Strategies for Addressing Implementation Challenges	www.gao.gov/new.items/d06928r.pdf
GAO	GAO-06-1132	9/1/2006	Iraq Contract Costs: DoD Consideration of Defense Contract Audit Agency's Findings	www.gao.gov/new.items/d061132.pdf
GAO	GAO-06-885T	7/18/2006	Global War on Terrorism: Observations on Funding, Costs, and Future Commitments	www.gao.gov/new.items/d06885t.pdf
GAO	GAO-06-953T	7/11/2006	Rebuilding Iraq: More Comprehensive National Strategy Needed To Help Achieve U.S. Goals and Overcome Challenges	www.gao.gov/new.items/d06953t.pdf
GAO	GAO-06-788	7/1/2006	Rebuilding Iraq: More Comprehensive National Strategy Needed To Help Achieve U.S. Goals	www.gao.gov/new.items/d06788.pdf
GAO	GAO-06-865T	6/13/2006	Actions Still Needed To Improve the Use of Private Security Providers	www.gao.gov/new.items/d06865t.pdf
GAO	GAO-06-274	6/1/2006	Lack of a Synchronized Approach Between the Marine Corps and Army Affected the Timely Production and Installation of Marine Corps Truck Armor	www.gao.gov/new.items/d06274.pdf
GAO	GAO-06-711T	5/2/2006	Oil for Food Program Provides Lessons for Future Sanctions and Ongoing Reform	www.gao.gov/new.items/d06711t.pdf
GAO	GAO-06-697T	4/25/2006	Rebuilding Iraq: Governance, Security, Reconstruction, and Financing Challenges	www.gao.gov/new.items/d06697t.pdf
GAO	GAO-06-330	4/1/2006	Lessons Learned from Oil for Food Program Indicate the Need To Strengthen UN Internal Controls and Oversight Activities	www.gao.gov/new.items/d06330.pdf
GAO	GAO-06-428T	2/8/2006	Rebuilding Iraq: Stabilization, Reconstruction, and Financing Challenges	www.gao.gov/new.items/d06428t.pdf
GAO	GAO-07-145	12/18/2005	Military Operations: High-level DoD Action Needed To Address Long-Standing Problems with Management and Oversight of Contractors Supporting Deployed Forces	www.gao.gov/new.items/d07145.pdf
GAO	GAO-06-179T	10/18/2005	Rebuilding Iraq: Enhancing Security, Measuring Program Results, and Maintaining Infrastructure Are Necessary to Make Significant and Sustainable Progress	www.gao.gov/new.items/d06179t.pdf
GAO	GAO-05-882	9/21/2005	Global War on Terrorism: DOD Needs To Improve the Reliability of Cost Data and Provide Additional Guidance To Control Costs	www.gao.gov/new.items/d05882.pdf
GAO	GAO-05-872	9/7/2005	Rebuilding Iraq: U.S. Water and Sanitation Efforts Need Improved Measures for Assessing Impact and Sustained Resources for Maintaining Facilities	www.gao.gov/new.items/d05872.pdf
GAO	GAO-05-932R	9/7/2005	Rebuilding Iraq: U.S. Assistance for the January 2005 Elections	www.gao.gov/new.items/d05932r.pdf
GAO	GAO-05-775	8/11/2005	Defense Logistics: DoD Has Begun To Improve Supply Distribution Operations, but Further Actions Are Needed To Sustain These Efforts	www.gao.gov/new.items/d05775.pdf
GAO	GAO-05-737	7/28/2005	Rebuilding Iraq: Actions Needed To Improve Use of Private Security Providers	www.gao.gov/new.items/d05737.pdf
GAO	GAO-05-876	7/28/2005	Rebuilding Iraq: Status of Funding and Reconstruction	www.gao.gov/new.items/d05876.pdf
GAO	GAO-05-680R	6/27/2005	Opportunities Exist To Improve Future Comprehensive Master Plans for Changing U.S. Defense Infrastructure Overseas	www.gao.gov/new.items/d05680r.pdf
GAO	GAO-05-293	5/1/2005	Defense Management: Processes To Estimate and Track Equipment Reconstitution Costs Can Be Improved	www.gao.gov/new.items/d05293.pdf

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GAO COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title	Web Link
GAO	GAO-05-280R	4/29/2005	Defense Base Act Insurance: Review Needed of Cost and Implementation Issues	www.gao.gov/new.items/d05280r.pdf
GAO	GAO-05-201	4/1/2005	Interagency Contracting: Problems with DoD's and Interior's Orders To Support Military Operations	www.gao.gov/new.items/d05201.pdf
GAO	GAO-05-275	4/1/2005	Defense Logistics: Actions Needed To Improve the Availability of Critical Items during Current and Future Operations	www.gao.gov/new.items/d05275.pdf
GAO	GAO-05-328	3/17/2005	Defense Logistics - High Level DoD Coordination Is Needed To Further Improve the Management of the Army's LOGCAP Contract	www.gao.gov/new.items/d05328.pdf
GAO	GAO-05-431T	3/14/2005	Rebuilding Iraq: Preliminary Observations on Challenges in Transferring Security Responsibilities to Iraqi Military and Police	www.gao.gov/new.items/d05431t.pdf
GAO	GAO-05-392T	3/2/2005	United Nations: Sustained Oversight Is Needed for Reforms To Achieve Lasting Results	www.gao.gov/new.items/d05392t.pdf
GAO	GAO-05-346T	2/15/2005	United Nations: Oil for Food Program Audits	www.gao.gov/new.items/d05346t.pdf
GAO	GAO-05-125	2/1/2005	Military Pay: Gaps in Pay and Benefits Create Financial Hardships for Injured Army National Guard and Reserve Soldiers	www.gao.gov/new.items/d05125.pdf
GAO	GAO-05-233	2/1/2005	Progress in Implementing the Services Acquisition Reform Act (SARA)	www.gao.gov/new.items/d05233.pdf
GAO	GAO-05-79	1/1/2005	Army National Guard: Inefficient, Error-Prone Process Results in Travel Reimbursement Problems for Mobilized Soldiers	www.gao.gov/new.items/d0579.pdf
GAO	GAO-05-120	11/1/2004	Defense Health Care: Force Health Protection and Surveillance Policy Compliance Was Mixed, but Appears Better for Recent Deployments	www.gao.gov/new.items/d05120.pdf
GAO	GAO-04-1006	9/14/2004	Foreign Regimes' Assets: The U.S. Faces Challenges in Recovering Assets, But Has Mechanisms That Could Guide Future Assets	www.gao.gov/new.items/d041006.pdf
GAO	GAO-04-1031	9/1/2004	Military Personnel: DoD Needs To Address Long-term Reserve Force Availability and Related Mobilization and Demobilization Issues	www.gao.gov/new.items/d041031.pdf
GAO	GAO-04-953T	7/8/2004	United Nations: Observations on the Oil for Food Program and Areas for Further Investigation	www.gao.gov/new.items/d04953t.pdf
GAO	GAO-04-854	7/1/2004	Military Operations: DoD's Extensive Use of Logistics Support Contracts Requires Strengthened Oversight	www.gao.gov/new.items/d04854.pdf
GAO	GAO-04-915	7/1/2004	Military Operations: Fiscal Year 2004 Costs for the Global War on Terrorism Will Exceed Supplemental, Requiring DoD To Shift Funds from Other Uses	www.gao.gov/new.items/d04915.pdf
GAO	GAO-04-880T	6/16/2004	United Nations: Observations on the Oil for Food Program and Iraq's Food Security	www.gao.gov/new.items/d04880t.pdf
GAO	GAO-04-869T	6/15/2004	Contract Management: Contracting for Iraq Reconstruction and Global Logistics Support (Testimony)	www.gao.gov/new.items/d04869t.pdf
GAO	GAO-04-605	6/1/2004	Rebuilding Iraq—Fiscal Year 2003 Contract Award Procedures and Management Challenges	www.gao.gov/new.items/d004605.pdf
GAO	GAO-04-902R	6/1/2004	Rebuilding Iraq—Resource, Security, Governance, Essential Services, and Oversight Issues	www.gao.gov/new.items/d04902r.pdf
GAO	GAO-04-831R	5/27/2004	Financial Services: Post-hearing Questions Regarding Recovering Foreign Regimes' Assets	www.gao.gov/new.items/d04831r.pdf
GAO	GAO-04-746R	5/25/2004	Report on Iraq Transitional Law	www.gao.gov/new.items/d04746r.pdf
GAO	GAO-04-668	5/1/2004	Military Operations: DoD's Fiscal Year 2003 Funding and Reported Obligations in Support of the Global War on Terrorism	www.gao.gov/new.items/d04668.pdf
GAO	GAO-04-730T	4/28/2004	United Nations: Observations on the Management and Oversight of the Oil for Food Program	www.gao.gov/new.items/d04730t.pdf
GAO	GAO-04-651T	4/7/2004	United Nations: Observations on the Oil for Food Program	www.gao.gov/new.items/d04651t.pdf
GAO	GAO-04-484	4/1/2004	Operation Iraqi Freedom: Long-standing Problems Hampering Mail Delivery Need To Be Resolved	www.gao.gov/new.items/d04484.pdf

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APPENDIX H

GAO COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

Agency	Report Number	Date	Report Title	Web Link
GAO	GAO-04-559	4/1/2004	State Department Issues Affecting Iraq National Congress Support Foundation	www.gao.gov/new.items/d04559.pdf
GAO	GAO-04-562T	3/24/2004	Military Prepositioning: Observations on Army and Marine Corps Programs During Operation Iraqi Freedom and Beyond	www.gao.gov/new.items/d04562t.pdf
GAO	GAO-04-579T	3/18/2004	Recovering Iraq's Assets: Preliminary Observations on U.S. Efforts and Challenges	www.gao.gov/new.items/d04579t.pdf
GAO	GAO-04-305R	12/18/2003	Defense Logistics: Preliminary Observations on the Effectiveness of Logistics Activities during Operation Iraqi Freedom (Briefing)	www.gao.gov/new.items/d04305r.pdf
GAO	GAO-03-1088	9/1/2003	Military Operations: Fiscal Year 2003 Obligations Are Substantial, But May Result in Less Obligations Than Expected	www.gao.gov/new.items/d031088.pdf
GAO	GAO-03-792R	5/15/2003	Rebuilding Iraq	www.gao.gov/new.items/d03792r.pdf
Total GAO Audits = 129				

SIGIR COMPLETED AUDITS, AS OF OCTOBER 31, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
SIGIR	10-006	Oct-2009	Development Fund for Iraq: Policy Guidance Needed To Enhance Accountability of USACE-managed Funds
SIGIR	10-005	Oct-2009	Iraq Security Forces Fund: Weak Contract Oversight Allowed Potential Overcharges by AECOM To Go Undetected
SIGIR	10-004	Oct-2009	Iraq Reconstruction Funds: Forensic Audits Identifying Fraud, Waste, and Abuse Interim Report #1
SIGIR	10-003	Oct-2009	Iraq-Commander's Emergency Response Program Generally Managed Well, but Project Documentation and Oversight Can Be Improved
SIGIR	10-002	Oct-2009	Data Provided to the Government of Iraq on U.S. Reconstruction Projects Lacked Clarity
SIGIR	10-001	Oct-2009	Iraqi Security Forces Facilities: Environmental Chemical Corporation Projects Achieved Results, but with Significant Cost and Schedule Increases
SIGIR	09-027	Jul-2009	Developing Iraqi Military Depot Maintenance Capability at Taji Hampered by Numerous Problems
SIGIR	09-026	Jul-2009	Commander's Emergency Response Program: Hotel Construction Successfully Completed, but Project Management Issues Remain Unresolved
SIGIR	09-025	Jul-2009	Commander's Emergency Response Program: Muhalla 312 Electrical Distribution Project Largely Successful
SIGIR	09-024	Jul-2009	Tikrit Location Command Project Achieving Contract Goals by Using Sound Management Practices
SIGIR	09-023	Jul-2009	Investigation and Remediation Records Concerning Incidents of Weapons Discharges by Private Security Contractors Can Be Improved
SIGIR	09-022	Jul-2009	Field Commanders See Improvements in Controlling and Coordinating Private Security Contractor Missions in Iraq
SIGIR	09-021 and AUD/IQO-09-16	Jun-2009	Joint Audit of Blackwater Contract and Task Orders for Worldwide Personal Protective Services in Iraq (with DoS OIG)
SIGIR	09-020	Apr-2009	Provincial Reconstruction Teams: Developing a Cost-tracking System Will Enhance Decision-making
SIGIR	09-019	Apr-2009	Opportunities To Improve Processes for Reporting, Investigating, and Remediating Serious Incidents Involving Private Security Contractors in Iraq
SIGIR	09-018	Apr-2009	Information on Government of Iraq Contributions to Reconstruction Costs
SIGIR	09-017	Apr-2009	Need To Enhance Oversight of Theater-Wide Internal Security Services Contracts
SIGIR	09-016	Apr-2009	Asset-transfer Process for Iraq Reconstruction Projects Lacks Unity and Accountability
SIGIR	09-015	Apr-2009	Construction of Primary Healthcare Centers Reported Essentially Complete, but Operational Issues Remain
SIGIR	09-014	Apr-2009	Security Forces Logistics Contract Experienced Certain Cost, Outcome, and Oversight Problems
SIGIR	09-013	Jan-2009	Provincial Reconstruction Teams' Performance Measurement Process Has Improved
SIGIR	09-012	Jan-2009	The U.S. Has Reduced Its Funding for the Iraqi Security Forces, but Continued Support Will Likely Be Necessary
SIGIR	09-011	Jan-2009	Opportunities to Improve Management of the Quick Response Fund
SIGIR	09-010	Jan-2009	Oversight of Aegis's Performance on Security Services Contracts in Iraq with the Department of Defense
SIGIR	09-009	Jan-2009	Full Impact of Department of Defense Program To Restart State-owned Enterprises Difficult To Estimate
SIGIR	09-008	Jan-2009	Cost, Outcome, and Oversight of Iraq Oil Reconstruction Contract with Kellogg Brown & Root Services, Inc.
SIGIR	09-007	Oct-2008	Improvements Needed in Reporting Status of Reconstruction Projects to Chief of Mission
SIGIR	09-006	Oct-2008	Status of Department of State Economic Support Fund Interagency Agreements With The U.S. Army Corps of Engineers in Iraq
SIGIR	09-005	Oct-2008	Agencies Need Improved Financial Data Reporting for Private Security Contractors
SIGIR	09-004	Oct-2008	Iraq Reconstruction Project Terminations Represent a Range of Actions
SIGIR	09-003	Oct-2008	Cost, Outcome, and Oversight of Local Governance Program Contracts With Research Triangle Institute
SIGIR	09-002	Oct-2008	Challenges in Obtaining Reliable and Useful Data on Iraqi Security Forces Continue

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APPENDIX H

SIGIR COMPLETED AUDITS, AS OF OCTOBER 31, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
SIGIR	09-001	Oct-2008	Opportunity to Enhance U.S. Democracy Building Strategy for Iraq
SIGIR	08-024	Jul-2008	Information on Special Department of Defense Program to Foster Economic Recovery in Iraq
SIGIR	08-023	Jul-2008	Anticorruption Efforts in Iraq: U.S. and Iraq Take Actions but Much Remains To Be Done
SIGIR	08-022	Jul-2008	Government of Iraq Increasingly Funding Iraq Security Force Infrastructure Development, but Substantial U.S. Support Remains
SIGIR	08-021	Jul-2008	Comprehensive Plan Needed To Guide the Future of the Iraq Reconstruction Management System
SIGIR	08-020	Jul-2008	Key Recurring Management Issues Identified in Audits of Iraq Reconstruction Efforts
SIGIR	08-019	Jul-2008	Outcome, Cost, and Oversight of the Security and Justice Contract with Parsons Delaware, Inc.
SIGIR	08-018	Jul-2008	Outcome, Cost, and Oversight of Water Sector Reconstruction Contract with FluorAMEC, LLC
SIGIR	08-017	Apr-2008	Transferring Reconstruction Projects to the Government of Iraq: Some Progress Made but Further Improvements Needed To Avoid Waste
SIGIR	08-016	Apr-2008	U.S. Anticorruption Efforts in Iraq: Progress Made in Implementing Revised Management Plan
SIGIR	08-015	Apr-2008	Interim Analysis of Iraqi Security Force Information Provided by the Department of Defense Report, Measuring Stability and Security in Iraq
SIGIR	08-014	Apr-2008	Progress on Recommended Improvements to Contract Administration for the Iraqi Police Training Program
SIGIR	08-013	Apr-2008	Interim Report on Iraq Reconstruction Contract Terminations
SIGIR	08-011	Apr-2008	Outcome, Cost, and Oversight of Electricity-sector Reconstruction Contract with Perini Corporation
SIGIR	08-012	Mar-2008	Attestation to Development Fund for Iraq Cash in the Possession of the Joint Area Support Group-Central
SIGIR	08-004	Jan-2008	Outcome, Cost, and Oversight of Reconstruction of Taji Military Base and Baghdad Recruiting Center
SIGIR	08-005	Jan-2008	Differences in Services and Fees for Management and Administration of Iraq Reconstruction Contracts
SIGIR	08-006	Jan-2008	Commander's Emergency Response Program in Iraq Funds Many Large-Scale Projects
SIGIR	08-007	Jan-2008	Efforts To Implement a Financial-Management Information System in Iraq
SIGIR	08-008	Jan-2008	U.S. Anticorruption Efforts in Iraq: Sustained Management Commitment Is a Key to Success
SIGIR	08-009	Jan-2008	Appropriate Award Fee Conversion Scales Can Enhance Incentive for Contractor Performance
SIGIR	08-010	Jan-2008	Outcome, Costs, and Management Oversight of Iraq Reconstruction Contract W914NS-04-D-0006
SIGIR	08-002	Oct-2007	Logistics Civil Augmentation Program Task Orders 130 and 151: Program Management, Reimbursement, and Transition
SIGIR	08-003	Oct-2007	Review of the Use of Contractors in Managing Iraq Relief and Reconstruction Projects
SIGIR	08-001	Oct-2007	Interim Report on Efforts and Further Actions Needed To Implement a Financial Management Information System in Iraq
SIGIR	07-010	Oct-2007	Agency Management of the Closeout Process for Iraq Relief and Reconstruction Fund Contracts
SIGIR	07-016	Oct-2007	Interim Review of DynCorp International, LLC, Spending Under Its Contract for the Iraqi Police Training Program
SIGIR	07-011	Oct-2007	Controls Over Unliquidated Obligations in the Iraq Relief and Reconstruction Fund
SIGIR	07-015	Oct-2007	Review of the Effectiveness of the Provincial Reconstruction Team Program In Iraq
SIGIR	07-008	Jul-2007	Fact Sheet: U.S. Government Organizations' Role and Responsibilities for Iraq Relief and Reconstruction Activities
SIGIR	07-014	Jul-2007	Status of the Provisional Reconstruction Team Program Expansion in Iraq
SIGIR	07-007	Jul-2007	Status of U.S. Government Anticorruption Efforts in Iraq

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SIGIR COMPLETED AUDITS, AS OF OCTOBER 31, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
SIGIR	07-009	Jul-2007	Review of Bechtel's Spending Under Its Phase II Iraq Reconstruction Contract
SIGIR	07-003	Jul-2007	Review of Financial Reporting and Cost-to-complete Estimates for Iraq Relief and Reconstruction U.S.-funded Programs and Projects
SIGIR	07-004	Jul-2007	Transferring Iraq Relief and Reconstruction Fund Capital Projects to the Government of Iraq
SIGIR	07-005	Jul-2007	Fact Sheet on Sources and Uses of U.S. Funding Provided in Fiscal Year 2006 for Iraq Relief and Reconstruction
SIGIR	07-001	Jun-2007	Logistics Civil Augmentation Program Task Order 130: Requirements Validation, Government Oversight, and Contractor Performance
SIGIR	07-013	Apr-2007	Sustainment of the Advanced First Responder Network (Restricted)
SIGIR	07-006	Apr-2007	Management of the Commander's Emergency Response Program in Iraq for Fiscal Year 2006
SIGIR	07-012	Apr-2007	Review of Iraq Relief and Reconstruction Fund Unmatched Disbursements at the Department of State
SIGIR	07-002	Apr-2007	Status of the Advanced First Responder Network
SIGIR	06-029	Jan-2007	Review of DynCorp International, LLC, Contract Number S-LMAQM-04-C-0030, Task Order 0338, for the Iraqi Police Training Program Support
SIGIR	06-030	Jan-2007	Status of Medical Equipment and Other Non-Construction Items Purchased for Primary Healthcare Centers
SIGIR	06-040	Jan-2007	Improper Obligations Using the Iraq Relief and Reconstruction Fund (IRRF 2)
SIGIR	06-042	Jan-2007	Fact Sheet on Major U.S. Contractors' Security Costs Related to IRRF Fund Contracting Activities (Restricted-Limited Distribution)
SIGIR	06-043	Jan-2007	Review of Iraq Relief and Reconstruction Fund Unmatched Disbursements
SIGIR	06-044	Jan-2007	Fact Sheet on Major U.S. Contractors' Security Costs Related to Iraq Relief and Reconstruction Fund Contracting Activities
SIGIR	06-045	Jan-2007	Status of Ministerial Capacity Development in Iraq
SIGIR	06-036	Jan-2007	Follow-up on SIGIR Recommendations Concerning the Development Fund for Iraq (DFI)
SIGIR	06-039	Jan-2007	Review of USAID/Bechtel National, Inc., Property Management Controls for Contract SPU-C-00-04-00001-00
SIGIR	06-034	Oct-2006	Status of the Provincial Reconstruction Team Program in Iraq
SIGIR	06-032	Oct-2006	Iraqi Security Forces: Review of Plans To Implement Logistics Capabilities
SIGIR	06-033	Oct-2006	U.S. Department of Defense Using the Iraq Relief and Reconstruction Fund
SIGIR	06-031	Oct-2006	Management of the Iraqi Interim Government Fund
SIGIR	06-035	Oct-2006	Interim Audit Report on Inappropriate Use of Proprietary Data Markings By the Logistics Civil Augmentation Program (LOGCAP) Contractor
SIGIR	06-028	Oct-2006	Review of Administrative Task Orders for Iraq Reconstruction Contracts
SIGIR	06-038	Sep-2006	Unclassified Summary of SIGIR's Review of Efforts To Increase Iraq's Capability To Protect Its Energy Infrastructure
SIGIR	06-037	Sep-2006	Interim Audit Report on Improper Obligations Using the Iraq Relief and Reconstruction Fund (IRRF 2)
SIGIR	06-026	Jul-2006	Review of the U.S. Agency for International Development's Management of the Basrah Children's Hospital Project
SIGIR	06-017	Jul-2006	Transition of Iraqi Relief and Reconstruction Fund Projects to the Iraqi Government
SIGIR	06-019	Jul-2006	Review of the Use of Definitization Requirements for Contracts Supporting Reconstruction in Iraq
SIGIR	06-020	Jul-2006	Review of the Advanced First Responder Network
SIGIR	06-021	Jul-2006	Joint Survey of the U.S. Embassy-Iraq's Anticorruption Program
SIGIR	06-023	Jul-2006	Changes in Iraq Relief and Reconstruction Fund Program Activities, January Through March 2006

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APPENDIX H

SIGIR COMPLETED AUDITS, AS OF OCTOBER 31, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
SIGIR	06-025	Jul-2006	Review of the Medical Equipment Purchased for the Primary Healthcare Centers Associated with Parsons Global Services, Inc., Contract Number W914NS-04-D-0006
SIGIR	06-014	Jul-2006	Review of Efforts To Increase Iraq's Capability To Protect Its Energy Infrastructure (Classified)
SIGIR	06-024	Jul-2006	Joint Cash Count—Iraq National Weapons Card Program
SIGIR	06-018	Jul-2006	Survey of the Status of Funding for Iraq Programs Allocated to the Department of State's Bureau of International Narcotics and Law Enforcement Affairs as of December 31, 2005
SIGIR	06-007	Apr-2006	U.S. Agency for International Development: Management of the Transfer of Iraq Relief and Reconstruction Fund Projects to the Iraqi Government
SIGIR	06-011	Apr-2006	Management of the Primary Healthcare Centers Construction Projects
SIGIR	06-003	Apr-2006	Review of Data Entry and General Controls in the Collecting and Reporting of the Iraq Relief and Reconstruction Fund
SIGIR	06-004	Apr-2006	Changes in Iraq Relief and Reconstruction Fund Program Activities, October through December 2005
SIGIR	06-005	Apr-2006	Follow-up on Recommendations Made in SIGIR Audit Reports Related to Management and Control of the Development Fund for Iraq
SIGIR	06-008	Apr-2006	Development Fund for Iraq—Cash Accountability Review: Joint Area Support Group-Central
SIGIR	06-009	Apr-2006	Review of Task Force Shield Programs
SIGIR	06-010	Apr-2006	Review of the Multi-National Security Transition Command-Iraq Reconciliation of the Iraqi Armed Forces Seized Assets Fund
SIGIR	06-012	Apr-2006	Development Fund for Iraq Cash Accountability Review: Joint Area Support Group-Central/Fallujah
SIGIR	06-013	Apr-2006	Briefing to the International Advisory and Monitoring Board for Iraq: Management Controls Over the Development Fund for Iraq
SIGIR	06-015	Apr-2006	Iraqi Armed Forces Seized Assets Fund: Review of Contracts and Financial Documents
SIGIR	06-001	Apr-2006	Management of Iraq Relief and Reconstruction Fund Program: The Evolution of the Iraq Reconstruction Management System
SIGIR	06-016	Apr-2006	Interim Audit Report on the Review of the Equipment Purchased for Primary Healthcare Centers Associated with Parsons Global Services, Contract Number W914NS-04-D-0006
SIGIR	06-006	Apr-2006	Multi-National Security Transition Command-Iraq Management of the Transfer of Iraq Relief and Reconstruction Fund Projects to the Iraqi Government
SIGIR	06-002	Feb-2006	Prompt Payment Act: Analysis of Expenditures Made from the Iraq Relief and Reconstruction Fund
SIGIR	05-026	Jan-2006	Fact Sheet on the Use of the \$50 Million Appropriation To Support the Management and Reporting of the Iraq Relief and Reconstruction Fund
SIGIR	05-027	Jan-2006	Methodologies for Reporting Cost-To-Complete Estimates
SIGIR	05-029	Jan-2006	Challenges Faced In Carrying Out Iraq Relief and Reconstruction Fund Activities
SIGIR	05-028	Jan-2006	GRD-PCO Management of the Transfer of IRRF-Funded Assets to the Iraqi Government
SIGIR	05-023	Jan-2006	Management of Rapid Regional Response Program Contracts in South-Central Iraq
SIGIR	05-024	Jan-2006	Management of the Mansuria Electrical Reconstruction Project
SIGIR	05-025	Jan-2006	Management of the Commander's Emergency Response Program for Fiscal Year 2005
SIGIR	05-016	Oct-2005	Management of the Contracts and Grants Used To Construct and Operate the Babylon Police Academy
SIGIR	05-020	Oct-2005	Management of the Contracts, Grant, and Micropurchases Used To Rehabilitate the Kerbala Library
SIGIR	05-015	Oct-2005	Management of Rapid Regional Response Program Grants in South-Central Iraq

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SIGIR COMPLETED AUDITS, AS OF OCTOBER 31, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE
SIGIR	05-017	Oct-2005	Award Fee Process for Contractors Involved In Iraq Reconstruction
SIGIR	05-021	Oct-2005	Management of Iraq Relief and Reconstruction Fund Programs: Cost-to-Complete Estimate Reporting
SIGIR	05-022	Oct-2005	Managing Sustainment for Iraq Relief and Reconstruction Fund Programs
SIGIR	05-018	Oct-2005	Management of Iraq Relief and Reconstruction Fund Program: Acquisition of Armored Vehicles Purchased Through Contract W914NS-05-M-1189
SIGIR	05-014	Oct-2005	Management of Commander's Emergency Response Program for Fiscal Year 2004
SIGIR	05-019	Sep-2005	Attestation Engagement Report Concerning the Award of Non-Competitive Contract DACA63-03-D-0005 to Kellogg, Brown and Root Services, Inc.
SIGIR	05-013	Sep-2005	Controls over Equipment Acquired by Security Contractors
SIGIR	05-010	Jul-2005	Interim Briefing to the Project and Contracting Office-Iraq and the Joint Contracting Command-Iraq on the Audit of the Award Fee Process
SIGIR	05-011	Jul-2005	Cost-to-Complete Estimates and Financial Reporting for the Management of the Iraq Relief and Reconstruction Fund
SIGIR	05-012	Jul-2005	Policies and Procedures Used for Iraq Relief and Reconstruction Fund Project Management—Construction Quality Assurance
SIGIR	05-009	Jul-2005	Reconciliation of Reporting Differences of the Source of Funds Used on Contracts After June 28, 2004
SIGIR	05-006	Apr-2005	Control of Cash Provided to South-Central Iraq
SIGIR	05-007	Apr-2005	Administration of Iraq Relief and Reconstruction Fund Contract Files
SIGIR	05-008	Apr-2005	Administration of Contracts Funded by the Development Fund for Iraq
SIGIR	05-005	Apr-2005	Compliance with Contract No. W91150-04-C-0003 Awarded to Aegis Defence Services Limited
SIGIR	05-004	Jan-2005	Oversight of Funds Provided to Iraqi Ministries through the National Budget Process
SIGIR	05-003	Nov-2004	Task Order 0044 of the Logistics Civilian Augmentation Program III Contract
SIGIR	05-002	Oct-2004	Accountability and Control of Materiel Assets of the Coalition Provisional Authority in Kuwait
SIGIR	05-001	Oct-2004	Coalition Provisional Authority Control of Appropriated Funds
SIGIR	04-008	Jul-2004	Coalition Provisional Authority Control Over Seized and Vested Assets
SIGIR	04-004	Jul-2004	Task Orders Awarded by the Air Force Center for Environmental Excellence in Support of the Coalition Provisional Authority
SIGIR	04-009	Jul-2004	Coalition Provisional Authority Comptroller Cash Management Controls Over the Development Fund for Iraq
SIGIR	04-013	Jul-2004	Coalition Provisional Authority's Contracting Processes Leading Up To and Including Contract Award
SIGIR	04-007	Jul-2004	Oil For Food Cash Controls for the Office of Project Coordination in Erbil, Iraq
SIGIR	04-011	Jul-2004	Audit of the Accountability and Control of Materiel Assets of the Coalition Provisional Authority in Baghdad
SIGIR	04-005	Jul-2004	Award of Sector Design-Build Construction Contracts
SIGIR	04-006	Jul-2004	Corporate Governance for Contractors Performing Iraq Reconstruction Efforts
SIGIR	04-001	Jun-2004	Coalition Provisional Authority Coordination of Donated Funds
SIGIR	04-002	Jun-2004	Management of Personnel Assigned to the Coalition Provisional Authority in Baghdad, Iraq
SIGIR	04-003	Jun-2004	Federal Deployment Center Forward Operations at the Kuwait Hilton
Total SIGIR Audits = 155			

APPENDIX H

USAAA COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAAA	A-2009-0245-ALL	9/30/2009	Logistics Civil Augmentation Program (LOGCAP) Operations in Support of Operation Iraqi Freedom - Power Generators	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0245-ALL%20Logistics%20Civil%20Augmentation%20Program%20Operations%20in%20Support%20of%20Operation%20Iraqi%20Freedom%20-%20Power%20Generators.pdf
USAAA	A-2009-0244-ALL	9/30/2009	U.S. Army Corps of Engineers Contract Functions in Iraq, Gulf Region Division, Baghdad, Iraq	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0244-ALL%20U.S.%20Army%20Corps%20of%20Engineers%20Contract%20Functions%20in%20Iraq,%20Gulf%20Region%20Division,%20Baghdad,%20Iraq.pdf
USAAA	A-2009-0242-ALR	9/30/2009	Property Book Unit Supply Enhanced, I Corps and Fort Lewis	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0242-ALR%20Property%20Book%20Unit%20Supply%20Enhanced,%20I%20Corps%20and%20Fort%20Lewis.pdf
USAAA	A-2009-0228-ALL	9/30/2009	Retrograde Operations in Southwest Asia - Management of Automatic Return and Critical Items	Not Available
USAAA	A-2009-0132-ALL	9/29/2009	Contracting Operations, U.S. Army Contracting Command Southwest Asia - Kuwait	Not Available
USAAA	A-2009-0235-ALL	9/28/2009	Commander's Emergency Response Program - Iraq	Not Available
USAAA	A-2009-0233-ALA	9/25/2009	Army Acquisition Objective Process	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0233-ALA%20Army%20Acquisition%20Objective%20Process,%20Office%20of%20the%20Deputy%20Chief%20of%20Staff,%20G-3,%20O5,%20O7.pdf
USAAA	A-2009-0221-ALA	9/21/2009	Effect of Mine Resistant Ambush Protected Vehicle (MRAP) Upon Tactical Vehicle System Requirements, Office of the Deputy Chief of Staff, G-3/5/7	Not Available
USAAA	A-2009-0219-ALL	9/21/2009	Sensitive Items Accountability and Control, Abu Ghraib Warehouse, Iraq	Not Available
USAAA	A-2009-0212-FFS	9/17/2009	Requirements for Mobilized Soldiers	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0212-FFS%20Requirements%20for%20Mobilized%20Soldiers.pdf
USAAA	A-2009-0188-FFM	9/1/2009	Assessing Future Base Budget Requirements	Not Available
USAAA	A-2009-0164-FFS	9/1/2009	Assessing Future Base Budget Requirements	Not Available
USAAA	A-2009-0202-FFF	8/28/2009	Use of Role-players for Training - Exclusive of Combat Training Centers	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0202-FFF%20Use%20of%20Role-players%20for%20Training%20-%20Exclusive%20of%20Combat%20Training%20Centers.pdf
USAAA	A-2009-0196-ALO	8/27/2009	Assessing Future Base Budget Requirements	Not Available
USAAA	A-2009-0192-FFF	8/25/2009	Assessing Future Base Budget Requirements	Not Available
USAAA	A-2009-0182-ALL	8/18/2009	Commander's Emergency Response Program - Iraq	Not Available
USAAA	A-2009-0181-ALR	8/18/2009	Property Accountability, 3rd Infantry Division, Rear Detachment, Fort Stewart, Georgia	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0181-ALR%20Property%20Accountability,%203rd%20Infantry%20Division,%20Rear%20Detachment,%20Fort%20Stewart,%20Georgia.pdf
USAAA	A-2009-0173-ALL	7/29/2009	Controls Over Vendor Payments - Kuwait (Phase I - U.S. Army Contracting Command, Southwest Asia, Camp Arifjan, Kuwait)	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0173-ALL%20Controls%20Over%20Vendor%20Payments-Kuwait%20(Phase%20I%20U.S.%20Army%20Contracting%20Command.pdf

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USAAA COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAAA	A-2009-0169-ALL	7/28/2009	Commander's Emergency Response Program - Iraq	Not Available
USAAA	A-2009-0159-ALM	7/27/2009	Assessing Future Base Budget Requirements	Not Available
USAAA	A-2009-0144-ZBI	7/23/2009	Army Foreign Language Program Contracting	Not Available
USAAA	A-2009-0165-ALL	7/16/2009	Followup Audit of Management Controls Over Offline Purchases	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0165-ALL%20Followup%20Audit%20of%20Management%20Controls%20Over%20Offline%20Purchases,%20Office%20of%20the%20Deputy.pdf
USAAA	A-2009-0156-ALM	7/9/2009	Field Level Maintenance Operations, U.S. Army Sustainment Command and U.S. Army Garrison, Fort Hood, Texas	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0156-ALM%20Field%20Level%20Maintenance%20Operations,%20U.S.%20Army%20Sustainment%20Command.pdf
USAAA	A-2009-0146-ALM	6/22/2009	Sustaining Left-Behind Equipment, U.S. Army Sustainment Command	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0146-ALM%20Sustaining%20Left-Behind%20Equipment,%20U.S.%20Army%20Sustainment%20Command.pdf
USAAA	A-2009-0120-FFD	6/17/2009	Improvised Explosive Device Training for Explosive Ordnance Disposal Soldiers, Deputy Chief of Staff G-3/5/7 and Training and Doctrine Command	Not Available
USAAA	A-2009-0130-FFD	6/8/2009	Body Armor Requirements, Office of the Deputy Chief of Staff, G-3/5/7	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0130-FFD%20Body%20Armor%20Requirements,%20Office%20of%20the%20Deputy%20Chief%20of%20Staff,%20G-3,%2005,%2007.pdf
USAAA	A-2009-0119-ALL	6/8/2009	Commander's Emergency Response Program – Multi-National Division – Baghdad	Not Available
USAAA	A-2009-0076-ALM	3/31/2009	Reset Fly Away Team – Inter-depot Transfer Request Process	Not Available
USAAA	A-2009-0080-ALL	3/31/2009	Retrograde Operations in Southwest Asia, Multi-class Retrograde – Camp Victory, Iraq	Not Available
USAAA	A-2009-0082-ALM	3/31/2009	Field Level Reset Requirements – Army National Guard	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0082-ALM%20Field%20Level%20Reset%20Requirements,%20U.S.%20Army%20National%20Guard.pdf
USAAA	A-2009-0086-ALA	3/30/2009	Body Armor Testing, Program Executive Office, Soldier	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0086-ALA%20Body%20Armor%20Testing,%20Program%20Executive%20Office,%20Soldier.pdf
USAAA	A-2009-0066-ALR	3/30/2009	Property Book Unit Supply Enhanced System – Property Accountability and Management, 10th Mountain Division	Not Available
USAAA	A-2009-0073-ALA	3/26/2009	Assessing Future Base Budget Requirements, Equipping Program Evaluation Group	Not Available
USAAA	A-2009-0085-ALL	3/26/2009	Retrograde Operations in Southwest Asia, Class VII Theater Provided Equipment, Camp Victory, Iraq	Not Available
USAAA	A-2009-0035-ALR	3/25/2009	Customer Billing Rates – Liner Business Shipments, United States Transportation Command	Not Available
USAAA	A-2009-0071-ALM	3/23/2009	Time Sensitive Issue – Automatic Reset Induction Criteria	Not Available
USAAA	A-2009-0077-ALM	3/23/2009	Time-Sensitive Memorandum: M88A1 Recovery Vehicle FY09 Reset Maintenance Requirements	Not Available
USAAA	A-2009-0074-ALM	3/23/2009	Time-Sensitive Memorandum: Automatic Reset Induction Transportation from Southwest Asia	Not Available

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USAAA COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAAA	A-2009-0069-ALL	3/19/2009	Management of Shipping Containers in Southwest Asia, Kuwait, Iraq, Afghanistan, and CONUS – Summary Report	Not Available
USAAA	A-2009-0062-FFM	3/3/2009	Assessing Future Base Budget Requirements, Manning Program Evaluation Group	Not Available
USAAA	A-2009-0049-FFS	2/20/2009	US Army Reserve Pre-Mobilization Training Requirements	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0049-FFS%20US%20Army%20Reserve%20Pre-Mobilization%20Training%20Requirements.pdf
USAAA	A-2009-0057-FFS	2/19/2009	Army National Guard Pre-Mobilization Training Requirements	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0057-FFS%20Army%20National%20Guard%20Pre-Mobilization%20Training%20Requirements.pdf
USAAA	A-2009-0042-ALM	1/27/2009	Contracts for Field Level Reset, US Army Sustainment Command	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0042-ALM%20Contracts%20for%20Field%20Level%20Reset,%20U.S.%20Army%20Sustainment%20Command.pdf
USAAA	A-2009-0033-ALL	1/22/2009	Management of Shipping Containers in Southwest Asia - Afghanistan	www.aaa.army.mil/AAA/AuditReports--Adobe/09%20REPORTS/A-2009-0033-ALL%20Management%20of%20Shipping%20Containers%20Southwest%20Asia-Afghanistan.pdf
USAAA	A-2009-0026-ALR	1/15/2009	Container Detention Billing for the Global War on Terrorism, Military Surface Deployment and Distribution Command	Not Available
USAAA	A-2009-0023-ALM	12/16/2008	Time Sensitive Issue--Excess Theater-Provided Equipment Disposition Request Process	Not Available
USAAA	A-2008-0286-ALL	9/30/2008	Management of Shipping Containers in Southwest Asia--Kuwait	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0286-ALL%20Management%20of%20Shipping%20Containers%20in%20Southwest%20Asia-Kuwait.pdf
USAAA	A-2008-0287-ALL	9/30/2008	Followup Audit of Asset Visibility and Container Management--Operation Iraqi Freedom, U.S Central Command	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0287-ALL%20Followup%20Audit%20of%20Asset%20Visibility%20and%20Container%20Management-Operation%20Iraqi.pdf
USAAA	A-2008-0255-FFS	9/30/2008	Accountability of Contractors on the Battlefield	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0255-FFS%20Accountability%20of%20Contractors%20on%20the%20Battlefield.pdf
USAAA	A-2008-0179-FFI	9/25/2008	Operational Purchases of Information Technology Equipment, Purchases, and Services-Iraq and Kuwait	Not Available
USAAA	A-2008-0256-ALM	9/17/2008	Overseeing Contracts for Field-Level Reset, U.S. Army Sustainment Command	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0256-ALM%20Overseeing%20Contracts%20for%20Field-Level%20Reset,%20U.S.%20Army%20Sustainment%20Command.pdf
USAAA	A-2008-0213-ALA	9/5/2008	Rapid Fielding Initiative--Program Executive Office Soldier, Fort Belvoir, Virginia	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0213-ALA%20Rapid%20Fielding%20Initiative,%20Program%20Executive%20Office%20Soldier,%20Fort%20Belvoir,%20Virginia.pdf
USAAA	A-2008-0234-FFF	9/2/2008	Use of Role-Players for Training at Combat Training Centers	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0234-FFF%20Use%20of%20Role-Players%20for%20Training%20at%20Combat%20Training%20Centers.pdf

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USAAA COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAAA	A-2008-0190-ALM	7/22/2008	Reset Metrics-Sustainment Maintenance	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0190-ALM%20Reset%20Metrics—Sustainment%20Maintenance.pdf
USAAA	A-2008-0182-FF5	7/15/2008	Temporary Change of Station Orders and Housing for Mobilized Soldiers	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0182-FF5%20Temporary%20Change%20of%20Station%20Orders%20and%20Housing%20for%20Mobilized%20Soldiers.pdf
USAAA	A-2008-0178-FFI	7/1/2008	Operational Purchases of Information Technology Equipment, Purchases, and Services-U.S. Army Forces Command	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0178-FFI%20Operational%20Purchases%20of%20IT%20Equipment,%20Systems,%20and%20Services,%20FORSCOM.pdf
USAAA	A-2008-0145-ALL	6/25/2008	Management of Shipping Containers in Southwest Asia, Continental United States	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0145-ALL%20Management%20of%20Shipping%20Containers%20in%20Southwest%20Asia,%20Continental%20United%20States.pdf
USAAA	A-2008-0098-ALL	4/3/2008	Audit of Management of Shipping Containers in Southwest Asia - Iraq	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0098-ALL%20Management%20of%20Shipping%20Containers%20in%20Southwest%20Asia%20-%20Iraq.pdf
USAAA	A-2008-0091-ALL	3/31/2008	Internal Controls Over Contracted Dining Facility Operations, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	Not Available
USAAA	A-2008-0090-ALL	3/20/2008	Audit of Supply Activities (H-Sites), Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0090-ALL%20Supply%20Activities%20(H-Sites),%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program%20Operations.pdf
USAAA	A-2008-0077-ALL	3/20/2008	Contract Administration Over Contracted Dining Facility Operations, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	Not Available
USAAA	A-2008-0085-ALL	3/18/2008	Audit of Class III (Bulk and Retail) Fuel Operations in the Iraq Area of Operations, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0085-ALL%20Class%20III%20(Bulk%20and%20Retail)%20Fuel%20Operations%20in%20the%20Iraq%20Area%20of%20Operations.pdf
USAAA	A-2008-0075-ALL	3/12/2008	Audit of Contractor-Acquired Property, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0075-ALL%20Contractor-Acquired%20Property,%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program.pdf
USAAA	A-2008-0021-FF5	11/28/2007	Army Operational Plans for Contractor Support on the Battlefield	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0021-FF5%20Army%20Operational%20Plans%20for%20Contractor%20Support%20on%20the%20Battlefield.pdf
USAAA	A-2008-0010-ALL	11/2/2007	Followup Audit of Internal Controls over Cargo Container Payments, Military Surface Deployment and Distribution Command	www.aaa.army.mil/AAA/AuditReports--Adobe/08%20REPORTS/A-2008-0010-ALL%20Followup%20Audit%20of%20Internal%20Controls%20Over%20Cargo%20Container%20Payments%20Military%20Surface.pdf
USAAA	A-2007-0204-ALL	9/28/2007	Defense Base Act Insurance for the Logistics Civil Augmentation Program, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	For Official Use Only
USAAA	A-2007-0149-ALL	7/23/2007	Audit of the Army's Theater Linguist Program in Afghanistan, Operation Enduring Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/07%20REPORTS/A-2007-0149-ALL%20Army%20Theater%20Linguist%20Program%20in%20Afghanistan,%20Operation%20Enduring%20Freedom.pdf

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USAAA COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAAA	A-2007-0104-ALL	3/23/2007	Summary Audit Report on the Cost-Effectiveness of Transitioning Work Under the Logistics Civil Augmentation Program Contingency Contract to Sustainment Contracting, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/07%20REPORTS/A-2007-0104-ALL%20Cost-Effectiveness%20of%20Transitioning%20Work%20Under%20the%20Logistics%20Civil%20Augmentation%20Program.pdf
USAAA	A-2007-0093-ALL	3/9/2007	Audit of the Cost-Effectiveness of Transitioning Selected Functions Performed at the Theater Distribution Center (Task Order 87) From Contingency to Sustainment Contracting, Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/07%20REPORTS/A-2007-0093-ALL%20Cost-Effectiveness%20of%20Transitioning%20Selected%20Functions%20Performed%20at%20the%20Theater.pdf
USAAA	A-2007-0040-ALL	1/16/2007	Audit of Procedures for Managing the Overaged Repairable Items List at the Tactical Wheeled Vehicle Refurbishment Center	www.aaa.army.mil/AAA/AuditReports--Adobe/07%20REPORTS/A-2007-0040-ALL%20Procedures%20for%20Managing%20the%20Overaged%20Repairable%20Items%20List%20at%20the%20Tactical%20Wheeled.pdf
USAAA	A-2007-0019-ALL	11/21/2006	Audit of Distribution Functions, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/07%20REPORTS/A-2007-0019-ALL%20Distribution%20Functions,%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program%20Operations.pdf
USAAA	A-2007-0011-ALL	11/16/2006	Audit of Nontactical Vehicle Usage in the Iraq Area of Operations, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/07%20REPORTS/A-2007-0011-ALL%20Nontactical%20Vehicle%20Usage%20in%20the%20Iraq%20Area%20of%20Operations,%20Audit%20of%20Logistics%20Civil.pdf
USAAA	A-2006-0254-ALL	9/29/2006	Audit of the Procedures for Transferring Property During the Base Closure Process in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0254-ALL%20Procedures%20for%20Transferring%20Property%20During%20the%20Base%20Closure%20Process.pdf
USAAA	A-2006-0253-ALL	9/28/2006	Audit of the Cost-Effectiveness of Transitioning the General Support Supply Support Activity (Task Order 87) From Contingency to Sustainment Contracting, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	Not Available
USAAA	A-2006-0233-ALL	9/22/2006	Clothing Issue Facilities, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0233-ALL%20Clothing%20Issue%20Facilities,%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program%20Operations.pdf
USAAA	A-2006-0168-ALL	8/4/2006	Report on the Subsistence Prime Vendor Contract, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0168-ALL%20Subsistence%20Prime%20Vendor%20Contract,%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program.pdf
USAAA	A-2006-0158-ALL	7/11/2006	Report on Class IX (Aviation) Warehouse Staffing, Camp Anaconda, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0158-ALL%20Class%20IX%20(Aviation)%20Warehouse%20Staffing,%20Camp%20Anaconda,%20Audit%20of%20Logistics%20Civil.pdf
USAAA	A-2006-0099-ALL	4/25/2006	Audit of Program Management in the Iraq Area of Operations, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0099-ALL%20Program%20Management%20in%20the%20Iraq%20Area%20of%20Operations,%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program%20.pdf
USAAA	A-2006-0091-ALL	4/4/2006	Audit of Management of the Theater Transportation Mission (Task Order 88), Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0091-ALL%20Management%20of%20the%20Theater%20Transportation%20Mission%20(Task%20Order%202088).pdf

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USAAA COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAAA	A-2006-0090-ALE	3/31/2006	Follow-up Audit II of the Commanders Emergency Response Program and Quick Response Fund	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0090-ALE%20Followup%20II%20Commanders%20Emergency%20Response%20Program%20and%20Quick%20Response%20Fund.pdf
USAAA	A-2006-0083-ALL	3/21/2006	Audit of Retrograde Operations (Task Order 87), Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0083-ALL%20Retrograde%20Operations%20(Task%20Order%2087),%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program.pdf
USAAA	A-2006-0081-ALL	3/17/2006	Audit of Unliquidated Obligations, Audit of Logistics Civil Augmentation Program Operations in Support of Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0081-ALL%20Unliquidated%20Obligations,%20Audit%20of%20Logistics%20Civil%20Augmentation%20Program.pdf
USAAA	A-2006-0046-ALA	1/31/2006	Fund Accountability for Fiscal Year 2004 Iraq Relief and Reconstruction Funds	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0046-ALA%20Fund%20Accountability%20for%20Fiscal%20Year%202004%20Iraq%20Relief%20and%20Reconstruction%20Funds.pdf
USAAA	A-2006-0047-ALL	1/11/2006	Base Closure Process in the Iraq Area of Operations	www.aaa.army.mil/AAA/AuditReports--Adobe/06%20REPORTS/A-2006-0047-ALL%20Base%20Closure%20Process%20in%20the%20Iraq%20Area%20of%20Operations.pdf
USAAA	A-2005-0332-ALE	9/30/2005	Followup Audit of the Commanders' Emergency Response Program and Quick Response Fund	www.aaa.army.mil/AAA/AuditReports--Adobe/05%20REPORTS/A-2005-0332-ALE%20Followup%20Commanders%20Emergency%20Response%20Program%20and%20Quick%20Response%20Fund%20Rev.pdf
USAAA	A-2005-0194-ALA	5/26/2005	Project Management in Support of Iraq Reconstruction	www.aaa.army.mil/AAA/AuditReports--Adobe/05%20REPORTS/A-2005-0194-ALA%20Program%20Management%20in%20Support%20of%20Iraq%20Reconstruction.pdf
USAAA	A-2005-0173-ALE	5/2/2005	Commanders' Emergency Response Program and Quick Response Fund	www.aaa.army.mil/AAA/AuditReports--Adobe/05%20REPORTS/A-2005-0173-ALE%20Commanders%20Emergency%20Response%20Program%20and%20Quick%20Response.pdf
USAAA	A-2005-0078-FFG	3/2/2005	Coalition Provisional Authority Travel Process	www.aaa.army.mil/AAA/AuditReports--Adobe/05%20REPORTS/A-2005-0078-FFG%20Coalition%20of%20Provisional%20Authority%20Travel%20Process.pdf
USAAA	A-2005-0095-FFG	2/16/2005	Vested & Seized Assets, Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/05%20REPORTS/A-2005-0095-FFG%20Vested%20and%20Seized%20Assets.pdf
USAAA	A-2005-0043-ALE	11/24/2004	Logistics Civil Augmentation Program in Kuwait	www.aaa.army.mil/AAA/AuditReports--Adobe/05%20REPORTS/A-2005-0043-ALE%20Logistics%20Civil%20Augmentation%20Program%20in%20Kuwait.pdf
USAAA	A-2004-0438-AML	8/12/2004	Definitization of Task Orders – Audit of Logistics Civil Augmentation Program	www.aaa.army.mil/AAA/AuditReports--Adobe/04%20REPORTS/A-2004-0438-AML.pdf
USAAA	A-2004-0305-FFG	5/18/2004	Time Sensitive Report, Audit of Vested and Seized Assets, Operation Iraqi Freedom	www.aaa.army.mil/AAA/AuditReports--Adobe/04%20REPORTS/A-2004-0305-FFG.pdf
Total USAAA Audits = 92				

APPENDIX H

USAID OIG COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAID OIG	E-267-09-005-P	8/16/2009	Audit of USAID/Iraq's Iraq Rapid Assistance Program	www.usaid.gov/oig/public/fy09rpts/e-267-09-005-p.pdf
USAID OIG	E-267-09-004-P	6/3/2009	Audit of USAID/Iraq's Economic Governance Program II	www.usaid.gov/oig/public/fy09rpts/e-267-09-004-p.pdf
USAID OIG	E-267-09-003-P	5/31/2009	Audit of USAID/Iraq's Local Governance Program II Activities	www.usaid.gov/oig/public/fy09rpts/e-267-09-003-p.pdf
USAID OIG	No report number	4/30/2009	Audit of USAID/Iraq's Compliance with the Federal Information Security Management Act of 2002 for Fiscal Year 2009	Not available
USAID OIG	E-267-09-002-P	3/4/2009	Audit of USAID/Iraq's Oversight of Private Security Contractors in Iraq	www.usaid.gov/oig/public/fy09rpts/e-267-09-002-p.pdf
USAID OIG	E-267-09-001-P	11/25/2008	USAID/Iraq's National Capacity Development Program	www.usaid.gov/oig/public/fy09rpts/e-267-09-001-p.pdf
USAID OIG	E-267-08-006-P	9/30/2008	USAID/Iraq's Agribusiness Program	www.usaid.gov/oig/public/fy08rpts/e-267-08-006-p.pdf
USAID OIG	E-267-08-005-P	8/5/2008	Audit of USAID/Iraq's Community Action Program II	www.usaid.gov/oig/public/fy08rpts/e-267-08-005-p.pdf
USAID OIG	E-267-08-004-P	7/3/2008	Audit of USAID/Iraq's Monitoring and Evaluation Performance Program	www.usaid.gov/oig/public/fy08rpts/e-267-08-004-p.pdf
USAID OIG	E-267-08-003-P	6/24/2008	Audit of USAID/Iraq's Management of Its Official Vehicle Fleet	www.usaid.gov/oig/public/fy08rpts/e-267-08-003-p.pdf
USAID OIG	E-267-08-002-P	4/3/2008	Audit of USAID/Iraq's Marla Ruzicka War Victims Assistance Fund	www.usaid.gov/oig/public/fy08rpts/e-267-08-002-p.pdf
USAID OIG	E-267-08-001-P	3/18/2008	Audit of USAID/Iraq's Community Stabilization Program	www.usaid.gov/oig/public/fy08rpts/e-267-08-001-p.pdf
USAID OIG	E-267-07-008-P	9/27/2007	Audit of USAID/Iraq's Participation in Provincial Reconstruction Teams in Iraq	www.usaid.gov/oig/public/fy07rpts/e-267-07-008-p.pdf
USAID OIG	E-267-07-007-P	7/31/2007	Audit of USAID/Iraq's Local Governance Activities	www.usaid.gov/oig/public/fy07rpts/e-267-07-007-p.pdf
USAID OIG	E-267-07-006-P	7/11/2007	Audit of the Office of Foreign Disaster Assistance Program in Iraq	www.usaid.gov/oig/public/fy07rpts/e-267-07-006-p.pdf
USAID OIG	E-267-07-005-P	6/6/2007	Audit of USAID/Iraq's Activity Planning and Its Reporting Process Under Section 2207 of Public Law 108-106	www.usaid.gov/oig/public/fy07rpts/e-267-07-005-p.pdf
USAID OIG	E-267-07-004-P	5/3/2007	Audit of USAID/Iraq Telecommunications Activities	www.usaid.gov/oig/public/fy07rpts/e-267-07-004-p.pdf
USAID OIG	E-267-07-003-P	2/4/2007	Follow-up Audit of USAID/Iraq's Education Activities	www.usaid.gov/oig/public/fy07rpts/e-267-07-003-p.pdf
USAID OIG	E-267-07-002-P	1/22/2007	Audit of USAID/Iraq's Agriculture Reconstruction and Development Program	www.usaid.gov/oig/public/fy07rpts/e-267-07-002-p.pdf
USAID OIG	E-267-07-001-P	11/5/2006	Audit of USAID's/Iraq's Civil Society Activities	www.usaid.gov/oig/public/fy07rpts/e-267-07-001-p.pdf
USAID OIG	E-267-06-004-P	8/16/2006	Audit of USAID'S Transition Initiatives in Iraq	www.usaid.gov/oig/public/fy06rpts/e-267-06-004-p.pdf
USAID OIG	E-267-06-003-P	7/10/2006	Audit of USAID/Iraq's Local Governance Activities	www.usaid.gov/oig/public/fy06rpts/e-267-06-003-p.pdf
USAID OIG	E-267-06-002-P	2/16/2006	Audit of USAID/Iraq's Non-Expendable Property	www.usaid.gov/oig/public/fy06rpts/e-267-06-002-p.pdf
USAID OIG	E-267-06-001-P	12/20/2005	Audit of USAID/Iraq's Basic Education Activities	www.usaid.gov/oig/public/fy06rpts/e-267-06-001-p.pdf
USAID OIG	9-267-06-001-P	10/6/2005	Audit of the Accuracy of Biographical Datasheets Provided by International Resources Group to USAID for Contracts in Iraq	www.usaid.gov/oig/public/fy06rpts/9-267-06-001-p.pdf
USAID OIG	E-267-05-005-P	9/27/2005	USAID/Iraq's Cash Control Procedures	www.usaid.gov/oig/public/fy05rpts/e-267-05-005-p.pdf
USAID OIG	E-267-05-004-P	6/30/2005	Audit of USAID/Iraq's Water and Sanitation Activities	www.usaid.gov/oig/public/fy05rpts/e-267-05-004-p.pdf
USAID OIG	E-267-05-003-P	6/29/2005	Audit of USAID/Iraq's Electrical Generation Activities	www.usaid.gov/oig/public/fy05rpts/e-267-05-003-p.pdf
USAID OIG	E-267-05-002-P	2/28/2005	Audit of USAID/Iraq's Health System Strengthening Contract Activities	www.usaid.gov/oig/public/fy05rpts/e-267-05-002-p.pdf
USAID OIG	E-267-05-001-P	1/31/2005	Audit of USAID/Iraq's Community Action Program	www.usaid.gov/oig/public/fy05rpts/e-267-05-001-p.pdf

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USAID OIG COMPLETED AUDITS, AS OF SEPTEMBER 30, 2009

AGENCY	REPORT NUMBER	DATE	REPORT TITLE	WEB LINK
USAID OIG	A-267-05-005-P	1/6/2005	Audit of USAID's Compliance with Federal Regulations in Awarding the Contract to Kroll Security Services Inc.	www.usaid.gov/oig/public/fy05rpts/a-267-05-005-p.pdf
USAID OIG	A-000-04-004-P	9/23/2004	Audit of USAID's Compliance with Federal Regulations in Awarding Iraq Basic Education Phase II Contract	www.usaid.gov/oig/public/fy04rpts/a-000-04-004-p.pdf
USAID OIG	E-266-04-004-P	9/20/2004	Audit of USAID/Iraq's Economic Reform Program	www.usaid.gov/oig/public/fy04rpts/e-266-04-004-p.pdf
USAID OIG	E-266-04-003-P	8/6/2004	Audit of USAID's Compliance with Federal Regulations in Awarding the Iraq Phase II Reconstruction and Rehabilitation, Program Advisors and Oversight Contract	www.usaid.gov/oig/public/fy04rpts/e-266-04-003-p.pdf
USAID OIG	E-266-04-001-F	6/9/2004	Audit of USAID/Iraq's Cash Control Procedures	Not available
USAID OIG	E-266-04-002-P	6/3/2004	Audit of USAID/Iraq's Infrastructure Reconstruction and Rehabilitation Program	www.usaid.gov/oig/public/fy04rpts/e-266-04-002-p.pdf
USAID OIG	A-000-04-003-P	5/19/2004	Capping Report on Audit of USAID's Compliance with Federal Regulations in Awarding the Iraq Phase I Contracts	www.usaid.gov/oig/public/fy04rpts/a-000-04-003-p.pdf
USAID OIG	AIG/A Memo 04-006	4/20/2004	USAID's Compliance with Federal Regulations in Awarding the Iraq Infrastructure Reconstruction Program Phase II Contract	www.usaid.gov/oig/iraq_doc/memo04_006.pdf
USAID OIG	AIG/A Memo 04-005	3/22/2004	USAID's Compliance with Federal Regulations in Awarding the Contract for Economic Recovery, Reform and Sustained Growth in Iraq	www.usaid.gov/oig/iraq_doc/memorandum_04_0051.pdf
USAID OIG	E-266-04-001-P	3/19/2004	Audit of USAID's Results Data for Its Education Activities in Iraq	www.usaid.gov/oig/public/fy04rpts/e-266-04-001-p.pdf
USAID OIG	AIG/A Memo 04-004	1/27/2004	USAID's Compliance with Federal Regulations in Awarding the Iraq Seaport Assessment and Operation Contract	www.usaid.gov/oig/iraq_doc/memorandum_04_004.pdf
USAID OIG	AIG/A Memo 04-003	1/27/2004	USAID's Compliance with Federal Regulations in Awarding the Iraq Airport Administration Contract	www.usaid.gov/oig/iraq_doc/memorandum_04_003.pdf
USAID OIG	AIG/A Memo 04-002	1/14/2004	USAID's Compliance with Federal Regulations in Awarding the Agriculture Reconstruction and Development Program for Iraq Contract	www.usaid.gov/oig/iraq_doc/memo_04_002.pdf
USAID OIG	AIG/A Memo 04-001	1/14/2004	USAID's Compliance with Federal Regulations in Awarding the Iraq Monitoring and Evaluation Program Performance Task Order	www.usaid.gov/oig/iraq_doc/memorandum_04_001_1_14_04.pdf
USAID OIG	AIG/A Memo 03-005	10/17/2003	USAID's Compliance with Federal Regulations in Awarding the Health System Strengthening in Post-Conflict Iraq Contract	www.usaid.gov/oig/iraq_doc/memorandum_03_005.pdf
USAID OIG	AIG/I Memo 03-004	9/9/2003	USAID's Compliance with Federal Regulations in Awarding the Iraq Sub-National Governance and Civic Institution Support Contract	www.usaid.gov/oig/iraq_doc/memo_03-004.pdf
USAID OIG	AIG/A Memo 03-003	7/23/2003	USAID's Compliance with Federal Regulations in Awarding the Iraq Infrastructure Reconstruction Contract	www.usaid.gov/oig/iraq_doc/memo_03-003.pdf
USAID OIG	AIG/A Memo 03-002	6/20/2003	USAID's Compliance with Federal Regulations in Awarding the Iraq Personnel Support Services Contract	www.usaid.gov/oig/iraq_doc/memorandum_03-002_06-20-03.pdf
USAID OIG	AIG/A Memo 03-001	6/6/2003	USAID's Compliance with Federal Regulations in Awarding the Iraq Education Sector Contract	www.usaid.gov/oig/iraq_doc/memorandum_03-001_6-06-03.pdf
USAID OIG	No report number	4/25/2003	Iraq Seaport Administration and Airports Administration Contracts	www.usaid.gov/oig/2028318_beans2.pdf
Total USAID OIG Audits = 50				